

## Healthy Ageing In Scotland (HAGIS)



## Main Questionnaire

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**INTERVIEWER: PLEASE SELECT REGION (THIS IS WRITTEN ON YOUR PAPERWORK)**

Ayrshire and Arran  
Borders  
Dumfries and Galloway  
Fife  
Forth Valley  
Grampian  
Greater Glasgow and Clyde  
Highland  
Lanarkshire  
Lothian  
Tayside

**INTERVIEWER: PLEASE SELECT YOUR HOUSEHOLD REFERENCE NUMBER (THIS IS WRITTEN ON YOUR PAPERWORK)**

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**SECTION 1: Initial Contact at Door**

**1.1 Did you get a letter from the University of Stirling about the HAGIS study?**

1. Yes
2. No

*We would like to ask if you would be willing to participate in the HAGIS study and if so arrange a date with you to conduct an interview. Our Participant Information Booklet gives you more information about what the HAGIS study is about and what taking part involves.*

**1.2 Did you receive the HAGIS Participant Information Booklet?**

1. Yes
2. No **GIVE PIB TO POTENTIAL PARTICIPANT THEN GO TO 1.3**

**1.3 Would you be willing to participate in an interview for the HAGIS study?**

1. Yes **GIVE INFORMATION FOR INTERVIEW**
2. No **TERMINATE**

*Arrange suitable date with interviewee to conduct interview. Give interviewee the consent to interview letter to read and ensure that they have the Participant Information Booklet.*

**INTERVIEWER: HAS SECTION 2 ALREADY BEEN COMPLETED BY SOMEONE IN THE HOUSEHOLD? ONLY CODE YES IF THIS IS 100% THE CASE:**

**Yes:** Go to 2.36  
**No:** Go to 2.1

## **SECTION 2: Return to Household (By Arrangement)**

### **HOUSEHOLD COVER-SCREEN**

*NOTE: Person giving details about the householders is a potential respondent i.e. aged 50 or over or married/living as married with someone aged 50 or over and are 45 or over themselves. If this is not the case, please pause the interview and make appointment to return later.*

#### **2.1 Has the respondent signed the consent form?**

1. Yes
2. No- refused to take part in the survey

**INTERVIEWER: PLEASE ENSURE YOU ENQUIRE FOR OTHER ELIGIBLE RESPONDENTS IN THE HOUSEHOLD AND CODE ON THE HOUSEHOLD CONTACT SHEET**

If respondent hasn't signed the consent form please check again that they consent to take part in the interview and ask them to sign the form.

**INTERVIEWER: At this point please issue the respondent their thank you gesture of their choosing (cash or charity donation) and complete the receipt form.**

#### **2.2 Before we start chatting about HAGIS, I just want to find out how interested or enthusiastic are you in joining this study? Please answer on a scale of 1 and 5, with 1 being not enthusiastic or interested at all and 5 being extremely interested or enthusiastic in taking part.**

- 1- Not enthusiastic at all
- 2- Somewhat enthusiastic
- 3- Undecided
- 4- Very enthusiastic
- 5- Extremely enthusiastic

#### **2.3 Have you heard of HAGIS before now?**

- 1.Yes
- 2.No **GO TO 2.7**
- 98.DK **GO TO 2.7**

#### **2.4 Where was that?**

##### **SHOW CARD HEARD\_OF\_HAGIS**

- 1 Invite letter
- 2 Radio
- 3 TV
- 4 Newspaper
- 5 Twitter
- 6 Facebook
7. Internet
8. Other (with free text box)

#### **2.5 Did you keep the invitation letter from Professor Bell?**

- 1.Yes
- 2.No
- 3.Didn't receive one **GO TO 2.7**
- 98.Don't know **GO TO 2.7**

**2.6 Did the fact that the letter was from the University of Stirling make a difference in your interest in joining the study?**

- 1. Yes
- 2. No
- 98. Don't know

**2.7 In which local authority is this dwelling?**

**SHOW CARD LOCAL\_AUTHORITY**

City of Aberdeen		Highland	
Aberdeenshire		Inverclyde	
Angus		Midlothian	
Argyll and Bute		Moray	
Clackmannanshire		North Ayrshire	
Dumfries and Galloway		North Lanarkshire	
City of Dundee		Orkney	
East Ayrshire		Perth and Kinross	
East Dunbartonshire		Renfrewshire	
East Lothian		Scottish Borders	
East Renfrewshire		Shetland	
City of Edinburgh		South Ayrshire	
Comhairle nan Eilean Siar (Outer Hebrides)		South Lanarkshire	
Falkirk		Stirling	
Fife		West Dunbartonshire	
City of Glasgow		West Lothian	

**INTERVIEWER READ OUT**

**2.8 As I explained earlier this is the pilot for a future longitudinal study- a study which follows households and individuals through time- whose purpose is to improve the lives of older people in Scotland. Being a longitudinal study means that people who take part will be visited once every two years. Are you willing to be re-contacted to participate in a similar interview in the next 2 years? Again at this stage your participation will be voluntary.**

- 1. Yes
- 2. No

**INTERVIEWER NOTE:**

If respondent says no, the interviewer should explain the importance of the study and the benefits to people living in Scotland. Also attempt to understand reasons for not wanting to be re-contacted and address these i.e. give assurances on confidentiality and anonymity. If respondent still says that they do not wish to be re-contacted code 'NO' but you may continue with this interview.

**2.9 Would you be willing to be contacted in the future to take part in other University of Stirling studies related to health and ageing?**

- 1. Yes
- 2. No

**INTERVIEWER READ OUT**

*INTRO: This study is interested in learning about the health, life style, financial and family situation of people living in Scotland that are aged 50 and over. This interview is completely voluntary and absolutely confidential. If we come to any question that you don't want to answer, just let me know and I will go on to the next question. The answers that you give will be kept confidential and will be used only for research purposes. The questions have been cleared by an ethics committee of doctors and academics which has studied them carefully.*

- 1. Continue

In order to determine who is eligible to be interviewed, I need to ask a few questions about each household member, like name, sex, age, date of birth. Let's start with you.

**INTERVIEWER NOTE:** Person giving details about the householders is a potential respondent i.e. aged 50 or over or married/living as married with someone aged 50 or over and are 45 or over themselves. If this is not the case, please pause the interview and make appointment to return later.

1. Continue

**2.10 What is your full name? INTERVIEWER: PLEASE ENSURE FULL NAME IS RECORDED**

First Name(s): \_\_\_\_\_

Surname: \_\_\_\_\_

**2.11 What name would you like to be referred to during the interview?**

Text: up to 60 characters

\_\_\_\_\_  
*First, I'd like to ask a few questions to make sure that you are eligible for this study.*

**2.12 In what year were you born?**

- 1. YYYY
- 98.DK
- 99.RF

**2.13 And in what month were you born?**

- 1. January
- 2. February
- 3. March
- 4. April
- 5. May
- 6. June
- 7. July
- 8. August
- 9. September
- 10. October
- 11. November
- 12. December
- 98.DK
- 99.RF

**2.13b And what date of the month were you born?** <Dates 1 – 31> \_\_\_\_\_

**2.14 For the purposes of this research can you tell me if you are aged**

**SHOW CARD AGE**

		8.	65 to 69
3.	45 to 49	9.	70 to 74
4.	50 to 54	10.	75 to 79
5.	55 to 59	11.	80 to 84
6.	60 to 61	12.	85 to 89
7.	62 to 64	13.	90 or over
98.	DK	99.	RF

**2.15 Are you...**

**SHOW CARD GENDER**

- 1. Male
- 2. Female
- 3. Transgender
- 4. Prefer not to disclose

**2.16 Are you...**

**SHOW CARD LIVING**

- 1. Living with a spouse
- 2. Living with a partner
- 3. Living as a single person (including widows, separated etc.) **GO TO 2.21**
- 4. Living with a friend **GO TO 2.21**
- 98.DK **GO TO 2.21**
- 99.RF **GO TO 2.21**

**2.17 What is [your] [wife/husband/partner]'s first name?**

*1. Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.*

99. RF

**2.18 Is your [wife/husband/partner] ...**

**SHOW CARD GENDER**

- 1. Male
- 2. Female
- 3. Transgender
- 4. Prefer not to disclose

**2.19 How old is your {{wife/husband/partner}}?**

- 1. Age in years \_\_\_\_\_
- 98. DK 99. RF

**2.20 Is your [husband/wife/partner] aged...?**

**INTERVIEWER - Please code band from 2.19. If 98 or 99 see if respondent is willing to give a band.**

**SHOW CARD AGE**

2.	16 to 24	8.	65 to 69
3.	25 to 49	9.	70 to 74
4.	50 to 54	10.	75 to 79
5.	55 to 59	11.	80 to 84
6.	60 to 61	12.	85 to 89
7.	62 to 64	13.	90 or over
98.	DK	99.	RF

**2.21 Excluding yourself [and your [husband/wife/partner]], does anyone else live in this household?**

- 1. Yes
- 2. No **GO TO 2.34**
- 99. RF **GO TO 2.34**

**NOTE:** A household consists of all persons - who live in the same dwelling (using the same entrance door) and who have a common housekeeping budget or usually have their meals together. Exceptions: lodgers (persons who sublet) are not considered members of the household, even though they may occasionally have dinner with the host. Children living together with their parents at the parents' address, or parents living together with their children at the children's address will be considered members of the household whether or not they have a common housekeeping budget for meals.

**2.22 What is his or her first name?**

1. Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.

---

99. RF

**2.23 What is the sex of {{first name household member}}?**

**SHOW CARD GENDER**

- 1. Male
- 2. Female
- 3. Transgender
- 4. Prefer not to disclose

**2.24 How old is {{{first name household member}}}**

- 1. Age in years \_\_\_\_\_
- 98. DK
- 99. RF

**2.25 Is {{first name household member}} aged...?**

**SHOW CARD AGE**

**INTERVIEWER - Please code band from 2.24. If 98 or 99 ask if respondent is willing to give a band.**

1.	Under 16		
2.	16 to 24	8.	65 to 69
3.	25 to 49	9.	70 to 74
4.	50 to 54	10.	75 to 79
5.	55 to 59	11.	80 to 84
6.	60 to 61	12.	85 to 89
7.	62 to 64	13.	90 or over
98.	DK	99.	RF



**2.26 What is [your] relationship to [first name household member]?**

**IF 2.18 = 1 SHOW CARD MALES\_RELATIONS**

**IF 2.18 = 2 SHOW CARD FEMALES\_RELATIONS**

<b>MALES</b>	<b>FEMALES</b>
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
7. Father-in-law	6. Mother-in-law
26. Stepfather	27. Stepmother
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Other non-relative	25. Other non-relative

**IF 2.25 Under 16 Then do not ask 2.27**

**2.27 Is [first name household member] living with a spouse, with a partner, or as a single?**

**SHOW CARD LIVING**

1. Living with a spouse
  2. Living with a partner
  3. Living as a single person (including widows, separated etc.) **GO TO 2.33**
- 99.RF

**2.28 What is his or her first name?**

1. *Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.*

---

99. RF

**2.29 What is the sex of [first name household member's husband/wife/partner]?**

**SHOW CARD GENDER**

1. Male
2. Female
3. Transgender
4. Prefer not to disclose

**2.30 How old is [first name household member's husband/wife/partner]?**

1. Age in years \_\_\_\_\_
98. DK  
99. RF

**2.31 Is {{first name household member's husband/wife/partner}} aged...**

**INTERVIEWER - Please code band from 2.30 If 98 or 99 ask if respondent is willing to give a band**

**SHOW CARD AGE**

2.	Under 45	8.	65 to 69
3.	45 to 49	9.	70 to 74
4.	50 to 54	10.	75 to 79
5.	55 to 59	11.	80 to 84
6.	60 to 61	12.	85 to 89
7.	62 to 64	13.	90 or over
98.	DK	99.	RF

**2.32 What is [your] relationship to {{first name household member's husband/wife/partner}}?**

**IF 2.18 = 1 SHOW CARD MALES\_RELATIONS**

**IF 2.18 = 2 SHOW CARD FEMALES\_RELATIONS**

MALES		FEMALES	
1. Husband		2. Wife	
3. Partner/cohabitee		3. Partner/cohabitee	
5. Father		4. Mother	
7. Father-in-law		6. Mother-in-law	
26. Stepfather		27. Stepmother	
8. Son (Including adopted and foster)		9. Daughter (Including adopted and foster)	
10. Step son		11. Step daughter	
12. Son-in-law		13. Daughter-in-law	
14. Brother		15. Sister	
16. Brother-in-law		17. Sister-in-law	
19. Grand-son		18. Grand-daughter	
20. Grandfather		21. Grandmother	
23. Uncle		22. Aunt	
24. Other relative		24. Other relative	
25. Other non-relative		25. Other non-relative	

**2.33 Does anyone else live in this household?**

- 1. Yes **GO TO 2.22**
- 2. No

**2.34 Let me just check. That makes {{number of people in household}} people living in this household altogether? Is that correct?**

- 1. Yes **IF 2.34 = 1 GO TO 2.43, IF 2.34 >1 AND 2.16 = 1 OR 2 GO TO 2.36**
- 2. No

**2.35 Have we left anyone out?**

- 1. Yes **GO TO 2.22 THEN GO TO 2.34**
- 2. No

## **SUBSEQUENT INTERVIEWS IN THE SAME HOUSEHOLD START HERE**

**INTERVIEWER: ONLY FILL OUT 2.36 – 2.43 FOR SECOND INTERVIEW ONWARDS IN SAME HOUSEHOLD**

### INDIVIDUALS

#### **2.36 Has the respondent signed the consent form?**

1. Yes
2. No

*If respondent hasn't signed the consent form please check again that they consent to take part in the interview and ask them to sign the form.*

#### **2.37 As I explained earlier this is the pilot for a longitudinal study whose purpose is to improve the lives of older people in Scotland. Being a longitudinal study means that people who take part will be visited once every two years. Are you willing to be re-contacted to participate in a similar interview in the next 2 years? Again at this stage your participation will be voluntary.**

##### **NOTE:**

If respondent says no the interviewer should explain the importance of the study and the benefits to people living in Scotland.

Also attempt to understand reasons for not wanting to be re-contacted and address these i.e. give assurances on confidentiality and anonymity.

If respondent still says that they do not wish to be re-contacted code 'NO' but you may continue with this interview.

1. Yes
2. No

#### **2.38 Would you be willing to be contacted in the future to take part in other University of Stirling studies related to health and ageing?**

1. Yes
2. No

#### **2.39 Does the respondent have a spouse/partner living with him/her?**

1. Yes
2. No **GO TO 2.44**

#### **2.40 Are both willing to participate in the survey?**

1. Yes
2. No **GO TO 2.44**

#### **2.41 If present, has the second respondent signed the consent form?**

1. Yes
2. Not yet. Second respondent not present.

#### **2.42 Later in this interview, I will be asking questions about your family finances and retirement planning. Which of you is the most knowledgeable about this, you or your (husband/wife/partner)?**

1. Respondent
2. Spouse/partner
3. Both
98. DK
99. RF

**2.43 Which of you is the most knowledgeable about family matters, you or your (husband/wife/partner)?**

NOTE: By this we mean knowledge about family situation i.e. information about your children and grandchildren.

1. Respondent
2. Spouse/partner
3. Both
- 98.DK
- 99.RF

**INTERVIEWER ONLY QUESTION:**

**2.44 Please classify this respondent as one of the following:**

1. FINANCIAL R (IF 2.42 = 1 OR 3)
2. FAMILY R (IF 2.43 = 1 OR 3)
3. FINANCIAL AND FAMILY R (IF 2.42 AND 2.43 = 1 OR 3)
4. NEITHER (IF 2.42 = 2, 98, 99 AND 2.43 = 2, 98, 99)

### **SECTION 3: Demographics**

*I would like to begin by asking some questions about your background.*

#### **3.1 What is your full name? INTERVIEWER: PLEASE ENSURE FULL NAME RECORDED**

First Name(s): \_\_\_\_\_

Surname: \_\_\_\_\_

#### **3.2 What name would you like to be referred to during the interview?**

Text: up to 60

characters \_\_\_\_\_

*First, I'd like to ask a few questions to make sure that you are eligible for this study.*

#### **GENDER**

**SHOW CARD GENDER**

#### **3.3 Is this respondent male or female?**

1. Male
2. Female
3. Transgender
4. Prefer not to disclose

#### **DOB**

#### **3.4 In which month were you born? MONTH**

1. January
2. February
3. March
4. April
5. May
6. June
7. July
8. August
9. September
10. October
11. November
12. December
- 98.DK
- 99.RF

#### **3.5 In which year were you born? YEAR (ex: 1955)**

1. Year
98. DK
99. RF

#### **3.5b And what date of the month were you born?**

**INTERVIEWER: IF DOB ALREADY PROVIDED IN SECTION 2, PLEASE RE-CONFIRM IT IS CORRECT**

<Dates 1 – 31> \_\_\_\_\_

### 3.6 For the purposes of this research can you tell me if you are aged...

#### SHOW CARD AGE

2.		8.	65 to 69
3.	45 to 49	9.	70 to 74
4.	50 to 54	10.	75 to 79
5.	55 to 59	11.	80 to 84
6.	60 to 61	12.	85 to 89
7.	62 to 64	13.	90 or over
98.	DK	99.	RF

### 3.10 What is your country of birth?

#### SHOW CARD COUNTRY\_OF\_BIRTH

1. Scotland
2. England
3. Wales
4. Northern Ireland
5. Republic of Ireland
6. Elsewhere & free text box (please specify) \_\_\_\_\_
- 98.DK
- 99.RF

#### ETHNICITY

### 3.7 What is your ethnic group?

#### SHOW CARD ETHNICITY

##### White

1. Scottish
2. Other British
3. Irish
4. Traveller
5. Polish
6. Any other White ethnic group, please describe

##### Mixed or Multiple ethnic groups

7. Any Mixed or Multiple ethnic groups, please describe

##### Asian, Asian Scottish or Asian British

8. Pakistani, Pakistani Scottish or Pakistani British
9. Indian, Indian Scottish or Indian British
10. Bangladeshi, Bangladeshi Scottish or Bangladeshi British
11. Chinese, Chinese Scottish or Chinese British
12. Any other Asian, please describe

##### African

13. African, African Scottish or African British
14. Any other African, please describe

#### Caribbean or Black

15. Caribbean, Caribbean Scottish or Caribbean British
16. Black, Black Scottish or Black British
17. Any other Caribbean or Black, please describe

#### Other ethnic group

18. Arab, Arab Scottish or Arab British
  19. Any other ethnic group, please describe
- 98.DK  
99.RF

### PLACE OF BIRTH AND NATIONAL IDENTITY

#### **3.8 How would you describe your national identity?**

##### **SHOW CARD NATIONAL\_IDENTITY**

1. Scottish
  2. English
  3. Welsh
  4. Northern Irish
  5. British
  6. Other, please describe
- 98.DK  
99.RF

#### **3.9 How would you describe your British- Scottish national identity?**

##### **SHOW CARD BRITISH\_SCOTTISH\_IDENTITY**

1. Scottish not British
  2. More Scottish than British
  3. Equally Scottish and British
  4. More British than Scottish
  5. British not Scottish
  6. Other & free text
  7. Not applicable
- 98.DK  
99.RF

### Marital status and marriage history

#### **3.11 Can I just check, are you ...**

##### **SHOW CARD MARITAL\_STATUS**

1. Married
  2. Living with a partner as if married **GO TO 3.13**
  3. Single (never married) **GO TO 3.19**
  4. Separated **GO TO 3.14**
  5. Divorced **GO TO 3.14**
  6. Widowed **GO TO 3.14**
  7. Civil Partnership
- 98.DK **GO TO 3.19**  
99.RF **GO TO 3.19**

**NOTE:**

Married includes those living temporarily apart due to illness, work, etc...

Living with a partner is a situation where there is no formal marriage but R is living in a marriage-like relationship.

Separated is a situation where R is not living with partner and there is no marriage-like relationship anymore.

**3.12 In which year did you get married?**

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**3.13 In which year did you start living together?**

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**NOTE:**

CHECK: Year marriage should be at least 16 years after year of birth of respondent.

**3.14 In which year was [your] [ex-/late] [husband/wife/partner] born?**

RECORD BIRTH YEAR OF MOST RECENT SPOUSE

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**IF 3.11 = 4 OR 5 GO TO 3.16**

**IF 3.11 = 6 GO TO 3.15**

**ELSE GO TO 3.18**

**3.15 In which year did you become a widow/widower?**

1. Year (YYYY) **GO TO 3.19** \_\_\_\_\_

98. DK **GO TO 3.19**

99. RF **GO TO 3.19**

**3.16 In which year did you stop living together? (MM/YYYY)**

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**3.17 In which year did you get divorced? (MM/YYYY)**

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**3.18 Is your (ex) (husband/wife/partner) living in a nursing home, hospital or other health care institution?**

1. Yes

2. No

98. DK

99. RF

**NOTE:**

Living means living long term



## EDUCATION

**3.19 Now I would like to ask some questions about your background. What is the highest level of education you have completed?**

### **SHOW CARD QUALIFICATIONS**

1. Some primary (not complete)
2. Primary or equivalent
3. O level/O grade or equivalent
4. Highers or equivalent
5. Sixth year studies or equivalent
6. HNC/HND or equivalent
6. First degree
7. Postgraduate/higher degree
96. None
98. DK
99. RF

**IF 3.11 = 3, 98 OR 99 GO TO 3.21**

**3.20 What is the highest school certificate or degree that [your] [ex-/late] [husband/wife] [has/had] obtained?**

### **SHOW CARD QUALIFICATIONS**

1. Some primary (not complete)
2. Primary or equivalent
3. O level/O grade or equivalent
4. Highers or equivalent
5. Sixth year studies or equivalent
6. HNC/HND or equivalent
6. First degree
7. Postgraduate/higher degree
96. None
98. DK
99. RF

**3.21 Did you go to high school in Scotland?**

1. Yes
2. No **GO TO 3.26**
98. DK
99. RF

**3.22 What is the name of the school and location (village/town/city) where you sat your O level or equivalent examinations?**

1. Text – name of school and village/town/city\_\_\_\_\_
2. Didn't sit O level or equivalent exams
98. DK
99. RF

**3.23 What is the name of the school and location (village/town/city) where you sat your Higher or equivalent examinations?**

1. Text - name of school and village/town/city \_\_\_\_\_
  2. Didn't sit Higher or equivalent exams
98. DK  
99. RF

**3.24 What was your surname during the times when you sat these high school examinations?**

1. Text- surname \_\_\_\_\_
98. DK  
99. RF

***I would now like to ask you to consider if you would allow the University of Stirling to link your survey responses with your high school examination records.***

**INTERVIEWER READ OUT**

*The National Records of Scotland hold information on all grades received in high school examinations carried out in Scottish schools.*

*The benefits of linking survey responses to Education records are that the HAGIS researchers will be able to compare the recall of high school examinations and the educational records. It may be that people remember their exam results very well. If this is the case, surveys like HAGIS will know that this information is reliable even though people are being asked to recall something from some time ago. This is important because we already know that early education is an important indicator for people's social and health outcomes. This information may help research to more reliably reflect people's circumstances and outcomes.*

*If you give consent, you authorise the National Records of Scotland to disclose to the HAGIS team information about your high school examination results. The information obtained will be limited to the purposes of this study and will cover the name of the high school you attended, the dates you attended it and the results of any examinations you took during your time there. You can revoke this consent at any time by writing to the University of Stirling HAGIS Team.*

**INTERVIEWER NOTE:** The consent form should be presented to the respondent. They should be allowed to read/review information (including how they can still take part in the study even if they do not consent to linkage, and if they do consent that they can withdraw consent at any time without reason) and ask any questions

**Would you be willing to have your survey responses linked to your high school examination records?**

1. Yes, consent form signed and initialled
2. Refused
3. To discuss with respondent at latter stage of survey

## CHILDHOOD

**3.25 Consider your health while you were growing up, from birth to age 14. Would you say that your health during that time was excellent, very good, good, fair, or poor?**

1. Excellent
2. Very good
3. Good
4. Fair
5. Poor
98. DK
99. RF

**3.26 While you were growing up, before age 14, did your mother ever work outside the home?**

1. Yes
2. No **GO TO 3.29**
98. DK
99. RF

**3.27 What was your mother's occupation when you were age 14?**

If mother had two professions ask the following questions about the most important job, i.e. one with highest pay

1. Text \_\_\_\_\_
98. DK
99. RF

**3.28 While you were growing up, before age 14, did your father ever work outside the home?**

1. Yes
2. No **GO TO 3.31**
98. DK
99. RF

**3.29 What was your father's occupation when you were age 14?**

If father had two professions ask the following questions about the most important job, i.e. one with highest pay

1. Text
98. DK
99. RF

## PARENTS

**3.30 What was the highest grade of school your father completed?**

### **SHOW CARD QUALIFICATIONS**

1. Some primary (not complete)
2. Primary or equivalent
3. O level/O grade or equivalent
4. Highers or equivalent
5. Sixth year studies or equivalent
6. HNC/HND or equivalent
6. First degree
7. Postgraduate/higher degree
96. None
98. DK
99. RF

**3.31 And what was the highest grade of school your mother completed?**

**SHOW CARD QUALIFICATIONS**

1. Some primary (not complete)
2. Primary or equivalent
3. O level/O grade or equivalent
4. Highers or equivalent
5. Sixth year studies or equivalent
6. HNC/HND or equivalent
6. First degree
7. Postgraduate/higher degree
96. None
98. DK
99. RF

**3.32 Were you adopted as a child?**

1. Yes
2. No **GO TO 3.40**
3. DK **GO TO 3.40**
4. RF **GO TO 3.40**

**3.33 Is your ADOPTED father still alive?**

1. Yes
2. No **GO TO 3.36**
3. Not applicable – no adopted father **GO TO 3.37**
- 98.DK **GO TO 3.37**
- 99.RF **GO TO 3.37**

**3.34 What is his age now? (adopted father)**

1. Age in years **GO TO 3.37** \_\_\_\_\_
- 98.DK **GO TO 3.37**
- 99.RF **GO TO 3.37**

**3.35 What was his age when he died? (adopted father)**

1. Age in years \_\_\_\_\_
- 98.DK
- 99.RF

**3.36 Is your ADOPTED mother still alive?**

1. Yes
2. No **GO TO 3.39**
3. Not applicable – no adopted mother **GO TO 3.40**
- 98.DK **GO TO 3.40**
- 99.RF **GO TO 3.40**

**3.37 What is her age now? (adopted mother)**

1. Age in years **GO TO 3.40** \_\_\_\_\_
- 98.DK **GO TO 3.40**
- 99.RF **GO TO 3.40**

**3.38 What was her age when she died? (adopted mother)**

1. Age in years \_\_\_\_\_
- 98.DK
- 99.RF

**3.39 Is your biological father still alive?**

- 1. Yes
- 2. No **GO TO 3.42**
- 98.DK **GO TO 3.43**
- 99.RF **GO TO 3.43**

**3.40 What is his age now? (biological father)**

- 1. Age in years **GO TO 3.43** \_\_\_\_\_
- 98.DK **GO TO 3.43**
- 99.RF **GO TO 3.43**

**3.41 What was his age when he died? (biological father)**

- 1. Age in years \_\_\_\_\_
- 98.DK
- 99.RF

**3.42 Is your biological mother still alive?**

- 1. Yes
- 2. No **GO TO 3.45**
- 98.DK **GO TO 3.46**
- 99.RF **GO TO 3.46**

**3.43 What is her age now? (biological mother)**

- 1. Age in years **GO TO 3.46** \_\_\_\_\_
- 98.DK **GO TO 3.46**
- 99.RF **GO TO 3.46**

**3.44 What was her age when she died? (biological mother)**

- 1. Age in years \_\_\_\_\_
- 98.DK
- 99.RF

**3.45 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION DEMOGRAPHICS?**

- 1. NEVER
- 2. A FEW TIMES
- 3. MOST OR ALL OF THE TIME

## **SECTION 4: Social Circumstances**

### **NUMBER OF NON-RESIDENT CHILDREN**

*Next are some questions about your living children (and those of your husband/wife/partner) who don't live with you in your home.*

**4.1 How many living children do you have that do not live with you in your home? Please count all natural children, fostered, adopted and stepchildren, including those of your husband/your wife/your partner.**

Range: 0...20 \_\_\_\_\_

**IF 0 GO TO 4.8**

### **NON-FINANCIAL ASSISTANCE GIVEN TO CHILDREN**

*Now I would like to ask about different kinds of help that you provided regularly to your children over the past two years. This refers only to help you provided to children outside the household i.e. help provided to co-resident children is to be excluded.*

**4.2 In the last 2 years, excluding childcare, have you (and/or your spouse/partner) spent at least 1 hour a week helping your adult children and/or grandchildren with things like:**

#### **SHOW CARD HELP\_1**

**a) Practical household help, e.g. with home repairs, gardening, transportation, shopping, household chores**

**b) Help with paperwork, such as filling out forms, settling financial or legal matters**

1. Yes

2. No **GO TO 4.4**

98. DK **GO TO 4.4**

99. RF **GO TO 4.4**

**4.3 About how many hours per week on average did you (and/or your spouse/partner) provide such help to your children?**

1. Number of hours \_\_\_\_\_

98. DK

99. RF

**4.4 In the last two years, have you (or your spouse/partner) spent at least 1 hour a week taking care of grandchildren or great-grandchildren (who live outside your own household)?**

1. Yes

2. No **GO TO 4.6**

97. Not applicable **GO TO 4.6**

98. DK **GO TO 4.6**

99. RF **GO TO 4.6**

**4.5 About how many hours on average per week did you (and/or your spouse/partner) spend taking care of your grandchildren or great-grandchildren (who live outside your own household)?**

1. Number of hours

98. DK

99. RF

## **NON-FINANCIAL ASSISTANCE RECEIVED FROM CHILDREN**

### **INTRO: READ OUT**

*The next section will ask about regular non-financial assistance that you received from your children. As before, this refers only to help received from children outside the household i.e. help received from co-resident children is to be excluded.*

**4.6 In the last 2 years, have your (and/or your spouse's/partner's) children or grandchildren spent at least 1 hour a week, helping you and/or your spouse/partner with things like**

### **SHOW CARD HELP\_1**

**a) Practical household help, e.g. with home repairs, gardening, transportation, shopping, household chores**

**b) Help with paperwork, such as filling out forms, settling financial or legal matters**

1. Yes

2. No **GO TO 4.8**

98. DK **GO TO 4.8**

99. RF **GO TO 4.8**

**4.7 About how many hours per week on average did you (or your spouse/partner) receive such help from your children (or grandchildren)?**

1. Number of hours \_\_\_\_\_

98. DK

99. RF

## **NON-FINANCIAL ASSISTANCE RECEIVED FROM OTHER RELATIVES**

*INTRO: I would now like to ask you some questions about your other relatives. By this I mean family members other than the ones we have already discussed.*

**4.8 In the last 2 years, did your relatives give you (and your spouse/partner) any help with things like:**

**SHOW CARD HELP\_1**

**a) Practical household help, e.g. with home repairs, gardening, transportation, shopping, household chores**

**b) Help with paperwork, such as filling out forms, settling financial or legal matters**

1. Yes

2. No **GO TO 4.10**

98. DK **GO TO 4.10**

99. RF **GO TO 4.10**

**4.9 About how many hours per week of such help did you receive from other relatives over the last two years?**

1. Number of hours \_\_\_\_\_

98. DK

99. RF



**NON-FINANCIAL ASSISTANCE GIVEN TO OTHER RELATIVES**

**4.10** In the last 2 years, did you (or your spouse/partner) give any kind of help to your relatives with things like:

**SHOW CARD HELP\_2**

- a) Practical household help, e.g. with home repairs, gardening, transportation, shopping, household chores
- b) Help with personal care, such as dressing, eating/preparing or delivering meals getting into and out of bed, using the toilet
- c) Help with paperwork, such as filling out forms, settling financial or legal matters

- 1. Yes
- 2. No **GO TO 4.12**
- 98. DK **GO TO 4.12**
- 99. RF **GO TO 4.12**

**4.11** About how many hours per week on average did you give such help in the last two years?

- 1. Number of hours \_\_\_\_\_
- 98. DK
- 99. RF

## **NON-FINANCIAL ASSISTANCE RECEIVED FROM FRIENDS AND NEIGHBOURS**

*INTRO: The next questions are about help you (or your [husband/wife/partner]) gave or received regularly in the last two years from friends and neighbours.*

**4.12 In the last 2 years, did your neighbours or friends give you (or your spouse/partner) any kind of help such as:**

### **SHOW CARD HELP\_1**

**a) Household help: help with home repairs, gardening, transportation, shopping, household chores**

**b) Help with paperwork, such as filling out forms, settling financial or legal matters**

1. Yes

2. No **GO TO 4.14**

98. DK **GO TO 4.14**

99. RF **GO TO 4.14**

**4.13 About how many hours per week of such help did you receive from friends and neighbours over the last two years?**

1. Number of hours \_\_\_\_\_

98. DK

99. RF

## **NON-FINANCIAL ASSISTANCE GIVEN TO FRIENDS OR NEIGHBOURS**

**4.14** In the last 2 years, did you (or your spouse/partner) give any kind of help to your friends, and neighbours (who did not pay you) such as:

### **SHOW CARD HELP\_2**

- a) Household help: help with home repairs, gardening, transportation, shopping, household chores
- b) Help with personal care, such as dressing, eating/preparing or delivering meals, getting into and out of bed, using the toilet
- c) Help with paperwork, such as filling out forms, settling financial or legal matters

- 1. Yes
- 2. No **GO TO 4.16**
- 98. DK **GO TO 4.16**
- 99. RF **GO TO 4.16**

**4.15** About how many hours per week on average did you give such help in the last two years?

- 1. Number of hours \_\_\_\_\_
- 98. DK
- 99. RF

**4.16** OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION SOCIAL CIRCUMSTANCES?

- 1. NEVER
- 2. A FEW TIMES
- 3. MOST OR ALL OF THE TIME

## **SECTION 5: Employment**

### **CURRENT ACTIVITY**

**5.1 Now I'm going to ask you some questions about work, retirement and pensions. Which one of these would you say best describes your current situation?**

#### **SHOW CARD EMPLOYMENT\_STATUS**

1. Retired **GO TO 5.57**
2. Employed **GO TO 5.7**  
(including unpaid work in family business, temporarily away from work, or participating in apprenticeship or employment programme - such as Community Employment)
3. Self-employed **GO TO 5.7**
4. Unemployed **GO TO 5.4**
5. Permanently sick or disabled **GO TO 5.4**
6. Looking after home or family **GO TO 5.3**
7. In education or training **GO TO 5.3**
95. Other (specify)
98. DK **GO TO 5.3**
99. RF **GO TO 5.3**

#### **5.2 Other (specify)**

1. Text \_\_\_\_\_
98. DK
99. RF

**5.3 Did you, nevertheless, do any paid work during the last week, either as an employee or self-employed, for at the least one hour?**

1. Yes **GO TO 5.7**
2. No
98. DK
99. RF

#### **5.4 Have you ever done any paid work?**

1. Yes
2. No
98. DK
99. RF

**IF 5.1 = 5, 6 OR 7 GO TO 5.5**

**IF 5.1 = 4 GO TO 5.8**

#### **5.5 Why are you not currently working?**

##### **SHOW CARD NOT\_WORKING**

1. Because of health problems **GO TO 5.8**
2. Work was too demanding **GO TO 5.8**
3. It was too expensive to hire someone to look after home or family **GO TO 5.8**
4. Because you wanted to take care of children or grandchildren **GO TO 5.8**
95. Other
98. DK **GO TO 5.8**
99. RF **GO TO 5.8**

##### **Other (specify)**

1. Text \_\_\_\_\_
98. DK, 99. RF

**5.7 Do you currently pay National Insurance contributions, including any that are paid on your behalf?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**5.8 Have you ever paid National Insurance contributions including any that have been paid on your behalf?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**IF 3.3 = 2 AND 3.11 = 1, 4, 5 OR 6 (FEMALE AND MARRIED, SEPARATED, DIVORCED OR WIDOWED) GO TO 5.9  
ELSE GO TO PRE ROUTING AT 5.10**

**5.9 Have you ever paid NI contributions at the reduced rate for married women? This is sometimes known as the 'half stamp'.**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**INTERVIEWER: ASK RESPONDENT TO CHECK HIS/HER PAY SLIP/PENSION SLIP/SIMILAR TO RESPOND TO THESE QUESTIONS, IF POSSIBLE.**

**IF 5.1 = 2 OR 3 GO TO 5.10**

**IF 5.1 = 4 GO TO 5.45**

**IF 5.1 = 5 GO TO 5.54**

**IF 5.1 = 6 OR 7 & 5.3 = 1 GO TO 5.12**

**IF 5.1 = 6 OR 7 & 5.3 = 2, 98 OR 99 GO TO 5.71**

### **OTHER JOBS**

**5.10 Apart from your main job, do you have any other jobs, including subsidiary work in self-employment?**

- 1. Yes
- 2. No **GO TO 5.12**
- 98. DK **GO TO 5.12**
- 99. RF **GO TO 5.12**

**5.11 How many other jobs do you currently have?**

- 1. Number \_\_\_\_\_
- 98. DK
- 99. RF

## **MAIN JOB**

Think about your most important job, that is, the job with highest salary or where you work the largest number of hours per week.

### **5.12 What is the name or title of your job?**

1. Text \_\_\_\_\_
98. DK
99. RF

### **5.13 In this job are you employed in the public sector?**

1. Yes
2. No
98. DK
99. RF

### **5.14 In which year did you start this job?**

1. Year (YYYY) \_\_\_\_\_
98. DK
99. RF

NOTE: By this we mean when did you start working with this employer?

### **5.15 Could you please tell me, is this?**

#### **SHOW CARD JOB\_TYPE**

1. A permanent job
2. A temporary job
3. Occasional work without a contract
4. Other working arrangement
98. DK
99. RF

NOTE: By temporary job we mean working under a fixed term job contract. By occasional work without contract we mean working some hours of work per week without a contract.

### **5.16 Which of the categories on this card best describes the times of day you usually work?**

#### **SHOW CARD TIMES\_WORK**

1. Mornings only
2. Afternoons only
3. During the day
4. Evenings only
5. At night
6. Both lunchtimes and evenings
7. Other times of day
8. Rotating shifts
9. Varies/no usual pattern
10. Daytime and evenings
97. Other
98. DK
99. RF

**5.17**

**How many hours a week do you usually work in this job, excluding meal breaks (but including any paid or unpaid overtime)?**

- 1. Number of hours **IF NUMBER >30 GO TO 5.18**
- 98. DK **GO TO 5.19**
- 99. RF **GO TO 5.19**

**5.18**

**What is your main reason for working less than 30 hours per week?**

**SHOW CARD LESS\_30\_HOURS**

- 1. Undergoing education or training
- 2. Personal illness or disability
- 3. Want to work more hours, but cannot find a full-time job or work more hours in this job
- 4. Do not want to work more hours
- 5. Housework
- 6. Looking after children or other persons
- 7. Other reasons
- 98. DK
- 99. RF

**5.19**

**Excluding paid vacation or paid leave, for how many weeks did you work in the last 12 months in this job?**

**EXAMPLE: IF A PERSON SPENT 4 WEEKS ON HOLIDAY, THEN SUBTRACT THOSE 4 WEEKS FROM THE TOTAL OF 52 WEEKS IN THE YEAR"**

- 1. Number of weeks \_\_\_\_\_
- 98. DK
- 99. RF

**5.20**

**What kind of business, industry or services do you work in (that is, what do they make or do at the place where you work)?**

**SHOW CARD INDUSTRY**

- 1. Agriculture, forestry and fishing
- 2. Mining and quarrying
- 3. Manufacturing
- 4. Electricity, gas, steam and air conditioning supply
- 5. Water supply; sewerage, waste management and remediation activities
- 6. Construction
- 7. Wholesale and retail trade; repair of motor vehicles and motorcycles
- 8. Transportation and storage
- 9. Accommodation and food service activities
- 10. Information and communication
- 11. Financial and insurance activities
- 12. Real estate activities
- 13. Professional, scientific and technical activities
- 14. Administrative and support service activities
- 15. Public administration and defence; compulsory social security
- 16. Education
- 17. Human health and social work activities
- 18. Arts, entertainment and recreation

- 19. Other service activities
- 20. Activities of households as employers; undifferentiated goods- and services-producing activities of households for own use
- 21. Activities of extra territorial organisations and bodies
- 22. CANNOT CLASSIFY
- 98.DK
- 99.RF

**5.21**

**Record verbatim what kind of business, industry or services the respondent works in?**

- 1. Text \_\_\_\_\_
- 98. DK
- 99. RF

**5.22 About how many people (including yourself) are employed at the place where you usually work?**

**SHOW CARD NUMBER\_OF\_EMPLOYEES**

- 1. 1 to 5
- 2. 6 to 15
- 3. 16 to 24
- 4. 25 to 199
- 5. 200 to 499
- 6. 500 or more
- 98. DK
- 99. RF

**NOTE: By this we mean the whole organisation, not the branch, plant or service you work.**



## **WAGES**

**5.23 Thinking about your typical wage/salary payment, how long is the period that it would cover?**

**SHOW CARD WAGES\_PERIOD**

1. One week
2. Two weeks
3. Four weeks
4. Monthly
4. Three months (13 weeks)
5. Six months (26 weeks)
6. One year (12 months/52 weeks)
7. Don't receive monetary payment
95. Other (specify)
98. DK
99. RF

**Other (specify)** \_\_\_\_\_

98. DK  
99. RF

**5.25 Before any deductions for tax, national insurance or pension and health contributions, union subscriptions and so on, about how much is your typical wage/salary payment? Include regular overtime, commission, tips etc.**

1. £0 ... £500,000 **GO TO 5.33** \_\_\_\_\_
98. DK **GO TO 5.26**
99. RF **GO TO 5.26**

**5.26 Which of the following best describes what it amounted to?**

Range **GO TO 5.33**

1. Less than £300
2. More than £300, less than £450
3. More than £450, less than £600
4. More than £600, less than £900
5. More than £900, less than £1150
6. More than £1150, less than £1250
7. More than £1250, less than £1900
8. More than £1900, less than £2500
9. More than £2500 **GO TO 5.27**
98. DK **GO TO 5.27**
99. RF **GO TO 5.27**

**5.27 Which of the following best describes what it amounted to?**

Range **GO TO 5.33**

1. More than £2500, less than £3750
2. More than £3750, less than £5000
3. More than £5000, less than £7500
4. More than £7500, less than £10,000
5. More than £10,000, less than £12,500
6. More than £12,500, less than £15,000
7. More than £15,000, less than £20,000
8. More than £20,000, less than £25,000
9. More than £25,000, less than £30,000
10. More than £30,000, less than £60,000

- 11. More than £60,000
- 98. DK GO TO 5.33
- 99. RF GO TO 5.33

**5.33 Usually people have some deductions made at source such as Income tax, national insurance, pension or trade union subscriptions, life assurance premiums, private health insurance (e.g. BUPA) or other. What is the total net amount, i.e. after deductions at source and excluding expense refunds, you receive in your typical wage/salary payment? Include regular overtime, commission, tips etc.**

- 1. £0 ... and £500,000 GO TO 5.41 \_\_\_\_\_
- 98. DK GO TO 5.34
- 99. RF GO TO 5.34

**INTERVIEWER: The gross figure you have given is smaller/the same/much bigger when compared to the net figure you have given. Could you please confirm this is the case? If you are satisfied that these are correct then we can proceed to the next question**

**5.34 Which of the following best describes what it amounted to?**

Range GO TO 5.41

- 1. Less than £250
- 2. More than £250, less than £350
- 3. More than £350, less than £500
- 4. More than £500, less than £800
- 5. More than £800, less than £1050
- 6. More than £1050, less than £1200
- 7. More than £1200, less than £1800
- 8. More than £1800, less than £2400
- 9. More than £2400 GO TO 5.35
- 98. DK GO TO 5.35
- 99. RF GO TO 5.35

**5.35 Which of the following best describes what it amounted to?**

Range GO TO 5.41

- 1. More than £2400, less than £3500
- 2. More than £3500, less than £4500
- 3. More than £4500, less than £7000
- 4. More than £7000, less than £9,000
- 5. More than £9,000, less than £11,500
- 6. More than £11,500, less than £14,000
- 7. More than £14,000, less than £19,000
- 8. More than £19,000, less than £24,000
- 9. More than £24,000, less than £29,000
- 10. More than £29,000, less than £59,000
- 11. More than £59,000
- 98. DK GO TO 5.41
- 99. RF GO TO 5.41

**5.41 What was the total gross amount you earned in the last 12 months in this job? (Include all types of overtime, commission, bonuses, share options etc.)**

- £0 ... and £1,000,000
- 1. Range GO TO 5.42 \_\_\_\_\_
- 98. DK GO TO 5.42
- 99. RF GO TO 5.42

**5.42 Which of the following best describes what it amounted to?**

BREAKPOINTS: £15,000, £22,500, £30,000, £60,000.

1. Less than £15,000
2. More than £15,000, less than £22,500
3. More than £22,500, less than £30,000
4. More than £30,000, less than £40,000
5. More than £40,000, less than £50,000
6. More than £50,000, less than £60,000
7. More than £60,000
98. DK
99. RF

**IF 5.11 = NO, DK or RF - GO TO 5.71**

## **SUBSIDIARY JOBS**

**5.43 We would like to ask some questions about your other jobs. Starting with the job with the next highest salary or next most number of hours per week, are you**

1. An employee
2. Self-employed
98. DK
99. RF

**5.44 How many hours a week do you usually work in this job, excluding meal breaks (but including any paid or unpaid overtime)?**

1. Number of hours \_\_\_\_\_
98. DK
99. RF

**GO TO 5.71**

## **UNEMPLOYED**

**5.45 In what year did you become unemployed?**

1. Year (YYYY) \_\_\_\_\_
98. DK
99. RF

**5.46 In what month did you become unemployed?**

1. January
2. February
3. March
4. April
5. May
6. June
7. July
8. August
9. September
10. October
11. November
12. December
98. DK
99. RF

**5.47 Would you tell us how you became unemployed? Was it:**

### **SHOW CARD HOW\_UNEMPLOYED**

1. Because your place of work or office closed
2. Because you resigned
3. Because you were laid off
4. By mutual agreement between you and your employer
5. Because a temporary job had been completed
95. Other reason
98. DK
99. RF

**5.48 Have you been doing anything to find work during the last four weeks?**

1. Yes **GO TO 5.51**
2. No

98. DK GO TO 5.51

99. RF GO TO 5.51

**5.49 What is the main reason you were not looking for work during the last four weeks?**

**SHOW CARD NOT\_LOOKING\_FOR\_WORK**

1. Believes nothing available in line of work is available
2. Doesn't believe can find work
3. Doesn't have needed skills /experience
4. Believes employers think he/she too old
5. Believes employers won't hire older women or minorities
6. Poor health, disability
7. Family responsibilities/child responsibilities
8. Transportation problems
9. No particular reason
95. Other (specify)
98. DK
99. RF

**5.50 Other (specify) \_\_\_\_\_**

98. DK

99. RF

**5.51 Are you looking for part-time or full-time work?**

1. Part-time
2. Full-time
3. Either kind
98. DK
99. RF

**5.52 What is the lowest monthly wage you would accept if a job was offered to you?**

1. £0...£10,000 \_\_\_\_\_

98. DK

99. RF

**5.53 Are you available for work immediately (i.e. within two weeks)?**

1. Yes
2. No
98. DK
99. RF

**GO TO 5.71**

**PERMANENTLY SICK OR DISABLED**

**5.54 In what year did you become disabled?**

- 1. Year (YYYY) \_\_\_\_\_
- 98. DK
- 99. RF

**5.55 In what month did you become disabled?**

- 1. January
- 2. February
- 3. March
- 4. April
- 5. May
- 6. June
- 7. July
- 8. August
- 9. September
- 10. October
- 11. November
- 12. December
- 98. DK
- 99. RF

**5.56 Was this illness caused by the working activities in your last job?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**GO TO 5.71**

## **RETIRED**

### **5.57 Is this the first time you have been retired?**

1. Yes
2. No
- 98.DK
- 99.RF

### **5.58 (Thinking about your most recent retirement/ thinking about your previous retirement) In what year did you retire?**

1. Year (YYYY) \_\_\_\_\_
98. DK
99. RF

### **5.59 In what month did you retire?**

1. January
2. February
3. March
4. April
5. May
6. June
7. July
8. August
9. September
10. October
11. November
12. December
98. DK
99. RF

### **5.60 (Were you) Are you retired full time or part time?**

1. Full time
2. Part time

### **5.61 What would you say was the main reason why you retired?**

#### **SHOW CARD WHY\_RETIRED**

1. Became eligible for a state pension
2. Became eligible for an occupational pension
3. Became eligible for a private pension or annuity
4. Made redundant
5. Own ill health
6. Ill health of relative or friend
7. To retire at same time as spouse or partner
8. To spend more time with family
9. To enjoy life
10. Other reason (please specify)
98. DK
99. RF

**5.62 Did you take early retirement, that is did you retire before the normal retirement age?**

- 1 Yes
- 2 No **GO TO 5.65**
- 98. DK **GO TO 5.65**
- 99. RF **GO TO 5.65**

**5.63 What were your reasons for taking early retirement?**

**SHOW CARD EARLY\_RETIREMENT**

- 1 Own ill health
- 2 Ill health of a relative/friend
- 3 Made redundant/dismissed/had no choice
- 4 Offered early retirement incentive by employer
- 5 Could not find another job
- 6 To spend more time with partner/ family
- 7 To enjoy life while still young and fit enough
- 8 Fed up with job and wanted a change
- 9 To retire at the same time as husband/wife/partner
- 10 To give the young generation a chance
- 95 Other (specify)
- 98. DK
- 99. RF

**5.64 Other (specify)**

Text: up to 60 characters \_\_\_\_\_

- 98. DK
- 99. RF

**5.65 Were you offered an early retirement incentive by your employer?**

- 1 Yes
- 2 No
- 98. DK
- 99. RF

**5.66 (Thinking about your most recent retirement/ first retirement) Did you retire on a ...**

- 1. full pension
- 2. reduced pension
- 3. or, no pension?
- 98. DK
- 99. RF

**IF 5.4 = 2 (NEVER DONE PAID WORK) GO TO 5.71**

**IF 5.57 = 2 (NOT FIRST TIME RETIRED) GO TO 5.67 ELSE GO TO 5.68**

**5.67 Why did you return to work after retiring?**

**SHOW CARD RETURN\_TO\_WORK**

- 1. Inadequate pension
- 2. Your income needs were no longer satisfied
- 3. Missed being productive and contributing in workforce
- 4. Boredom
- 5. Loneliness
- 6. Other (SPECIFY)
- 98. DK
- 99. RF



GO TO 5.58

ONCE LAST RETIREMENT GO TO 5.68

**5.68 I'd like to ask some details about your last job. In what year did you stop working at this job?**

1. Year (YYYY) \_\_\_\_\_

98. DK

99. RF

**5.69 In what month did you stop working at this job?**

1. January

2. February

3. March

4. April

5. May

6. June

7. July

8. August

9. September

10. October

11. November

12. December

98. DK

99. RF

**5.70 For how many years had you been working in your last job before you left?**

1. Number of years \_\_\_\_\_

98. DK

99. RF

## **UNPAID WORK**

**5.71 Are you involved in voluntary work or charitable activity? Please include any time you spend travelling so that you can do these activities.**

**SHOW CARD HOURS\_PER\_WEEK**

1. No
2. Yes, 1-4 hours per week
3. Yes, 5-9 hours per week
4. Yes, 10-19 hours per week
5. Yes, 20-34 hours per week
6. Yes, 35-49 hours per week
7. Yes, 50 hours or more a week
98. DK
99. RF

**5.72 Do you look after, or give any help or support to family members, friends, neighbours or others because of either: long-term physical or mental ill-health/disability? Or problems related to old-age? Please include any time you spend travelling so that you can do these activities. (Do not count anything you do as part of paid employment).**

**SHOW CARD HOURS\_PER\_WEEK**

1. No
2. Yes, 1-4 hours per week
3. Yes, 5-9 hours per week
4. Yes, 10-19 hours per week
5. Yes, 20-34 hours per week
6. Yes, 35-49 hours per week
7. Yes, 50 hours or more a week
98. DK
99. RF

**IF 2.34 = 1 (1 PERSON ONLY IN HOUSEHOLD) GO TO 5.78**

**5.73 Is there someone in the household who has a learning disability?**

1. Yes
2. No **GO TO 5.78**
98. DK **GO TO 5.78**
99. RF **GO TO 5.78**

**5.74 How many members of your household have a learning disability?**

1. Number (Range:  
1..3) \_\_\_\_\_
98. DK
99. RF

**GO THROUGH 5.75- 5.77 FOR EACH DISABLED HOUSEHOLD MEMBER, ONCE COMPLETE GO TO 5.78**

**5.75 Starting from the oldest householder with a learning disability are they :**

1. Male
2. Female
98. DK
99. RF

**5.76 What is [his/her] age?**

- 1. Age \_\_\_\_\_
- 98.DK
- 99.RF

**5.77 What is your relationship to [him/her]?**

**IF 5.75 = 1 SHOW CARD MALES\_RELATION**

**IF 5.75 = 2 SHOW CARD FEMALES\_RELATION**

<b>MALES</b>	<b>FEMALES</b>
1. Husband	2. Wife
<b>3. Partner/cohabitee</b>	<b>3. Partner/cohabitee</b>
5. Father	4. Mother
7. Father-in-law	6. Mother-in-law
26. Stepfather	27. Stepmother
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative

**5.78 Do you look after/care for children - either your own or other family members? (Do not count anything you do as part of paid employment).**

**IF YES, For how many hours per week?**

**SHOW CARD HOURS\_PER\_WEEK**

- 1. No
- 2. Yes, 1-4 hours per week
- 3. Yes, 5-9 hours per week
- 4. Yes, 10-19 hours per week
- 5. Yes, 20-34 hours per week
- 6. Yes, 35-49 hours per week
- 7. Yes, 50 hours or more a week
- 98. DK
- 99. RF

**5.79 Do you do cooking and housework? (Do not count anything you do as part of paid employment).**

**IF YES, for how many hours per week?**

**SHOW CARD HOURS\_PER\_WEEK**

- 1. No
- 2. Yes, 1-4 hours per week
- 3. Yes, 5-9 hours per week
- 4. Yes, 10-19 hours per week
- 5. Yes, 20-34 hours per week
- 6. Yes, 35-49 hours per week
- 7. Yes, 50 hours or more a week
- 98. DK
- 99. RF

**5.80 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN EMPLOYMENT SITUATION SECTION?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL OF THE TIME

## **SECTION 6: Income & Assets**

**INTERVIEWER NOTE: IF ANOTHER RESPONDENT IN THE HOUSEHOLD HAS ALREADY ANSWERED THIS SECTION ON BEHALF OF THE HOUSEHOLD, YOU CAN MOVE TO SECTION 7**

- Section 6 not already answered – GO TO 6.1
- Section 6 already answered

**INTERVIEWER: Before moving on, please re-confirm you are sure that section 6 has already been completed. Data will be missed if this is coded incorrectly.**

- I confirm that section 6 has already been answered for this household – GO TO SECTION 7

**PLEASE PROVIDE NAME OF RESPONDENT WHO HAS COMPLETED SECTION 6**

---

**GO TO SECTION 7**

### **WORK FOR PAY**

#### **6.1 Does the respondent's partner live in the household?**

1. Yes
2. No

(If no- Even though [^partner's name] is not living here at the moment, we are still interested in any income that [^he / she] may receive or income that is paid to you on their behalf. Please include any income that is paid directly to social services or to the home they are staying in.)

We are interested in how people are managing financially these days. Some people's income varies over time and so in order to clearly understand how people are managing financially, we need to consider their situation in the last year rather than just over the last month.

The next questions are about types of income you [and your [^husband / wife / partner]] may receive. We may have asked you [or your [^husband / wife / partner]] some of the details earlier but it is important for us to check that we understand your situation correctly.

#### **6.2 Is this OK?**

1. Continue
2. Refuses to answer about husband/wife

#### **6.3 Did you [or your [^husband / wife / partner]] do any work for pay in the last year (that is since [^date a year ago])?**

1. Yes
2. No **GO TO 6.6**
98. DK **GO TO 6.6**
99. RF **GO TO 6.6**

#### **6.4 About how much wage and salary income did you [and your [^husband / wife / partner]] receive in the last year before taxes and other deductions?**

1. £0 ... £900,000 **GO TO 6.6**
98. DK
99. RF

**6.5 Was it? BRACKETS (10000, 20000, 35000, 100000)**

1. Less than £10,000
  2. More than £10,000 but less than £20,000
  3. More than £20,000 but less than £35,000
  4. More than £35,000 but less than £100,000
98. DK
99. RF

## **PENSIONS**

**6.6 Did you [or your [^husband / wife / partner]] receive any money from a state pension in the last year (that is since [^date a year ago])?**

Include basic state pension and SERPS.

- 1. Yes
- 2. No **GO TO 6.12**
- 98. DK **GO TO 6.12**
- 99. RF **GO TO 6.12**

**6.7 Which of you received the state pension in the last year?**

- 1. Respondent only
- 2. Spouse / partner only **GO TO 6.10**
- 3. Both
- 98. DK
- 99. RF

**6.8 Did you start receiving this state pension in the last year (that is since [^date a year ago])?**

- 1. Yes
- 2. No **GO TO PRE ROUTING AT 6.12**
- 98. DK **GO TO PRE ROUTING AT 6.12**
- 99. RF **GO TO PRE ROUTING AT 6.12**

**6.9 Which month did you start receiving it?**

- 1. January
- 2. February
- 3. March
- 4. April
- 5. May
- 6. June
- 7. July
- 8. August
- 9. September
- 10. October
- 11. November
- 12. December
- 98. DK
- 99. RF

**IF 6.7 = 3 GO TO 6.10**

**ELSE 6.12**

**6.10 Did your [^husband / wife / partner] start receiving this pension in the last year (that is since [^date a year ago])?**

- 1. Yes
- 2. No **GO TO 6.12**
- 98. DK **GO TO 6.12**
- 99. RF **GO TO 6.12**

**6.11 Which month did your [^husband / wife / partner] start receiving it?**

1. January
2. February
3. March
4. April
5. May
6. June
7. July
8. August
9. September
10. October
11. November
12. December
98. DK
99. RF

**6.12 Did you [or your [^husband / wife / partner]] receive any money from a personal or employer pension in the last year (that is since [^date a year ago])?**

If Yes: You can tell me either a yearly total or monthly amounts.

1. Yes, monthly
2. Yes, yearly
3. No
98. DK
99. RF

**6.13 Apart from any pension income and anything else you have already told me about, did you [or your [^husband / wife / partner]] receive any annuity income in the last year (that is since [^date a year ago])?**

**Annuity income is when you make a lump sum payment to a financial institution and in return they give you a regular income for the rest of your life.**

1. Yes
2. No **GO TO 6.15**
98. DK **GO TO 6.15**
99. RF **GO TO 6.15**

**6.14 Which of you received annuity income in the last year?**

1. Respondent only
2. Spouse / partner only
3. Both
98. DK
99. RF



## **BENEFITS**

**6.15 [^Are you [/ Is your [husband / wife / partner]]] receiving any of these health or disability benefits at the moment?**

1. Yes
2. No **GO TO 6.17**
98. DK **GO TO 6.17**
99. RF **GO TO 6.17**

**6.16 Which of these health or disability benefits are you personally receiving at the moment?**

**PROBE: What others?**

**INTERVIEWER NOTE: IF respondent asks about Disabled Persons Tax Credit or Disability Working Allowance, explain that this benefit was abolished in April 2003 and became part of the Working Tax Credit.**

### **SHOW CARD BENEFITS\_1**

1. Incapacity Benefit previously Invalidation Benefit
2. Employment and Support Allowance
3. Severe Disablement Allowance SDA
4. Statutory sick pay SSP
5. Attendance Allowance
6. Disability Living Allowance
7. Industrial Injuries Disablement Benefit
8. War Disablement Pension or War Widow's Pension or Armed Forces Compensation Scheme
9. Carer's Allowance
95. Some other benefit for people with disabilities (SPECIFY)
96. None of these
98. DK
99. RF

Enter the name of the other benefit \_\_\_\_\_

**6.17 Which of these health or disability benefits did you unsuccessfully apply for in the last 12 months?**

**INTERVIEWER NOTE: IF respondent asks about Disabled Persons Tax Credit or Disability Working Allowance, explain that this benefit was abolished in April 2003 and became part of the Working Tax Credit.**

### **SHOW CARD BENEFITS\_1**

1. Incapacity Benefit previously Invalidation Benefit
2. Employment and Support Allowance
3. Severe Disablement Allowance SDA
4. Statutory sick pay SSP
5. Attendance Allowance
6. Disability Living Allowance
7. Industrial Injuries Disablement Benefit
8. War Disablement Pension or War Widow's Pension or Armed Forces Compensation Scheme
9. Carer's Allowance
95. Some other benefit for people with disabilities (SPECIFY)
96. None of these
98. DK
99. RF

**6.18 Which of these health or disability benefits did you stop receiving following an unfavourable review in the last 12 months?**

**INTERVIEWER NOTE: IF respondent asks about Disabled Persons Tax Credit or Disability Working Allowance, explain that this benefit was abolished in April 2003 and became part of the Working Tax Credit.**

**SHOW CARD BENEFITS\_1**

1. Incapacity Benefit previously Invalidity Benefit
2. Employment and Support Allowance
3. Severe Disablement Allowance SDA
4. Statutory sick pay SSP
5. Attendance Allowance
6. Disability Living Allowance
7. Industrial Injuries Disablement Benefit
8. War Disablement Pension or War Widow's Pension or Armed Forces Compensation Scheme
9. Carer's Allowance
95. Some other benefit for people with disabilities (SPECIFY)
96. None of these
98. DK
99. RF

**6.19 Which of these health or disability benefits is your [^husband / wife / partner] receiving at the moment?**

**PROBE: What others?**

**SHOW CARD BENEFITS\_1**

1. Incapacity Benefit previously Invalidity Benefit
2. Employment and Support Allowance
3. Severe Disablement Allowance SDA
4. Statutory sick pay SSP
5. Attendance Allowance
6. Disability Living Allowance
7. Industrial Injuries Disablement Benefit
8. War Disablement Pension or War Widow's Pension or Armed Forces Compensation Scheme
9. Carer's Allowance
95. Some other benefit for people with disabilities (SPECIFY)
96. None of these
98. DK
99. RF

**6.20 Which, if any, of these health or disability benefits has your [^husband / wife / partner] received in the last year?**

**Include any currently receiving.**

**PROBE: What others?**

**SHOW CARD BENEFITS\_1**

1. Incapacity Benefit previously Invalidity Benefit
2. Employment and Support Allowance
3. Severe Disablement Allowance SDA
4. Statutory sick pay SSP
5. Attendance Allowance
6. Disability Living Allowance
7. Industrial Injuries Disablement Benefit
8. War Disablement Pension or War Widow's Pension or Armed Forces Compensation Scheme
9. Carer's Allowance

- 95. Some other benefit for people with disabilities (SPECIFY)
- 96. None of these
- 98. DK
- 99. RF

**6.21 Which of these benefits [^are you / Is [^your husband / wife / partner]] receiving at the moment?  
PROBE: What others?**

**INTERVIEWER NOTE: If respondent is aged 60 or over and says they are currently receiving Income Support or Minimum Income guarantee (MIG) explain that this benefit was replaced in October 2003 with Pension Credit.**

**SHOW CARD BENEFITS\_2**

- 1. Income Support
- 2. Pension Credit (income support for the over 60's, replaced Minimum Income Guarantee in October 2003)
- 3. Working Tax Credit (formerly part of Working Families Tax Credit)
- 4. Job-seeker's Allowance formerly Unemployment Benefit
- 5. Guardian's Allowance
- 6. Widow's pension / Widowed mother's allowance / Widowed Parent's allowance / Bereavement allowance
- 7. Child Benefit
- 8. Child Tax Credit
- 95. Some other State Benefit (SPECIFY)
- 96. None of these
- 98. DK
- 99. RF

**6.22 Did you [ or your [^husband / wife / partner]] receive a Winter Fuel Payment in the last year (that is since [^date a year ago])?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

## **SAVINGS AND INVESTMENT**

Savings and Investments are an important part of some household's finances. The next questions ask about a number of different kinds of savings or investments you [or [^your [^husband / wife / partner]] may have. [Please include only savings and investments held in your sole name.]

### **6.23 Which, if any, of these do you [or [^your [^husband / wife / partner]] have?**

**PROBE: What others?**

**SHOW CARD SAVINGS**

**CAN SELECT MORE THAN ONE, ONCE BEEN THROUGH ALL GO TO 6.26**

1. Current Account at a bank, building society or elsewhere
2. Savings Account at a bank, building society, credit union or elsewhere
3. ISA **GO TO 6.24**
4. Premium Bonds
5. National Savings Accounts or Certificates
6. Stocks and / or Shares **GO TO 6.25**
7. Share Options / Employee share ownership **GO TO 6.25**
8. Share clubs **GO TO 6.25**
9. Unit or Investment Trusts
10. Bonds and Gilts (government or corporate)
95. Other Savings or Investments
96. None of these
98. DK
99. RF

### **6.24 Which of the following do you [and your [^husband / wife / partner]] have in ISA(s)...**

1. cash
2. stocks and shares
3. both
98. DK
99. RF

### **6.25 Which of the following types of shares do you [and your [^husband / wife / partner]] have**

**SHOW CARD SHARES**

1. shares in privatised industries (for example, British Telecom, Scotland Electricity or Post Office?)
2. shares in demutualised building societies or other mutual societies?
3. shares in other UK listed companies?
4. shares in foreign listed companies?

### **6.26 Do you [or your [^husband / wife / partner]] have any life insurance policies?**

1. Yes
2. No **GO TO 6.29**
98. DK **GO TO 6.29**
99. RF **GO TO 6.29**

### **6.27 Which of you has life insurance policies?**

1. Respondent only
2. Spouse / partner only
3. Both
98. DK
99. RF

**6.28 Do any of [your / your and your [^husband's / wife's / partner's] / your [^husband's / wife's / partner's]] life insurance policy or policies have a savings component?**

That is the value of the fund will be paid at some point in the future.

1. Yes
2. No
98. DK
99. RF

**INTERVIEWER QUESTIONS**

**6.29 INTERVIEWER: PLEASE ANSWER. Did the respondent...**

1. consult documents frequently
2. consult documents occasionally
3. not consult documents

**6.30 How accurate do you think the answers given by the respondent were?**

1. Very accurate
2. Fairly accurate
3. Not very accurate
4. Not at all accurate

**SAVINGS AND INVESTMENT CONTINUED**

**6.31 Which, if any, of these assets do you [or your [^husband / wife / partner]] have, not including your own home?**

**SHOW CARD ASSETS**

PROBE: What others?.

1. Houses, flats or holiday homes, including timeshares (not including this home)
2. Farm or Business Property (such as a shop, warehouse or garage)
3. Other land **GO TO 6.33**
4. Money owed to you by others **GO TO 6.33**
5. A trust **GO TO 6.33**
6. A covenant or inheritance **GO TO 6.33**
95. Other assets (including works of art or collectibles such as antiques or jewellery) **GO TO 6.33**
96. None of these **GO TO 6.33**
98. DK **GO TO 6.33**
99. RF **GO TO 6.33**

**6.32 Did you [and your [^husband / wife / partner]] receive any income or rent from that property in the last year?**

1. Yes
2. No
98. DK
99. RF

## **REGULAR PAYMENTS FROM SOMEONE OUTSIDE THE HOUSEHOLD**

**6.33** Apart from anything you have already told me about, did you receive any regular payments from people not living here in the past year (that is since [^date a year ago])?

1. Yes
2. No **GO TO 6.49**
98. DK **GO TO 6.49**
99. RF **GO TO 6.49**

**6.34** Thinking about your [^first / second / third / next] payment who was it from?

**ASK 6.34- 6.40 FOR EACH PAYMENT UNTIL 4**

**SHOW CARD PAYMENT\_FROM**

1. Husband / Wife
2. Ex-husband / wife / partner
3. Son / Daughter
4. Son / Daughter-in-law
5. Parent
6. Parent-in-law
7. Brother / Sister
8. Brother / Sister-in-law
9. Grandchild
10. Other relative
11. Other non-relative
12. Local Authority
13. Publisher
98. DK **GO TO 6.40**
99. RF **GO TO 6.40**

**6.35** What was the reason for this payment?

**SHOW CARD REASON\_PAYMENT**

CODE ONE ONLY.

1. Financial support for children
2. Household bills / expenses
3. Spending money / allowance
4. Loan repayment
5. Maintenance or alimony
6. Royalties
95. Other (specify)
98. DK **GO TO 6.40**
99. RF **GO TO 6.40**

Enter other reason \_\_\_\_\_

**6.36 What period did your usual payments cover?**

**SHOW CARD PAYMENTS\_PERIOD**

- 1. One week
- 2. Two weeks
- 3. Three weeks
- 4. Four weeks
- 5. Calendar month
- 7. Two Calendar months
- 8. Eight times a year
- 9. Nine times a year
- 10. Ten times a year
- 13. Three months / 13 weeks
- 26. Six months / 26 weeks
- 52. One Year / 12 months / 52 weeks
- 90. Less than one week
- 95. One off / lump sum **GO TO 6.40**
- 96. None of these (EXPLAIN IN A NOTE)
- 98. DK **GO TO 6.40**
- 99. RF **GO TO 6.40**

**6.37 Did you receive this for the whole year, that is since [^date a year ago]?**

- 1. Yes
- 2. No
- 98. DK **GO TO 6.40**
- 99. RF **GO TO 6.40**

**6.38 How many months / weeks did you receive this payment for?**

Code whether the answer is given in months or weeks.

- 1. months \_\_\_\_\_
- 2. weeks \_\_\_\_\_
- 98. DK **GO TO 6.40**
- 99. RF **GO TO 6.40**

**6.39 Enter number of months or weeks.**

- 1. Range: 0..97 \_\_\_\_\_
- 98. DK **GO TO 6.40**
- 99. RF **GO TO 6.40**

**6.40 Did you receive any other regular payments from people not living here in the past year?**

- 1. Yes **GO BACK TO 6.34 AND SUBSEQUENT ROUTING TO COLLECT DETAILS OF OTHER PAYMENTS**
- 2. No
- 98. DK
- 99. RF

**6.41 Apart from anything you have already told me about, did you (or) / your [^husband / wife / partner] receive any regular payments from people not living here in the past year (that is since [^date a year ago])?**

- 1. Yes **GO BACK TO 6.34 AND SUBSEQUENT ROUTING TO COLLECT DETAILS OF OTHER PAYMENTS**
- 2. No
- 98. DK
- 99. RF

## **PAYMENTS IN KIND**

6.42 Which, if any, of these payments and payments in kind [^did / have / has] your [^husband / wife / partner] / [^partner's name] / [^you or ^partner's name] / you [or your [^husband / wife / partner]] [^receive / received] in the last year (that is since [^date a year ago])?

PROBE: What others?

### **SHOW CARD PAYMENTS\_IN\_KIND**

1. Life insurance policy
2. Lump sum pension payout
3. Personal Accident plan
4. Other insurance payment
5. Redundancy payment
6. Inheritance or bequest (inc. inherited property)
7. Win(s) on the football pools, national lottery or other form of gambling
95. Other payment (SPECIFY)
96. None of these
98. DK
99. RF

**INTERVIEWER:** Write in source of other payment.

---



## **OTHER FINANCIAL COMMITMENTS**

I would like to ask you about any other financial commitments you [or your [^husband / wife / partner]] may have apart from mortgages or housing related loans.

**6.43 Do you [or your [^husband / wife / partner]] currently owe any money on credit or store cards?**

NOTE: Record 'No' if respondent pays off the balance on their credit or store cards each month.

1. Yes
2. No
98. DK
99. RF

**6.44 Do you [or your [^husband / wife / partner]] currently owe any money to friends, relatives, or other private individuals?**

1. Yes
5. No
98. DK
99. RF

**IF 6.43 & 6.44 = 2, 98 OR 99 GO TO 6.49  
ELSE 6.45**

**6.45 Do you [and your [^husband / wife / partner]] make regular repayments on these loans?**

1. Yes
2. No **GO TO 6.47**
98. DK **GO TO 6.47**
99. RF **GO TO 6.47**

**6.46 What period do these repayments cover?**

### **SHOW CARD PAYMENTS\_PERIOD**

1. One week
2. Two weeks
3. Three weeks
4. Four weeks
5. Calendar month
7. Two Calendar months
8. Eight times a year
9. Nine times a year
10. Ten times a year
13. Three months / 13 weeks
26. Six months / 26 weeks
52. One Year / 12 months / 52 weeks
90. Less than one week
95. One off / lump sum
96. None of these (EXPLAIN IN A NOTE) \_\_\_\_\_
98. DK
99. RF

**6.47 On which, if any, of these do you [or your [^husband / wife / partner]] currently owe money?**

**PROBE: What others?**

**SHOW CARD OWE\_MONEY**

1. Hire purchase agreements
2. Personal loans (from bank, building society or other financial institution)
3. Overdraft
4. Catalogue or mail order purchase agreements
5. DWP social fund loan
6. Loan from a money lender or 'tally man'
96. None of these **GO TO 6.49**
98. DK **GO TO 6.49**
99. RF **GO TO 6.49**

**6.48 What period do your [and your [^husband's / wife's / partner's]] usual repayments on these loans cover?**

**SHOW CARD PAYMENTS\_PERIOD**

1. One week
2. Two weeks
3. Three weeks
4. Four weeks
5. Calendar month
7. Two Calendar months
8. Eight times a year
9. Nine times a year
10. Ten times a year
13. Three months / 13 weeks
26. Six months / 26 weeks
52. One Year / 12 months / 52 weeks
90. Less than one week
95. One off / lump sum
96. None of these (EXPLAIN IN A NOTE) \_\_\_\_\_
97. Doesn't make repayments
98. DK
99. RF

## **JOINT ASSETS**

### **ASK 6.49 – 6.52 IF 3.11 = 1,2,7 (MARRIED/LIVING WITH PARTNER/CIVIL PARTNERSHIP)**

**6.49 Can I just check, do you have any savings, investments, property or other assets that are held jointly with your [^husband / wife / partner]?**

1. Yes
2. No
98. DK
99. RF

**6.50 Which of the phrases on the card best describes how you [and your [^husband / wife / partner]] are getting along financially these days?**

#### **SHOW CARD MANAGING\_FINANCIALLY**

1. manage very well
2. manage quite well
3. get by alright
4. don't manage very well
5. have some financial difficulties
6. have severe financial difficulties
98. DK
99. RF

**6.51 People organise their family finances in different ways. Which of the methods on this card comes closest to the way you organise yours? It doesn't have to fit exactly - just choose the nearest one.** You can just tell me the number which applies.

#### **SHOW CARD ORGANISING\_FINANCES**

1. I look after all the household money except my partner's personal spending money
2. My partner looks after all the household money except my personal spending money
3. I am given a housekeeping allowance. My partner looks after the rest of the money
4. My partner is given a housekeeping allowance. I look after the rest of the money
5. We share and manage our household finances jointly
6. We keep our finances completely separate
95. Some other arrangement
98. DK
99. RF

Please record details.

---

**6.52 In your household who has the final say in big financial decisions?**

DO NOT PROMPT. CODE ONE ONLY.

1. Respondent
2. Partner
3. Both have equal say
95. Other
98. DK
99. RF

Write in other answer \_\_\_\_\_

**6.53 In the past 12 months, were you (personally) ever hungry because you couldn't afford enough food, or could only eat by visiting a food bank?"**

1. Yes
2. No
98. Don't Know, 99. Refused

## **OTHER HOUSEHOLD MEMBERS CONTRIBUTION**

*Other household members make an important contribution to overall finances in some households. In order to understand your household situation better we would like to collect some very brief summary information about the financial circumstances of ^[names of adults aged 16 and over in the household].*

1. Continue
2. SPONTANEOUS: Respondent absolutely refuses outright to answer these questions

*Please explain why the respondent is refusing to answer these questions*

---

**INTERVIEWER: LOOP THROUGH EACH MEMBER OF THE HOUSEHOLD WHO IS AGED 16 OR OVER (UP TO PERSON**

**16) AND WHO IS NOT THE RESPONDENT OR THE RESPONDENT'S PARTNER**

**CHECK SECTION 2 FOR HOUSEHOLD MEMBERS**

**6.54 During the last year did ^name(p) do any work for pay?**

1. Yes
5. No
98. DK
99. RF

**6.55 Not including any job income, did ^[names of other household members] receive anything in the last year from benefits, pensions, interest, gifts or anything else (before any taxes or deductions)?**

1. Yes
5. No
98. DK
99. RF

*I would now like to ask you to consider if you would allow the University of Stirling to link your survey responses with records which may be held within the Department of Work and Pensions and/or HMRC*

**INTERVIEWER: READ OUT**

*National Insurance and Tax Contributions represent the income that people have and the pension that they may receive. As our ageing population increases we want our research to support people into and through retirement. This includes issues like pension planning, differences in employment/retirement outcomes for men and women and how earlier employment may affect life in retirement.*

*Scotland will also take on new welfare powers, many of which apply to older people. These include disability benefits, carers' benefits and winter fuel payments. This information will help us to understand more about the social and health outcomes of people who receive (or don't receive) benefits. This information is likely to be of interest to policy makers who need to plan the delivery of welfare payments in Scotland.*

**INTERVIEWER NOTE:** You should refer to the [Data Flow Diagram](#) to explain how we will ensure their data will be SECURE and CONFIDENTIAL/ANONYMISED and ask the respondent if they have any questions]

The consent form should be presented to the respondent. They should be allowed to read/review information (including how they can still take part in the study even if they do not consent to linkage, and if they do consent that they can withdraw consent at any time without reason) and ask any questions.

**Would you be willing to have your survey responses linked to your records you may have within the Department of Work and Pensions and/or HMRC?**

**Yes – Consent Form Signed and initialled**

**No**

**To discuss with respondent at latter stage of survey**

**6.56 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION INCOME AND ASSETS?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL TIMES

## **SECTION 7: Expectations & Retirement**

IF 5.1 = 1 GO TO 7.26

IF 5.1 = 2 OR 3 AND CURRENT AGE < CURRENT STATE RETIREMENT AGE GO TO 7.1

IF 5.1 = 4,5,6,7,95, 98, 99 AND 5.4 = 2 AND CURRENT AGE < STATE RETIREMENT AGE GO TO 7.1, THEN 7.23 THEN 7.36

IF 5.1 = 4,5,6,7,95,98,99 AND 5.4 = 2 AND CURRENT AGE > STATE RETIREMENT AGE GO TO 7.26

### **PRE RETIREMENT**

**7.1 How old will you be (in years and months) when you reach the State Pension age?**

**INTERVIEWER: Enter number of years and months**

Years \_\_\_\_\_

Months \_\_\_\_\_

98. DK

99. RF

### **EMPLOYED**

**7.2 I would now like to ask you some questions with regards to the arrangements you are making to prepare for retirement. You are now aged [GET AGE FROM 3.4-3.6], at what age do you expect to retire?**

1. Age \_\_\_\_\_

98. DK

99. RF

IF AGE FROM 7.2 > STATE RETIREMENT AGE GO TO 7.3

IF AGE FROM 7.2 < STATE RETIREMENT AGE GO TO 7.4

**7.3 What are your main reasons for wanting to work after you have reached the State Pension Age?**

**SHOW CARD REASON\_RETIRE\_AFTER\_SP\_AGE**

CODE ALL THAT APPLY

1. Could not afford to retire earlier
  2. Didn't know what to do after stopping work
  3. Enjoyed job/working
  4. To improve pension/financial position
  5. To keep fit and active
  6. To retire at the same time as husband/wife/partner
  7. Persuaded by employer to stay on
98. DK  
99. RF

GO TO 7.5

**7.4 Why do you want to retire early?**

**SHOW CARD RETIRE\_EARLY**

1. Offered early retirement incentive by employer
2. To spend more time with partner/ family
3. To enjoy life while still young and fit enough
4. To retire at the same time as husband/wife/partner
5. To give the young generation a chance
95. Other (specify) \_\_\_\_\_

- 98. DK
- 99. RF

**7.5 Some people choose not to receive any state pension income when they first become entitled, in order that in the future they will receive either a lump sum payment or a higher weekly state pension income. This is known as state pension deferral.**

**^[Have you/has name] deferred ^[your/his/her] state pension?"**

- 1. Yes
- 2. No **GO TO 7.8**
- 98. DK **GO TO 7.8**
- 99. RF **GO TO 7.8**

**7.6 Which ^[are you/is name] planning to claim in the future: a lump sum or higher weekly income?"**

- 1 Lump sum payment
- 2 Higher weekly state pension income
- 3 Have not decided yet
- 98. DK
- 99. RF

**7.7 At what age ^[are you/is name] planning to ^[claim/start claiming] this ^[lump sum/higher pension scheme/lump sum or higher pension scheme]?**

**You can tell me either at what age ^[you are/he/she is] planning to ^[claim/start claiming] or the month and year when ^[you plan/he/she plans] to ^[claim/start claiming]**

INTERVIEWER: Code how answer is given.

- 1 Age given \_\_\_\_\_
- 2 Month and year given \_\_\_\_\_
- 98. DK
- 99. RF

**7.8 Are you a member of an occupational pension scheme organised by your current employer (including public sector employers)?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**7.9 Do you have a private pension plan or annuity?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**IF 7.8 = 1 GO TO 7.13**  
**ELSE 7.10**

**7.10 Does your current employer offer any kind of pension scheme to its employees which you could join if you wished?**

- 1. Yes
- 2. No **GO TO 7.13**
- 98. DK **GO TO 7.13**
- 99. RF **GO TO 7.13**

**7.11 Why aren't you a member of this pension scheme?**

**SHOW CARD NOT\_JOIN\_SCHEME**

PROBE: What else?

1. Preferred, or already had, other arrangement
2. Expected to move job
3. Could not afford payments
4. Not eligible to join
95. Other reason (SPECIFY) code maximum 5 out of 5 possible responses]
98. DK
99. RF

**7.12 Other reason (specify)**

1. Text \_\_\_\_\_
98. DK
99. RF

**7.13 Prior to your current employment, have you ever been retired?**

1. Yes
2. No **GO TO PRE-ROUTING AT 7.19**
98. DK **GO TO PRE-ROUTING AT 7.19**
99. RF **GO TO PRE-ROUTING AT 7.19**

**7.14 What were your main reasons for returning to work after retirement?**

**CODE ALL THAT APPLY**

**SHOW CARD RETURN\_TO\_WORK**

1. Inadequate pension
2. Your income needs were no longer satisfied
3. Missed being productive and contributing in workforce
4. Boredom
5. Loneliness
6. Other (SPECIFY) \_\_\_\_\_
98. DK **GO TO PRE-ROUTING AT 7.19**
99. RF **GO TO PRE-ROUTING AT 7.19**

**7.15 Other reason (specify)**

1. Text \_\_\_\_\_
98. DK **GO TO PRE-ROUTING AT 7.19**
99. RF **GO TO PRE-ROUTING AT 7.19**

**7.16 In your previous employment, were you part of your employer's pension scheme?**

1. Yes **GO TO PRE-ROUTING AT 7.19**
2. No
98. DK **GO TO PRE-ROUTING AT 7.19**
99. RF **GO TO PRE-ROUTING AT 7.19**



**7.17 Why weren't you a member of this pension scheme?**

**SHOW CARD NOT\_JOIN\_SCHEME**

PROBE: What else?

1. Preferred, or already had, other arrangement
2. Expected to move job
3. Could not afford payments
4. Not eligible to join
5. They didn't have a pension scheme
95. Other reason (SPECIFY) code maximum 5 out of 5 possible responses]

**7.18 Other reason (specify)**

Text: up to 60 characters \_\_\_\_\_

- 98. DK
- 99. RF

**IF 7.8, 7.9 OR 7.16 = 1 (HAVE AN EMPLOYER PENSION) GO TO 7.19**

**IF 7.8 = 2 & 7.10 = 1 & 7.9 OR 7.16 = 2 (NOT GOT AN EMPLOYER PENSION) GO TO 7.23**

**7.19 You now have more options about what you can do with your pension savings compared to two years ago. Were you aware of this?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**7.20 How are you likely to use your pension savings?**

**SHOW CARD USE\_PENSION**

1. Buy an annuity
  2. Invest it in stocks and shares
  3. Invest it in property
  4. Go on a long holiday
  5. Keep it in a bank
  6. Other (SPECIFY) \_\_\_\_\_
- 98. DK
  - 99. RF

**7.21 Other reason (specify)**

Text: up to 60 characters \_\_\_\_\_

- 98. DK
- 99. RF

**7.22 Do you feel that you have received enough information about your expected pension at retirement?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**7.23 How do you plan to cope financially once you reach retirement age? CODE ALL THAT APPLY**

**SHOW CARD COPE\_FINANCIALLY**

1. Rely on state pension
2. Rely on partner
3. Rely on Inheritance
4. Rely on children
5. Keep on working
6. Other (SPECIFY)
98. DK
99. RF

**7.24 Other reason (specify)**

Text: up to 60 characters \_\_\_\_\_

98. DK
99. RF

**7.25 ASKED OF ALL WHO ANSWERS 7.23**

**7.25 From whom do you normally get information on how pension schemes operate?**

PROBE: Who else? CODE ALL THAT APPLY

[The names in brackets denote the binary variable in the dataset recording responses to that code]

**SHOW CARD PENSION\_INFORMATION**

1. No information
2. Employer
3. Pension scheme reports
4. Work-mates
5. Financial press
6. Accountant
7. Independent financial adviser
8. Insurance or pension company representative
95. Other
98. DK
99. RF

## **POST RETIREMENT**

**7.26 You've been retired since [CODE DATE FROM 5.58-5.59], I want to ask about how your current financial situation compares to your expectations prior to retirement. Is your current financial situation:-**

**IF RESPONDENT < RETIREMENT AGE ASK ALTERNATIVE 7.26 WORDING:**

**I want to ask about how your current financial situation compares to your expectations prior to reaching retirement age. Is your current financial situation:**

**SHOW CARD CURRENT\_FINANCIAL\_SITUATION**

1. Far better than I expected
2. Better than I expected
3. As I expected
4. Worse than I expected
5. Far worse than I expected
6. Other (SPECIFY)
98. DK
99. RF

**7.27 Other reason (specify)**

1. Text: up to 60 characters \_\_\_\_\_
98. DK
99. RF

**7.28 Since you retired, have you ever thought about returning to work?**

1. Yes
2. No
98. DK
99. RF

**7.29 Since you retired, have you ever thought about returning to work because you expect that in the future your pension will not be able to support you financially?**

1. Yes
2. No
98. DK
99. RF

**7.30 Since you retired, have you ever thought about borrowing money to help you cope financially?**

1. Yes
2. No
98. DK
99. RF

**IF RESPONDENT HAS AN ANNUITY GO TO 7.31, ELSE GO TO 7.32**

**7.31 Would you ever consider exchanging your annuity for cash?**

1. Yes
2. No
98. DK
99. RF

**7.32 Is this the first time you have been retired?**

1. Yes **GO TO 7.35**
2. No
98. DK **GO TO 7.35**

99. RF **GO TO 7.35**

**7.33 When you were retired previously, what was your main reason for returning to work?**

**SHOW CARD RETURN\_TO\_WORK**

1. Inadequate pension
  2. Your income needs were no longer satisfied
  3. Missed being productive and contributing in workforce
  4. Boredom
  5. Loneliness
  6. Other (SPECIFY)
98. DK  
99. RF

**7.34 Other reason (specify)**

Text: up to 60 characters \_\_\_\_\_

98. DK  
99. RF

**7.35 When you reached the state pension age did you...**

**SHOW CARD REACH\_STATE\_PENSION\_AGE**

1. Start receiving your state pension immediately
  2. Choose not to receive any state pension income initially in return for receiving a lump sum payment or a higher weekly state pension income from the government later on (also known as State Pension Deferral),
  3. [women only] or, did you have to wait until your husband/former husband reached state pension age before you became eligible for any state pension income?
98. DK  
99. RF

**7.36 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION EXPECTATIONS?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL TIMES

## **SECTION 8: Financial Literacy**

### **INTERVIEWER INSTRUCTION:**

The response 'Irrelevant answer' should only be used if the interviewer is absolutely convinced that the response does not fit within the normal boundaries of the question. The interviewer should not provide hints about the type of response expected.

Some questions will have alternative questions that may be asked if the respondent finds the initial questions difficult.

### **PLEASE READ TO THE RESPONDENT:**

*The next section of the questionnaire is more like a quiz. The questions are not designed to catch you out, so if you think you have the right answer, you probably do. If you don't know the answer, just say so.*

### **COMPOUND INTEREST**

**INTERVIEWER NOTE (DO NOT READ OUT): Scoring: Each correct question will receive 1 point. The minimum score is 0 and the maximum score is 10.**

**8.1 Imagine that five <brothers> are given a gift of £1,000. If the <brothers> have to share the money equally how much does each one get?**

Record numerical response. \_\_\_\_\_

Don't Know

Refused

Irrelevant answer

**INTERVIEWER NOTE: Correct answer: £200 (1 point)**

**8.2 Now imagine that the <brothers> have to wait for one year to get their share of the £1,000 and inflation stays at 0.6 percent (valid at 20<sup>th</sup> September 2016, ONS).. In one year's time they will be able to buy?**

- a) More with their share of the money than they could today;
- b) The same amount;
- c) Or, less than they could buy today.
- d) It depends on the types of things that they want to buy (DO NOT READ OUT)
- e) Don't know
- f) refused
- g) irrelevant answer

**INTERVIEWER NOTE: The correct answer is C but D) can be considered correct but should not be read out/shown (1 point)**

**8.3 You lend £25 to a friend one evening and he gives you £25 back the next day. How much interest has he paid on this loan?**

Record numerical response. \_\_\_\_\_

Don't know

Refused

Irrelevant answer

**INTERVIEWER NOTE: Correct answer; none, nothing, zero etc (1 point)**

**8.4 Suppose you put £100 into a <no fee> savings account with a guaranteed interest rate of 2% per year. You don't make any further payments into this account and you don't withdraw any money. How much would be in the account at the end of the first year, once the interest payment is made?**

Record numerical response. \_\_\_\_\_

Don't know

Refused

Irrelevant answer

**INTERVIEWER NOTE:** Correct response: 102 (1 point)

**8.5 And, how much would be in the account at the end of five years [add if necessary: remembering there are no fees]? Would it be:**

a) More than £110

b) Exactly £110

c) Less than £110

d) Or is it impossible to tell from the information given

e) Don't know

f) Refused

g) Irrelevant

**INTERVIEWER NOTE:** Correct answer: A (1 point)

**8.6 It is usually possible to reduce the risk of investing in the stock market by buying a wide range of stocks and shares.**

**Interviewer:** If the word 'risk' is difficult to translate, we recommend using the alternative question

*Alternative: It is less likely that you will lose all of your money if you save it in more than one place.*

True

False

**INTERVIEWER NOTE:** Correct answer: True (1 point)

**8.7 How confident do you feel that the answers you have provided so far are correct?**

1 Not confident at all

2

3

4

5

6

7 Extremely confident

**INTERVIEWER NOTE:** There is no right or wrong answer to this. This question should not be scored

**8.8 An investment with a high return is likely to be high risk**

**Interviewer:** If the word 'risk' is difficult to translate, we recommend using the alternative question

*Alternative: If someone offers you the chance to make a lot of money there is also a chance that you will lose a lot of money.*

True

False

**INTERVIEWER NOTE:** Correct answer: True (1 point)

**8.9 High inflation means that the cost of living is increasing rapidly**

- True
- False

**INTERVIEWER NOTE:** Correct answer: True (1 point)

**8.10 There is a TV of the same make and model on sale in two different shops. The recommended retail price is £1,100. One shop offers a discount of £125, while the other shop offers a 10% discount. Which offer is the better bargain?**

**SHOW CARD TV**

- 1. A discount of £125
- 2. A 10% discount
- 3. They are the same
- 4. Don't know
- 5. Refused

**INTERVIEWER NOTE:** Correct answer: 1 A discount of £125. (1 point)

**8.11 If I deposited £1000 in a bank that was paying a negative interest rate and withdrew my money a year later, would I receive?**

- 1. More than £1000
- 2. £1000
- 3. Less than £1000
- 4. Don't know
- 5. Refused

**INTERVIEWER NOTE:** Correct answer: 3. Less than £1,000. (1 point)

**8.12 Pension reforms mean that you can invest your pension savings as you wish. If you had saved £50,000 and were about to retire, how would you use this lump sum to support your retirement?**

- (a) Keep it in cash
- (b) Buy an annuity
- (c) Use it as a deposit on a property to rent out
- (d) Buy stocks and shares
- (e) Invest it in an ISA
- (f) Other (Please Specify) \_\_\_\_\_
- (g) Don't know
- (h) Refused

**INTERVIEWER NOTE:** (There is no correct answer to this question. This should not be scored.)

**8.13 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN FINANCIAL LITERACY SECTION?**

- 1. NEVER
- 2. A FEW TIMES
- 3. MOST OR ALL OF THE TIME

**INTERVIEWER NOTE:** RECORD SCORE BUT DO NOT REVEAL TO RESPONDENT

## **SECTION 9: Cognitive Health Part 1**

### **SUBJECTIVE MEMORY- SELF-RATED MEMORY**

**SAY:** *Part of this study is concerned with people's day-to-day memory and their ability to remember events that happened recently – for instance something that happened yesterday or this morning - rather than long ago.*

**9.1 How would you rate your day-to-day memory at the present time? Would you say it is?**

#### **SHOW CARD HEALTH\_RATING\_SCALE**

1. Excellent
2. Very good
3. Good
4. Fair
5. Poor
98. DK
99. RF

#### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER:** Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task

Yes, skip the task – **GO TO ORIENTATION IN TIME**

**9.2 How often would you find that you are absent minded, for example forgetting where you put your glasses / keys or finding yourself in a room having forgotten why you came in there? Would you say that you are absent minded in this sort of way...**

#### **SHOW CARD ABSENT\_MINDED**

1. All of the time
2. Most of the time
3. Some of the time
4. None of the time
98. DK
99. RF

**SAY:** *In the next section of the interview, we will do some memory and concentration tasks. Some of them may seem rather easy but others are more difficult so please listen carefully. The tasks are designed so no one gets the highest score possible. Please just do the best you can on all of them.*

**OTHER RESPONDENTS WHO WILL ALSO BE COMPLETING THE COGNITIVE TESTING SECTION SHOULD NOT BE PRESENT. IF OTHER RESPONDENTS ARE PRESENT ASK THEM TO LEAVE FOR THIS SECTION.**

**NO FEEDBACK SHOULD BE GIVEN TO RESPONDENTS REGARDING THEIR PERFORMANCE ON THE COGNITIVE TESTS (WITH THE EXCEPTION OF PRACTICE EXAMPLES).**

- 1. NO OTHER RESPONDENT PRESENT FOR COGNITIVE TESTING**
- 2. OTHER RESPONDENT PRESENT FOR COGNITIVE TESTING**



## **GLOBAL COGNITIVE- ORIENTATION IN TIME**

**[THE CORRECT DATE SHOULD BE SHOWN ON THE SCREEN SO THAT THE INTERVIEWER CAN USE THIS TO CHECK THE PARTICIPANT'S ANSWER]**

### **9.3 Please tell me what year it is**

1. Year given correctly
2. Year given incorrectly
98. DK
99. RF

### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER: Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task**

Yes, skip the task - **GO TO VERBAL DECLARATIVE MEMORY**

### **9.4 What month it is?**

1. Month given correctly
2. Month given incorrectly
98. DK
99. RF

### **9.5 Can you tell me what day of the week it is?**

1. Day of week given correctly
2. Day of week given incorrectly
98. DK
99. RF

### **9.6 Can you tell me what today's date is?**

1. Date given correctly
2. Date given incorrectly
98. DK
99. RF

## VERBAL DECLARATIVE MEMORY - WORD LIST LEARNING SHORT-TERM RECALL

**SAY:** For the next task the computer will 'read' a list of words which I will ask you to recall. First I'd like to check that you will be able to hear the tablet voice - please listen to this short message.

[PLAY MESSAGE]

**SAY:** Were you able to hear that OK?

[IF THE RESPONDENT CANNOT HEAR PROPERLY, ADJUST THE VOLUME ON THE TABLET THEN GO BACK TO THE PREVIOUS QUESTION AND PRESS TO PLAY THE TEST MESSAGE AGAIN.]

[IF THE RESPONDENT STILL CANNOT HEAR PROPERLY, CODE THAT YOU WILL READ OUT THE LIST YOURSELF.

1. LIST READ OUT BY TABLET
2. LIST READ OUT BY INTERVIEWER

**SAY:** The tablet will now read a set of 10 words. I would like you to recall as many as you can. We have made the list long so that it will be difficult for anyone to recall all the words – most people recall just a few. Please listen carefully as the words will not be repeated. When it has finished, I will ask you to recall aloud as many of the words as you can, in any order. Is this clear?

[IF NOT, EXPLAIN FURTHER.]

[IF YES SAY:] **Are you ready to hear the words?**

[PRESS <PLAY> TO BEGIN TEST

### **USE CARD WORD\_LIST #**

ONE RANDOMLY SELECTED LIST GIVEN TO EACH RESPONDENT. EACH RESPONDENT IN A HOUSEHOLD WAS GIVEN A DIFFERENT LIST. 1 WORD EVERY 2 SECONDS.

Word List A	Word List B	Word List C	Word List D
Hotel	Sky	Women	Water
River	Ocean	Rock	Church
Tree	Flag	Blood	Doctor
Skin	Dollar	Corner	Palace
Gold	Wife	Shoes	Fire
Market	Machine	Letter	Garden
Paper	Home	Girl	Sea
Child	Earth	House	Village
King	College	Valley	Baby
Book	Butter	Engine	Table

### **RECORD WHICH WORD LIST IS GIVEN TO RESPONDENTS**

1. Word List A
2. Word List B
3. Word List C
4. Word List D

**9.7 Now please tell me all the words you can recall.**

[SELECT THE WORDS ONSCREEN THAT THE PARTICIPANT RECALLS. ALLOW AS MUCH TIME AS THE RESPONDENT WISHES, UP TO 2 MINUTES.]

- IF THE PARTICIPANT PAUSES FOR ~10 SECONDS AFTER RECALLING THE WORDS, SAY: *ANYTHING ELSE?*
- IF THE RESPONDENT FINISHES RECALLING ALL THE WORDS THEY CAN REMEMBER BEFORE THE END OF THE 2 MINUTES, YOU CAN MOVE ON

1.....10

98. DK

99. RF

#### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER: Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task**

Yes, skip the task - **GO TO ANIMAL FLUENCY**

#### **THIS SECTION IS ONLY TO BE UNDERTAKEN IF THE RESPONDENT CANNOT HEAR THE RECORDING**

I will now read a set of 10 words. I would like you to recall as many as you can. We have made the list long so that it will be difficult for anyone to recall all the words – most people recall just a few. Please listen carefully as the words will not be repeated. When it has finished, I will ask you to recall aloud as many of the words as you can, in any order. Is this clear?

IF NOT, EXPLAIN FURTHER. IF YES, SAY: **Are you ready to hear the words?**

SAY: **Your set of words is as follows...**

READ THE WORDS ALOUD THE WORD LIST TO THE RESPONDENT AT A RATE OF ONE WORD EVERY 2 SECONDS.

**Now please tell me all the words you can recall.**

SELECT THE WORDS ONSCREEN THAT THE PARTICIPANT RECALLS. ALLOW AS MUCH TIME AS THE RESPONDENT WISHES, UP TO 2 MINUTES.

- IF THE RESPONDENT PAUSES FOR ~10 SECONDS AFTER RECALLING THE WORDS, SAY: ANYTHING ELSE?
- IF THE RESPONDENT FINISHES RECALLING ALL THE WORDS THEY CAN REMEMBER BEFORE THE END OF THE 2 MINUTES, YOU CAN MOVE ON

START TIMER

1.....10

98. DK

99. RF

#### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER: Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task**

Yes, skip the task – **GO TO ANIMAL FLUENCY**

## **EXECUTIVE FUNCTION- ANIMAL FLUENCY**

**9.8 I'm going to tell you the names of some things you can find in the kitchen: spoons, knives, forks and plates. Can you think of other things in the kitchen?**

ALLOW THE RESPONDENT TO NAME OTHER THINGS FOUND IN THE KITCHEN (~2-3 ITEMS).

**HAVE READY THE ANIMAL NAMES RECORDING SHEET ON A CLIP BOARD AND A PEN TO RECORD RESPONDENTS RESPONSES.**

**FOR THIS TASK, IF THE SUBJECT STOPS BEFORE THE END OF THE TIME, ENCOURAGE THEM TO TRY TO FIND MORE WORDS. IF RESPONDENT IS SILENT FOR 15 SECONDS REPEAT THE BASIC INSTRUCTION ("I WANT YOU TO TELL ME ALL THE ANIMALS YOU CAN THINK OF"). NO EXTENSION ON THE TIME LIMIT IS MADE IN THE EVENT THAT THE INSTRUCTION HAS TO BE REPEATED. ONLY IF THE RESPONDENT ASKS FOR CLARIFICATION, EXPLAIN THAT ANIMALS INCLUDE BIRDS, INSECTS, FISH ETC.**

**WRITE DOWN THE RESPONDENTS RESPONSES ON THE ANIMAL FLUENCY RECORD SHEET. THE SCORE IS THE SUM OF ACCEPTABLE ANIMALS. ANY MEMBER OF THE ANIMAL KINGDOM, REAL OR MYTHICAL IS SCORED CORRECT. DIFFERENT BREEDS (E.G., TERRIER, GREYHOUND DO COUNT. GENDER- OR GENERATION-SPECIFIC NAMES (E.G., BULL, COW, CALF) DO COUNT. REPETITIONS, REDUNDANCIES (E.G., WHITE COW, BROWN COW), AND NAMED ANIMALS (E.G., BAMBI) DO NOT COUNT. REFER TO THE RECORD SHEET FOR MORE DETAILS ON SCORING.**

**NB: IF THE RESPONDENT PRODUCES WORDS FASTER THAN YOU CAN WRITE DOWN, THEN WRITE ONLY THE FIRST LETTER OF EACH WORD, AND THEN FILL IN THE WORD WHEN TIME ALLOWS. IF PARTICIPANT IS GOING TOO FAST, USE A TALLY.**

**9.9 Great! Now I would like you to name as many different animals as you can think of. You have one minute to do this. Ready? Go.**

Insert timer here.

**START TIME IMMEDIATELY. ALLOW ONE MINUTE PRECISELY.**

### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER: Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task**

Yes, skip the task – **GO TO LETTER DIGIT SUBSTITUTION**

### **CODE NUMBER OF ANIMALS**

1.....50

98. DK

99. RF

## PROCESSING SPEED - LETTER DIGIT SUBSTITUTION TEST

### SHOW CARD LETTER\_DIGIT\_SUBSTITUTION

HAND THE LETTER DIGIT SUBSTITUTION TEST TO THE PARTICIPANT ON A CLIPBOARD WITH A PEN.

9.10 SAY: Here is a piece of paper with lots of numbers and letters on it. At the top of the page you will see a key. In the key, each box in the top row has a letter, and each box underneath has a number.

Now, look at the rows of boxes underneath the key. Here, there are again letters in the top row, but the bottom row is empty. Your task is to use the key to identify the correct number for each letter. For example, the first letter is a "T" [POINT TO THE T]. Look at the key to find the letter "T" [POINT TO THE LETTER "T" IN THE KEY]. Underneath the T is the number 3 [POINT TO THE NUMBER 3], so you would write the number 3 in the first box.

Can you tell me what number goes in the second box?

[WAIT FOR THE RESPONDENT TO RESPOND – 1].

SAY: That's right. What number goes in the third box?

[WAIT FOR THE RESPONDENT TO RESPOND – 8].

SAY: That's right. Now as a practice, can you fill in all the numbers up to the thick line?

ALLOW THE RESPONDENT TO COMPLETE THE PRACTICE TRIAL. WATCH THE RESPONDENT AND HIGHLIGHT ANY ERRORS.

PRACTICE LINE CORRECT ANSWERS: 3187952645

IF THE RESPONDENT IS MAKING MISTAKES DURING THE PRACTICE, CORRECT THEIR ANSWER, AND POINT TO THE APPROPRIATE BOX IN THE KEY. E.G., SAY: The letter is [LETTER]. Let's look for [LETTER] in the key. [Number] is paired with [LETTER], therefore you would write [NUMBER] in the box.

AFTER THE RESPONDENT HAS COMPLETED THE PRACTICE, SAY:

Great! Now, we are going to do the same again, but this time you are to work as quickly as you can without making mistakes. Start from the first box after the thick line. Fill in the numbers like you were doing until I tell you to stop. Once you come to the end of the line, you should quickly go to the next line without stopping. Work through the boxes in the correct order and don't skip any boxes. Are you ready? Go!

START TIMER IMMEDIATELY. ALLOW ONE MINUTE PRECISELY.

INSERT TIMER HERE.

SCORING: USE THE SCORING TRANSPARENCY SHEET TO CHECK THE RESPONDENT'S ANSWERS. THE SCORE IS THE SUM OF ALL THE CORRECT ANSWERS COMPLETED IN 1 MINUTE. TO CALCULATE THE SCORE, FIRST CHECK THAT EACH OF THE RESPONSES ARE CORRECT. TO DO THIS, LAY THE TRANSPARENT SHEET ON TOP OF THE RESPONDENTS TEST SHEET. CHECK EACH ITEM IS CORRECT BY COMPARING THE RESPONDENT LINE TO THE ANSWER LINE. IF ALL ITEMS ARE CORRECT, THE SCORE IS THE NUMBER OF ITEMS FILLED IN BY THE PARTICIPANT. THE ITEM NUMBER IS SHOWN IN RED BELOW THE RESPONDENT LINE. IF ALL ITEMS ARE CORRECT, THE SCORE IS THE RED NUMBER BELOW THE FINAL COMPLETED ITEM (THE ITEM NUMBER). IF THE PARTICIPANT MADE ERRORS, THE SCORE IS THE ITEM NUMBER MINUS THE NUMBER OF ERRORS.

**INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER: Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task**

Yes, skip the task - **GO TO CRYSTALISED ABILITY**

## **CRYSTALISED ABILITY- VOCABULARY**

**SHOW THE RESPONDENT THE FIRST VOCABULARY FLASHCARD**

**SAY:** Here we will ask about the meanings of some words. There is a word on the left of the page. You will see six words on the right of the page. I would like you to tell me which of these six words means the same as the word on the left. Each of the six words on the right are numbered 1 to 6. For each question, I would like you to give me the number for the word which you think means the same as the word on the left.

**GUIDE THE RESPONDENT THROUGH THE EXAMPLE BY POINTING AT THE RIGHT SECTIONS WHEN READING OUT THE INSTRUCTIONS (E.G., POINT TO THE WORD ON THE LEFT, THE WORDS ON THE RIGHT, AND THE NUMBERS).**

**9.11 SAY:** Here is the first example, which word do you think means the same as “sound”?

**CORRECT ANSWER:** 2 OR NOISE. IF CORRECT, SAY: Great!

**SHOW THE SECOND EXAMPLE.**

**SAY:** Now, which word do you think means the same as “lawn”?

**CORRECT ANSWER:** 1 or GRASS. IF CORRECT, SAY: Great!

**IF THE PARTICIPANT IS MAKING MISTAKES ON THE TWO PRACTICE ITEMS, CORRECT THEIR ANSWER.** SAY: The correct answer to this question is [ANSWER].

**SAY:** Now we will do some more. Tell me the number that means the same as the big word on the left.

**THE INTERVIEWER SHOULD HAND THE BOOKLET TO THE RESPONDENT. THE QUESTIONS SHOULD BE COMPLETED IN SEQUENTIAL ORDER. FOR EACH QUESTION, THE PARTICIPANT'S RESPONSE SHOULD BE RECORDED.**

**FOR EACH QUESTION THE PARTICIPANT'S RESPONSE SHOULD BE RECORDED. A TOTAL SCORE SHOULD BE CALCULATED BY SUMMING THE NUMBER OF CORRECTLY ANSWERED QUESTIONS (MAX = 20).**

### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER:** Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task

Yes, skip the task – **GO TO VERBAL DECLARATIVE MEMORY**

## **VERBAL DECLARATIVE MEMORY- WORD LIST LEARNING LONG-TERM RECALL**

**9.12 SAY:** A little while ago, you listened to a list of words and you repeated the ones you could remember. Please tell me any of the words that you remember now.

[SELECT THE WORDS ONSCREEN THAT THE PARTICIPANT RECALLS. ALLOW AS MUCH TIME AS THE RESPONDENT WISHES, UP TO 2 MINUTES. THE CORRECT LIST THAT WAS READ TO THE RESPONDENT MUST BE SHOWN ON SCREEN HERE.]

IF THE PARTICIPANT PAUSES FOR ~10 SECONDS AFTER RECALLING THE WORDS SAY: *Anything else?*

START TIMER

IF THE RESPONDENT FINISHES RECALLING ALL THE WORDS THEY CAN REMEMBER BEFORE THE END OF THE 2 MINUTES, YOU CAN MOVE ON

- 1.....10
- 98. DK
- 99. RF

### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER:** Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task

Yes, skip the task - **GO TO 9.13**

**9.13 DURING THE COGNITIVE FUNCTION TESTS PART 1 WERE THERE ANY FACTORS THAT MAY HAVE IMPAIRED THE RESPONDENT'S PERFORMANCE ON THE TESTS?**

- 1. YES
- 2. No **GO TO 9.15**

**9.14 WHICH FACTORS WERE THESE (SELECT ALL THAT APPLY)?**

- 1. Blind or poor eyesight
- 2. Deaf or hard of hearing
- 3. Too tired
- 4. Has an illness or physical impairment that affects ability to perform the test
- 5. Impaired concentration
- 6. Nervous or anxious
- 7. Other mental impairment
- 8. Interruption or distraction – e.g., phone call or visitor
- 9. Noisy environment
- 10. Problems with laptop
- 11. Had difficulty understanding English
- 12. Respondent refused/didn't want to take part
- 13. Distress/upset e.g., from bereavement
- 14. Memory problems
- 15. Under the influence of alcohol
- 16. Other answer



**If 16. Other answer selected**

Text response \_\_\_\_\_

**9.15 WHICH TESTS WAS THE RESPONDENTS PERFORMANCE IMPAIRED ON?**

1. Subjective memory- Self rated memory
2. Global cognitive- Orientation in time
3. Verbal Declarative memory- word list learning short-term recall
4. Executive function- animal fluency
5. Processing speed- letter digit substitution
6. Crystallised ability- vocabulary
7. Verbal Declarative memory- word list learning long-term recall

**9.16 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN COGNITIVE FUNCTION SECTION PART 1?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL OF THE TIME

**SECTION 9 SKIP REASON:**

**INTERVIEWER:** Please note the questions in Section 9 which were skipped (if applicable). For each, please fill in the reason why the Respondent couldn't complete the question.

Subjective memory- Self rated memory  
Global cognitive- Orientation in time  
Verbal Declarative memory- word list learning short-term recall  
Executive function- animal fluency  
Processing speed- letter digit substitution  
Crystallised ability- vocabulary  
Verbal Declarative memory- word list learning long-term recall

1. Blind or poor eyesight
2. Deaf or hard of hearing
3. Too tired
4. Has an illness or physical impairment that affects ability to perform the test
5. Impaired concentration
6. Nervous or anxious
7. Other mental impairment
8. Interruption or distraction – e.g., phone call or visitor
9. Noisy environment
10. Problems with laptop
11. Had difficulty understanding English
12. Respondent refused/didn't want to take part
13. Distress/upset e.g., from bereavement
14. Memory problems
15. Under the influence of alcohol
16. Other answer

**IF 16. OTHER ANSWER SELECTED**

Text response \_\_\_\_\_

## **SECTION 10: Physical Health**

### **SELF-RATED PHYSICAL AND MENTAL**

*Now I would like to ask you some questions about your health.*

#### **10.1 Would you say your health is...?**

##### **SHOW CARD HEALTH\_RATING\_SCALE**

1. excellent,
2. very good,
3. good,
4. fair,
5. or, poor?
98. DK
99. RF

#### **10.2 What about your emotional or mental health? Is it ...**

##### **SHOW CARD HEALTH\_RATING\_SCALE**

1. excellent,
2. very good,
3. good,
4. fair,
5. or, poor?
98. DK
99. RF

#### **10.3 In general, compared to other people your age, would you say your health is...**

##### **SHOW CARD HEALTH\_RATING\_SCALE**

1. excellent,
2. very good,
3. good,
4. fair,
5. or, poor?
98. DK
99. RF

### **LONG TERM LIMITING DISABILITY**

**10.4 Some people suffer from chronic or long-term health problems. By long-term we mean it has troubled you over a period of time or is likely to affect you over a period of time. Do you have any long-term health problems, illness, disability or infirmity?**

**NOTE: INCLUDING MENTAL HEALTH PROBLEMS**

1. Yes
2. No **GO TO 10.9**
98. DK **GO TO 10.9**
99. RF **GO TO 10.9**

#### **10.5 Does this illness or disability limit your activities in any way?**

1. Yes
2. No
98. DK
99. RF

**10.6 For the past six months or more, to what extent have you been limited in activities people usually do because of a health problem?**

**SHOW CARD LIMITED**

1. Severely limited
2. Limited, but not severely
3. Not limited
98. DK
99. RF

**10.7 Do you have any health problem or disability that limits the kind or amount of paid work you could do, should you want to?**

1. Yes
2. No **GO TO 10.9**
98. DK **GO TO 10.9**
99. RF **GO TO 10.9**

**10.8 Is this a health problem or disability that you expect to last less than three months?**

1. Yes
2. No
98. DK
99. RF

**THE BRIEF RESILIENCE SCALE**

**10.9 I am now going to show you a few statements and ask you to rate on a scale from 1 to 5, how you would describe yourself. On this scale "1"= strongly disagree and "5"= strongly agree.**

**SHOW CARD BREIF\_RESILLIANCE\_SCALE**

1. I tend to bounce back quickly after hard times
2. I have a hard time making it through stressful events
3. It does not take me long to recover from a stressful event
4. It is hard for me to snap back when something bad happens
5. I usually come through difficult times with little trouble
6. I tend to take a long time to get over set-backs in my life
98. DK
99. RF

**GP VISITS**

READ OUT: I would like to ask about your GP attendance.

**10.10 During the four weeks ending yesterday, did you talk to a family doctor (GP) about your health either in person or on the telephone?**

1. Yes
2. No
98. DK
99. RF

**10.11 Thinking of the last time you talked to a doctor; was this consultation...**

1. NHS
2. Private
98. DK
99. RF

***I would now like to ask you to consider if you would allow the University of Stirling to link your survey responses with your health records (this could also include your dental records)***

**INTERVIEWER READ OUT:**

*The benefits of linking survey responses to NHS Medical and Dental Records are that the researchers at the University of Stirling will be able to look at how these services are used by different groups in society. We already know that people use health services more as they get older but we don't understand how different groups of older people use these services. We also know that we will need to support more older people in the years to come as the 'baby boomers' of the 1950s and 1960s reach retirement age. This information may help the Government to focus resources on the services needed most.*

**INTERVIEWER NOTE: You should refer to the Data Flow Diagram to explain how we will ensure their data will be SECURE and CONFIDENTIAL/ANONYMISED and ask the respondent if they have any questions]**

**The consent form should be presented to the respondent. They should be allowed to read/review information (including how they can still take part in the study even if they do not consent to linkage, and if they do consent that they can withdraw consent at any time without reason) and ask any questions.**

Would you be willing to have to your survey responses linked to your records you may have within NHS Medical and Dental Records?

**Yes – Consent form signed and initialled**

**No**

**To discuss with respondent at latter stage of survey**

**10.12 OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION PHYSICAL HEALTH?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL OF THE TIME

## **SECTION 11: Health Behaviour**

### **SMOKING**

**INTRO:** Now I would like to ask some questions about your lifestyle.

#### **11.1 Have you ever smoked tobacco daily for a period of at least one year?**

1. Yes
2. No **GO TO 11.9**
98. DK
99. RF

#### **11.2 Do you smoke at the present time?**

1. Yes **GO TO 11.4**
2. No, I have stopped
98. DK
99. RF

#### **11.3 When did you last smoke regularly?**

##### **SHOW CARD SMOKED\_REGULARLY**

1. Within the last 12 months
2. More than 12 months ago but within the last ten years
3. More than 10 years ago.
98. DK
99. RF

#### **11.4 What do/did you smoke (before you stopped)?**

##### **SHOW CARD WHAT\_SMOKE**

**CAN SELECT MORE THAN ONE, GO THROUGH ALL THEN GO TO 11.9**

1. Cigarettes
2. Roll ups **GO TO 11.6**
3. Pipe **GO TO 11.7**
4. Cigars or cigarillos **GO TO 11.8**
5. E-cigarettes
98. DK **GO TO 11.9**
99. RF **GO TO 11.9**

#### **11.5 How many cigarettes do/did you smoke on average per day?**

1. Number **GO TO 11.4** \_\_\_\_\_
98. DK
99. RF

#### **11.6 How many roll ups do/did you smoke on average per day?**

1. Number **GO TO 11.4** \_\_\_\_\_
98. DK
99. RF

#### **11.7 How many pipes do/did you smoke on average per day?**

1. Number **GO TO 11.4** \_\_\_\_\_
98. DK
99. RF

**11.8 How many cigars or cigarillos do/did you smoke on average per day?**

1. Number **GO TO 11. 4** \_\_\_\_\_

98. DK

99. RF

## **PHYSICAL ACTIVITY**

**INTRO:** We are interested in finding out about the kinds of physical activities that people do as part of their everyday lives. The next set of questions will ask you about the time you spent being physically active in the last 7 days. Please answer each question even if you do not consider yourself to be an active person. Please think about the activities you do at work, as part of your house and garden work, to get from place to place, and in your spare time for recreation, exercise or sport.

Think about all the vigorous activities that you did in the **last 7 days**. Vigorous physical activities refer to activities that **take hard physical effort** and make you **breathe much harder** than normal. Think *only* about those physical activities that you did for at least 10 minutes at a time.

### **11.9 During the last 7 days, on how many days did you do vigorous physical activities like heavy lifting, digging, aerobics, or fast cycling?**

1. Number of days per week \_\_\_\_\_
  2. No I have not done any vigorous physical activities **GO TO 11.11**
98. DK **GO TO 11.11**  
99. RF **GO TO 11.11**

### **11.10 How much time did you usually spend doing vigorous physical activities on one of those days?**

1. Hours and minutes per day \_\_\_\_\_
98. DK  
99. RF

Now think about all the moderate activities that you did **in the last 7 days**. Moderate activities refer to activities that take **moderate physical effort** and make you **breathe somewhat harder** than normal. Think *only* about those physical activities that you did for at least 10 minutes at a time.

### **11.11 During the last 7 days, on how many days did you do moderate physical activities like carrying light loads, cycling at a regular pace etc? Do not include walking.**

1. Days per week \_\_\_\_\_
  2. No I have not done any moderate physical activities **GO TO 11.13**
98. DK **GO TO 11.13**  
99. RF **GO TO 11.13**

### **11.12 How much time did you usually spend doing moderate physical activities on one of those days?**

1. Hours and minutes per day \_\_\_\_\_
98. DK  
99. RF

**11.13 Now think about the time you spent walking in the last 7 days. This includes at work and at home, walking to travel from place to place, and any other walking that you might do solely for recreation, sport, exercise, or leisure.**

**During the last 7 days, on how many days did you walk for at least 10 minutes at a time?**

1. Days per week \_\_\_\_\_
  2. No I have not done any walking **GO TO 11.15**
98. DK **GO TO 11.15**  
99. RF **GO TO 11.15**

### **11.14 How much time did you usually spend walking on one of those days?**

1. Hours and minutes per day \_\_\_\_\_
98. DK

99. RF

**11.15 The last question is about the time you spent sitting on weekdays during the last 7 days. Include time spent at work, at home, while doing course work and during leisure time. This may include time spent sitting at a desk, visiting friends, reading, or sitting or lying down to watch television. During the last 7 days, how much time (per day) did you spend sitting (sitting includes driving) on a week day?**

(This question is looking for the usual number of hours spent sitting on a typical week day. If respondent has difficulty calculating, interviewer may suggest they approximate by subtracting time spent sleeping, walking, standing, exercising etc. from the 24 hours)

1. Hours and minutes per day \_\_\_\_\_

98. DK

99. RF

**11.16 What do you think is the recommended amount of physical activity needed for a healthy lifestyle? This includes all types of physical activity, including sport, housework, gardening, DIY or gardening.**

**SHOW CARD MINUTES\_WEEK**

1. 30 minutes (half an hour) per week

2. 60 minutes (one hour) per week

3. 90 minutes (one hour and a half) per week

4. 120 minutes (two hours) per week

5. 150 minutes (two hours and a half) per week

6. 180 minutes (three hours) per week

7. 210 minutes (three hours and a half) per week

96. Other (specify) – KEEP UNITS IN “minutes per week” \_\_\_\_\_

98. DK

99. RF



## **SCREENING**

I would like to ask you about any screening you may have had to check for different types of cancer.

### **11.17 Have you ever completed a home testing kit for screening bowel cancer?**

1. Yes
2. No

### **INTERVIEWER: ASK 11.18 if yes at 11.17 (IF completed home testing kit for screening bowel cancer)**

**11.18** How long ago was your most recent test?

INTERVIEWER: Enter the year here: \_\_\_\_\_

INTERVIEWER: Enter the month here.

- 01 January
- 02 February
- 03 March
- 04 April
- 05 May
- 06 June
- 07 July
- 08 August
- 09 September
- 10 October
- 11 November
- 12 December

### **11.19 Was this test part of the NHS Bowel Cancer Screening Programme?**

1. Yes
2. No

### **INTERVIEWER: If female**

### **11.20 Have you ever had a mammogram (x-ray of your breasts)/ breast cancer screening?**

1. Yes
2. No

### **INTERVIEWER: If yes, at 11.20**

### **3. How long ago was your most recent mammogram?**

INTERVIEWER: Enter the year here. \_\_\_\_\_

INTERVIEWER: Enter the month here.

- 01 January
- 02 February
- 03 March
- 04 April
- 05 May
- 06 June
- 07 July
- 08 August
- 09 September
- 10 October
- 11 November
- 12 December

**4. What was the reason for your most recent mammogram?**

**INTERVIEWER: Please code 1 if the respondent had a screening in a mobile clinic.**

- 1 Routine screening by the NHS Breast Screening Programme
- 2 Non-routine referral to the NHS
- 3 Private examination
- 4 NHS screening owing to a family history of breast cancer
- 5 Follow-up after breast cancer treatment

**5. HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION ON HEALTH BEHAVIOUR?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL TIMES

## **SECTION 12: Activities of Daily Life & Helpers**

### **DIFFICULTIES WITH ACTIVITIES OF DAILY LIFE**

**INTRO:** We need to understand the difficulties people may have with various activities

**IF R IS CONFINED TO BED OR A WHEELCHAIR, READ THE FOLLOWING STATEMENT:**

**“I AM REQUIRED TO ASK ABOUT ALL OF THESE ACTIVITIES. I REALIZE THAT YOU MAY NOT BE ABLE TO DO SOME OF THEM, BUT I WOULD APPRECIATE IT IF YOU WOULD JUST CONFIRM THAT WITH ME AS WE GO THROUGH THE LIST.”**

**12.1 Because of a physical or mental health problem, do you have difficulty doing any of the activities on this card? Exclude any difficulties that you expect to last less than three months.**

#### **SHOW CARD DIFFICULTIES\_1**

**CAN SELECT MORE THAN ONE**

1. Walking 100 meters (100 yards)
2. Running or jogging about 1.5 kilometres (1 mile)
3. Sitting for about two hours
4. Getting up from a chair after sitting for long periods
5. Climbing several flights of stairs without resting
6. Climbing one flight of stairs without resting
7. Stooping, kneeling, or crouching
8. Reaching or extending your arms above shoulder level
9. Pulling or pushing large objects like a living room chair
10. Lifting or carrying weights over 10 pounds/5 kilos, like a heavy bag of groceries
11. Picking up a small coin from a table
96. None of these
98. DK
99. RF

**12.2 Because of a health or memory problem, do you have difficulty doing any of the activities on this card? Again exclude any difficulties you expect to last less than three months.**

#### **SHOW CARD DIFFICULTIES\_2**

**CAN SELECT MORE THAN ONE, GO THROUGH ALL THEN GO TO 12.20**

1. Dressing, including putting on shoes and socks **GO TO 12.3**
2. Walking across a room **GO TO 12.6**
3. Bathing or showering **GO TO 12.9**
4. Eating, such as cutting up your food **GO TO 12.12**
5. Getting in or out of bed **GO TO 12.14**
6. Using the toilet, including getting up or down **GO TO 12.17**
96. None of these **GO TO 12.27**
98. DK **GO TO 12.27**
99. RF **GO TO 12.27**

## HELP AND EQUIPMENT

### 12.3 Do you ever use equipment or devices to help you get dressed?

1. Yes
2. No **GO TO 12.5**
98. DK **GO TO 12.2**
99. RF **GO TO 12.2**

### 12.4 Which equipment is that?

#### **SHOW CARD EQUIPMENT\_DRESSED**

1. Velcro fastenings on clothes
2. Shoe horn
3. Pick-up stick
4. Device for putting on socks
95. Other (specify) \_\_\_\_\_
98. DK
99. RF

### 12.5 Does anyone ever help you with dressing including putting on shoes and socks?

1. Yes **GO TO 12.2**
2. No **GO TO 12.2**
98. DK **GO TO 12.2**
99. RF **GO TO 12.2**

### 12.6 Do you ever use equipment or devices such as a walking stick or frame when crossing a room?

1. Yes
2. No **GO TO 12.8**
98. DK **GO TO 12.8**
99. RF **GO TO 12.8**

### 12.7 Which equipment is that?

#### **SHOW CARD EQUIPMENT\_CROSS\_ROOM**

1. Walking stick
2. Walking frame
3. Crutches
4. Railing
5. Orthopaedic shoes
6. Brace (leg or back)
7. Limb prosthesis
8. Oxygen/Respirator
9. Furniture or walls
10. Wheelchair or cart
95. Other (specify) \_\_\_\_\_
98. DK
99. RF

### 12.8 Does anyone ever help you with walking across a room?

1. Yes **GO TO 12.2**
2. No **GO TO 12.2**
98. DK **GO TO 12.2**
99. RF **GO TO 12.2**

**12.9 Do you ever use equipment or devices such as a shower seat, grab rails, hand-held shower when bathing or showering?**

- 1. Yes
- 2. No **GO TO 12.11**
- 98. DK **GO TO 12.11**
- 99. RF **GO TO 12.11**

**12.10 Which equipment is that?**

**SHOW CARD EQUIPMENT\_SHOWER**

- 1. Shower seat
- 2. Grab rails
- 3. Hand-held shower
- 4. Walking frame or stick
- 5. Rubber mat
- 95. Others (specify) \_\_\_\_\_
- 98. DK
- 99. RF

**12.11 Does anyone ever help you with bathing or showering?**

- 1. Yes **GO TO 12.2**
- 2. No **GO TO 12.2**
- 98. DK **GO TO 12.2**
- 99. RF **GO TO 12.2**

**12.12 Do you ever use special utensils or special dishes when you eat?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**12.13 Does anyone ever help you with eating?**

- 1. Yes
- 2. No
- 98. DK
- 99. RF

**12.14 Do you ever use equipment or devices such as a stick, frame or wheelchair when getting in or out of bed?**

- 1. Yes
- 2. No **GO TO 12.16**
- 98. DK **GO TO 12.16**
- 99. RF **GO TO 12.16**

**12.15 Which equipment is that?**

**SHOW CARD EQUIPMENT\_BED**

1. Walking stick
2. Walking frame
3. Bed rail
4. Crutches
5. Orthopaedic Shoes
6. Brace (leg or back)
7. Prosthesis
8. Oxygen/Respirator
9. Furniture/walls
10. Wheelchair/cart
11. Bed lever
95. Other (specify) \_\_\_\_\_
98. DK
99. RF

**12.16 Does anyone ever help you with getting into or out of bed?**

1. Yes **GO TO 12.2**
2. No **GO TO 12.2**
98. DK **GO TO 12.2**
99. RF **GO TO 12.2**

**12.17 Do you ever use equipment or devices such as a raised toilet seat or portable toilet, when using the toilet?**

1. Yes
2. No **GO TO 12.19**
98. DK **GO TO 12.19**
99. RF **GO TO 12.19**

**12.18 Which equipment is that?**

**SHOW CARD EQUIPMENT\_TOILET**

1. Raised toilet seat
2. Portable toilet / commode
3. Grab rails
95. Others (specify) \_\_\_\_\_
98. DK
99. RF

**12.19 Does anyone ever help you with using the toilet, including getting on and off the toilet?**

1. Yes **GO TO 12.2**
2. No **GO TO 12.2**
98. DK **GO TO 12.2**
99. RF **GO TO 12.2**

**HELP WITH ACTIVITIES AND WHO HELPS**

**12.20 Who most often helps you with (getting across a room / dressing / bathing / eating / getting in / out of bed / using the toilet)?**

Text: up to 60 characters \_\_\_\_\_

- 98. DK **GO TO 12.27**
- 99. RF **GO TO 12.27**

**12.21 Is <12.20 NAME> male or female?**

- 1. Male
- 2. Female
- 98. DK
- 99. RF

**12.22 What is that person's relationship to you?**

**IF 12.21 = 1 SHOW CARD MALES\_RELATION**

**IF 12.21 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

- 98. DK
- 99. RF

**12.23 Does anyone else help you with this activity/these activities?**

- 1. Yes
- 2. No **GO TO 12.27**
- 98. DK **GO TO 12.27**
- 99. RF **GO TO 12.27**

**12.24 What is her/his first name?**

1. Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview. \_\_\_\_\_

- 98. DK, 99. RF

**12.25 Is <12.24 NAME> male or female?**

- 1. Male
- 2. Female
- 98. DK
- 99. RF

**12.26 What is that person's relationship to you?**

**IF 12.25 = 1 SHOW CARD MALES\_RELATION**

**IF 12.25 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

**12.27 Because of a health or memory problem, do you have difficulty doing any of the activities on this card? Again exclude any difficulties you expect to last less than three months.**

**SHOW CARD DIFFICULTIES\_3**

**CAN SELECT MORE THAN ONE**

**ONCE BEEN THROUGH ALL:**

**IF 12.27 == 1 &OR 2,3,4,5,6 GO TO 12.34**

**IF 12.27 == 6 ALONE, GO TO 12.41**

- 1. Preparing a hot meal
- 2. Doing household chores (laundry, cleaning) **GO TO 12.29**
- 3. Shopping for groceries **GO TO 12.30**
- 4. Making telephone calls **GO TO 12.31**
- 5. Taking medications **GO TO 12.32**
- 6. Managing money, such as paying bills and keeping track of expenses **GO TO 12.33**
- 96. None of these **GO TO PRE-ROUTING AT 12.48**
- 98. DK
- 99 RF



**12.28 Does anyone help you with preparing a hot meal?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.29 Does anyone help you with doing household chores?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.30 Does anyone help you with shopping for groceries?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.31 Does anyone help you make phone calls?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.32 Does anyone help you take your medications?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.33 Does anyone help you with managing your own money?**

- 1. Yes [GO TO 12.27](#)
- 2. No [GO TO 12.27](#)
- 98. DK [GO TO 12.27](#)
- 99. RF [GO TO 12.27](#)

**12.34 Who most often helps you with (preparing meals/doing household chores/shopping for groceries/making telephone calls/your medications)?**

Text: up to 60 characters

- 98. DK [GO TO 12.48](#)
- 99. RF [GO TO 12.48](#)

**12.35 Is <12.34 NAME> male or female?**

- 1. Male
- 2. Female

**12.36 What is that person's relationship to you?****IF 12.35 = 1 SHOW CARD MALES\_RELATION****IF 12.35 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

**12.37 Does anyone else help you with this activity/these activities?**

1. Yes
2. No **GO TO PRE ROUTING 12.41**
98. DK **GO TO PRE ROUTING 12.41**
99. RF **GO TO PRE ROUTING 12.41**

**12.38 What is his/her first name?**

Text: up to 60 characters

98. DK **GO TO 12.40**
99. RF **GO TO 12.40**

**12.39 Is <12.38 NAME> male or female?**

1. Male
2. Female

**12.40 What is that person's relationship to you?**

**IF 12.39 = 1 SHOW CARD MALES\_RELATION**

**IF 12.39 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

**Select person from the household list**

**ASK IF 12.27 == 6 ELSE GO TO 12.48**

**12.41 Who most often helps you to manage your money?**

Text: up to 60 characters

98. DK

99. RF

**12.42 Is <12.41 NAME> male or female?**

1. Male

2. Female

**12.43 What is that person's relationship to you?**

**IF 12.42 = 1 SHOW CARD MALES\_RELATION**

**IF 12.42 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

Select person from the household list

**12.44 Does anyone else help you with this activity/these activities?**

- 1. Yes
- 2. No **GO TO 12.48**
- 98. DK **GO TO 12.48**
- 99. RF **GO TO 12.48**

**12.45 What is his/her first name?**

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1. Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.

- 98. DK
- 99. RF

**12.46 Is <12.45 NAME> male or female?**

- 1. Male
- 2. Female

**12.47 What is that person's relationship to you?****IF 12.46 = 1 SHOW CARD MALES\_RELATION****IF 12.46 = 2 SHOW CARD FEMALES\_RELATION**

MALES	FEMALES
1. Husband	2. Wife
3. Partner/cohabitee	3. Partner/cohabitee
5. Father	4. Mother
28. Stepfather	29. Stepmother
7. Father-in-law	6. Mother-in-law
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative- home help/district nurse	27. Non-relative- home help/district nurse
28. Non-relative - other	28. Non-relative - other

## **HELPERS**

**IF 12.1 & 12.2 & 12.27 ==96 GO TO 12.61 + DATA LINKAGE**

**12.48 Let's think for a moment about the help you receive with the difficulties that we just talked about.**

**During the last month, on about how many days did HELPER's NAME help you?**

1. Number of days \_\_\_\_\_

98. RF

99. DK

**12.49 On the days when HELPER's NAME helps you, about how many hours per day do they spend helping you?**

1. Number of hours \_\_\_\_\_

2. 98. DK

3. 99. RF

**12.50 Does HELPER's NAME receive Carer's Allowance?**

1. Yes

2. No

98. DK

99. RF

**12.51 Does HELPER's NAME receive regular payment from you, your family or from an agency or organisation to help care for you?**

1. Yes

2. No

**12.52 Is this person [helper's name]:**

**SHOW CARD HELPERS\_TYPE**

1. From a private agency

2. From a non-profit organization (such as the Alzheimer's Society, etc.)

3. From the government (local health board or social services)

4. Family or Friend who is paid to help

5. Other

**12.53 Thinking now about the cost of this paid help in the past month, about what percentage of this cost does the government cover?**

1. %

98. DK

99. RF

**12.54 Not counting costs paid by the government, about how much did you (and your [husband/wife/partner]) pay HELPER's NAME in the last month?**

£0 ... £100,000

98. DK

99. RF **GO TO 12.60**

**12.55 Does any other person help you (and your [husband/wife/partner]) pay for this cost?**

1. Yes

2. No **GO TO 12.60**

98. DK

99. RF **GO TO 12.60**

**12.56 Is that a (child or other) relative of yours (and your [husband/wife/partner]), or is that someone else?**

1. Child/child in-law/grandchild
  2. Other relative **GO TO 12.60**
  3. Someone else **GO TO 12.60**
98. DK **GO TO 12.60**  
99. RF **GO TO 12.60**

**12.57 Which child is that? \_\_\_\_\_**

*Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.*

98. DK  
99. RF

**12.58 Any other child?**

1. Yes
  2. No
98. DK  
99. RF

**12.59 What is her/his name? \_\_\_\_\_**

*Name is not to be retained or recorded where this person is not eligible or has not consented to take part in the study. Name is only to be used by interviewer for ease of reference to other household members during the interview.*

98. DK  
99. RF

**INTERVIEWER: ASK 12.48 – 12.59 FOR ALL HELPERS SPECIFIED IN EARLIER SECTIONS**

**12.60 How many different paid helpers – in total - have been involved in taking care of you in the last two years? (If all helpers are unpaid relatives or friends code 0)**

1. Number
98. DK  
99. RF

**INTERVIEWER READ OUT:**

*I would now like to ask you to consider if you would allow the University of Stirling to link your survey responses with your social care records.*

*As our ageing population increases in Scotland we know that more people will come to rely on carers to continue to live independently. Sometimes that care will come from close friends and family. This may be paid for or given freely. Other times carers will come from the social services or local authorities. Some people will have more than one carer, paid and/or unpaid. We need to understand more about the care that is given or received so that we can plan to better support older people and their carers.*

**INTERVIEWER NOTE:**

You should refer to the Data Flow Diagram to explain how we will ensure their data will be SECURE and CONFIDENTIAL/ANONYMISED and ask the respondent if they have any questions.

The consent form should be presented to the respondent. They should be allowed to read/review information (including how they can still take part in the study even if they do not consent to linkage, and if they do consent that they can withdraw consent at any time without reason) and ask any questions.

Would you be willing to have to your survey responses linked to your social care records?

**Yes – Consent form signed and initialled**

**No**

**To discuss with respondent at latter stage of survey**

**12.61 Below are statements that people have made about their standard of living. Please indicate how true these statements are for you.**

	1. Not true for me at all	2	3	4	5. Definitely true for me
I can afford to go to a medical specialist if I need to					
I am able to visit people whenever I wish					
I am able to give to others as much as I want					
I am able to do all the things I love					
I expect a future without money problems					
My choices are limited by money					
I can afford to go to a dentist if I need to					

**12.62 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN HELPERS SECTION?**

- 1. NEVER
- 2. A FEW TIMES
- 3. MOST OR ALL OF THE TIME



## **SECTION 13: Cognitive Health Part 2**

**SAY:** Now we are going to do some more memory and concentration tasks. Some of them may seem rather easy but others are more difficult, so please just do the best you can.

### **FLUID ABILITY- MATRICES**

#### **SHOW THE RESPONDENT THE FIRST MATRICES FLASHCARD**

**SAY:** Here is a design which has a piece missing in the lower right hand corner. Only one of these pieces below can correctly complete the design both down the way and along the way. Each piece is numbered 1 to 6. For each question, I would like you to give me the number of the piece which you think completes the design. For this example, number 5 completes the design.

[GUIDE THE RESPONDENT THROUGH THE EXAMPLE BY POINTING AT THE RIGHT SECTIONS WHEN READING OUT THE INSTRUCTIONS]. **SHOW THE FIRST PRACTICE ITEM**

**SAY:** There are two practice examples. Which one here completes the design? [ANSWER = 5] **Great!**

#### **SHOW THE SECOND PRACTICE ITEM**

**13.1 SAY:** Now which one here completes the design? [ANSWER = 6] **Great!**

IF THE RESPONDENT IS MAKING MISTAKES DURING THE PRACTICE EXAMPLES **SAY:** This piece here is the piece that completes the design, because it completes the design both down the way and along the way.

#### **SHOW THE FIRST QUESTION.**

**SAY:** Now we will do some more. Tell me the number of the piece that completes the pattern correctly. You will have 4 minutes to answer as many questions as you can. Are you ready? **Go!**

**START TIMER IMMEDIATELY.** ALLOW PRECISELY 4 MINUTES. IF THE PARTICIPANT COMPLETES ALL ITEMS IN UNDER 4 MINUTES, YOU CAN MOVE ON TO THE NEXT TEST.

#### **START TIMER**

THE INTERVIEWER SHOULD HAND THE SHOWCARD BOOKLET TO THE RESPONDENT AND ALLOW THEM TO WORK THROUGH THE QUESTIONS, 1 FOR EACH OPTION. THE QUESTIONS SHOULD BE COMPLETED IN SEQUENTIAL ORDER. ENTER THE RESPONDENTS ANSWER FOR EACH QUESTION. PAY CLOSE ATTENTION TO THE QUESTION NUMBER IN TOP LEFT HAND CORNER OF THE FLASHCARD TO ENSURE THAT YOU ARE ENTERING THE RESPONSE FOR THE RIGHT QUESTION.

FOR EACH QUESTION THE PARTICIPANT'S RESPONSE SHOULD BE RECORDED. A TOTAL SCORE SHOULD BE CALCULATED BY SUMMING THE NUMBER OF CORRECTLY ANSWERED QUESTIONS (MAX = 15).

#### **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER:** Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task

Yes, skip the task – go to next task (**NEWEST VITAL SIGN**)

## **NEWEST VITAL SIGN**

### **SHOW CARD NEWEST\_VITAL\_SIGN**

HAND THE NUTRITION LABEL FOR THE NEWEST VITAL SIGN TO THE RESPONDENT. THE RESPONDENT CAN REFER TO THE LABEL THROUGHOUT THE QUESTIONS.

**SAY:** This card gives you the kind of information you might find on the back of a container of ice cream that you just bought at the supermarket. Please look at this card, and then I'm going to ask you to answer some questions. You can refer to the label throughout the questions. Please have a good read of the information. Let me know when you are finished and we'll move on to the questions.

ALLOW TIME FOR THE RESPONDENT TO READ THROUGH THE LABEL. DO NOT ANSWER ANY QUERIES ABOUT THE INFORMATION ON THE LABEL.

**SAY:** I'm going to ask you to answer some questions related to the nutritional information that is on this label. The answers to all questions can be worked out using the information on the card I just gave you. Don't worry if you can't answer all the questions. Some of them are designed to be difficult so not everyone will get them all correct. Please take as much time as you need to answer each question. I can repeat any question you didn't understand.

IF THE RESPONDENT APPEARS TO BE STRUGGLING TO ANSWER A QUESTION, **SAY:** Would you like me to repeat the question?

IF THE RESPONDENT IS REALLY STRUGGLING TO ANSWER, **SAY:** Ok, don't worry if you can't answer this question, some of them are designed to be much harder than others. Let's try the next one.

RESPONDENTS ARE ALLOWED TO GO BACK AND CHANGE AN ANSWER IF THEY REALISE AT ANY POINT BEFORE THE END OF THE SECTION THAT THEY HAVE MADE A MISTAKE – BUT DO NOT TELL THEM THIS UNLESS THEY REQUEST TO CHANGE AN ANSWER.

## **INTERVIEWER: SKIP TASK**

IF SKIP TASK SELECTED:

**INTERVIEWER:** Are you sure you want to skip this task? Only skip this task if there is a reason why the respondent cannot complete this task

Yes, skip the task (GO TO 13.7)

### **13.2 How many calories (kcal) will you eat if you eat the whole container?**

1. 1,000 KCAL
2. 1,000 CALORIES
3. Any other answer
4. DK
5. RF

**CORRECT ANSWER = 1 OR 2 = 1 point**

### **13.3 If you are advised to eat no more than 60 grams of carbohydrate for dessert, what is the maximum amount of ice cream you could have?**

**RECORD ANSWER.**

1. Two servings (or anything up to 2 servings)
2. Half the container (or any amount up to half the container)
3. 200 ml (or any amount up to 200 ml).
4. Any other answer
5. DK
6. RF

**CORRECT ANSWER = 1, 2 OR 3 = 1 point.**

**13.4** Imagine that your doctor advises you to reduce the amount of saturated fat in your diet. You usually have 42 g of saturated fat each day, some of which comes from one serving of ice cream. If you stop eating ice cream, how many grams of saturated fat would you be eating each day?

**RECORD ANSWER.**

1. 33 g
2. Any other answer
3. DK
4. RF

**CORRECT ANSWER = 1 = 1 point**

**13.5** If you usually eat 2500 calories each day, what percentage of your daily calorie (kcal) intake will you get if you eat one serving of ice cream?

**RECORD ANSWER.**

1. 1/10 (one tenth)
2. 10%
3. Any other answer
4. DK
5. RF

**CORRECT ANSWER = 1 OR 2 = 1 point**

**13.6** Imagine you are allergic to the following substances: penicillin, peanuts, latex gloves, and bee stings. Is it safe for you to eat this ice cream?

**RECORD ANSWER.**

1. Yes
2. No
3. DK
4. R

**CORRECT ANSWER = 2 = 1 point**

**IF RESPONDENT ANSWERED "NO" TO LAST QUESTION SAY: why not?**

**RECORD ANSWER.**

1. Because it contains peanut oil/peanuts/nuts
2. Because you might have an allergic reaction
3. Any other answer
4. DK
5. RF

**CORRECT ANSWER = 1 = 1 point**

**IF THE RESPONDENT ANSWERED 2. "BECAUSE YOU MIGHT HAVE AN ALLERGIC REACTION" (OR SIMILAR) TO THE PREVIOUS QUESTION, SAY: Why would you have an allergic reaction?**

**RECORD ANSWER.**

1. Because it contains peanut oil/peanuts/nuts
2. Any other answer
3. DK
4. RF

**CORRECT ANSWER = 1 = 1 point**

**CALCULATE TOTAL SCORE BY SUMMING NUMBER OF CORRECTLY ANSWERED QUESTIONS (MAX = 6).**

**13.7 DURING THE COGNITIVE FUNCTION TESTS PART 2 WERE THERE ANY FACTORS THAT MAY HAVE IMPAIRED THE RESPONDENT'S PERFORMANCE ON THE TESTS?**

1. YES
2. No **GO TO 13.9**

**WHICH FACTORS WERE THESE?**

1. Blind or poor eyesight
2. Deaf or hard of hearing
3. Too tired
4. Has an illness or physical impairment that affects ability to perform the test
5. Impaired concentration
6. Nervous or anxious
7. Other mental impairment
8. Interruption or distraction – e.g., phone call or visitor
9. Noisy environment
10. Problems with laptop
11. Had difficulty understanding English
12. Respondent refused/didn't want to take part
13. Distress/upset e.g., from bereavement
14. Memory problems
15. Under the influence of alcohol
16. Other answer

**IF 16. OTHER ANSWER SELECTED**

Text

response \_\_\_\_\_

**13.8 WHICH TESTS WAS THE RESPONDENTS PERFORMANCE IMPAIRED ON?**

7. Fluid Ability- Matrices
8. Newest vital sign

**13.9 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN THE COGNITIVE FUNCTION SECTION PART 2?**

1. NEVER
2. A FEW TIMES
3. MOST OR ALL OF THE TIME

**SECTION13 SKIP REASON:**

**Fluid Ability- Matrices**

**Newest vital sign**

**INTERVIEWER:** Please note the questions in Section 13 which were skipped (if applicable). For each, please fill in the reason why the Respondent couldn't complete the question.

1. Blind or poor eyesight
2. Deaf or hard of hearing
3. Too tired
4. Has an illness or physical impairment that affects ability to perform the test
5. Impaired concentration
6. Nervous or anxious
7. Other mental impairment
8. Interruption or distraction – e.g., phone call or visitor
9. Noisy environment
10. Problems with laptop
11. Had difficulty understanding English
12. Respondent refused/didn't want to take part
13. Distress/upset e.g., from bereavement
14. Memory problems
15. Under the influence of alcohol
16. Other answer

**IF 16. OTHER ANSWER SELECTED**

Text response \_\_\_\_\_

## **SECTION 14: Social Participation**

### **PARTICIPATION IN LOCAL ISSUES**

To what extent do you agree or disagree with the following statements:

#### **14.1 I can influence decisions affecting my local area?**

##### **SHOW CARD AGREE\_DISAGREE**

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't have an opinion
98. DK
99. RF

#### **14.2 By working together, people in my area can influence decisions that affect the local area?**

##### **SHOW CARD AGREE\_DISAGREE**

1. Strongly agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Strongly disagree
6. Don't have an opinion
98. DK
99. RF

#### **14.3 In the last 12 months have you taken any of the following actions in an attempt to solve a problem affecting people in your local area?**

##### **SHOW CARD LOCAL\_PROBLEM**

1. Contacted a local radio station, television station or newspaper
2. Contacted the appropriate organisation to deal with the problem, such as the council
3. Contacted a local councillor or MP
4. Attended a public meeting or neighbourhood forum to discuss local issues
5. Attended a tenants' or local residents' group
6. Attended a protest meeting or joined an action group
7. Helped organise a petition on a local issue
8. No local problems
9. None of the above
98. DK
99. RF

## **PARTICIPATION IN NATIONAL ISSUES**

**14.4 In the last 12 months have you taken any of the following actions to show your concern over a national issue?**

### **SHOW CARD NATIONAL\_PROBLEM**

1. Contacted a radio station, television station or a newspaper
2. Contacted the appropriate organisation to deal with the problem
3. Contacted an MP
4. Attended a public meeting
5. Attended a protest meeting or joined an action group
6. Helped organise a petition
7. None of these
98. DK
99. RF

### **14.5 Can I check, did you vote ....**

1. ...in the last general election (national election)?
2. ...in the last local council election?
3. ... in the Scottish Referendum
4. ... in the EU Referendum
3. Was not eligible to vote in General/Local/EU Referendum
4. Choose not to vote in elections
98. DK
99. RF

**INTERVIEWER NOTE: Respondent cannot say Not Eligible is selected codes 1, 2, 4. but can select Not Eligible and code 3 Scottish Referendum**

## **SOCIAL SUPPORT**

I am going to describe two situations where people might need help. For each one, could you tell me if there is anyone you could ask for help?

(IF MORE THAN ONE PERSON IN HOUSEHOLD ADD: Please include people living with you and people outside the household)

### **14.6 You are ill in bed and need help at home. Is there anyone you could ask for help?**

1. Yes
2. No **GO TO 14.8**
98. DK **GO TO 14.8**
99. RF **GO TO 14.8**

### **14.7 Please can you look at this card and tell me who you could ask for help?**

#### **SHOW CARD FAMILY\_MEMBER**

1. Husband/wife/partner
2. Other household member
3. Relative (outside household)
4. Friend
5. Neighbour
6. Work colleague
7. Voluntary or other organisation
8. Other
9. Would prefer not to ask for help
98. DK
99. RF

### **14.8 You are in financial difficulty and need to borrow some money to see you through the next few days. Is there anyone you could you ask for help?**

1. Yes
2. No **GO TO 14.10**
98. DK **GO TO 14.10**
99. RF **GO TO 14.10**

### **14.9 Please can you look at this card and tell me who you could ask for help?**

#### **SHOW CARD FAMILY\_MEMBER**

1. Husband/wife/partner
2. Other household member
3. Relative (outside household)
4. Friend
5. Neighbour
6. Work colleague
7. Voluntary or other organisation
8. Other
9. Would prefer not to ask for help
98. DK
99. RF

### **14.10 If you had a serious personal crisis, how many people, if any, do you feel you could turn to for comfort and support?**

(INTERVIEWER: IF MORE THAN 15, CODE AS 15)

1. Number
98. DK
99. RF



## **INVOLVEMENT IN GROUPS, CLUBS AND ORGANISATIONS**

*The next questions are about involvement in groups, clubs and organisations. These could be formally organised groups or just groups of people who get together to do an activity or talk about things. Please exclude just paying a subscription, giving money and anything that was a requirement of your job.*

### **14.11 I am going to ask about 3 different types of groups:**

**First, in the last 12 months, have you been involved with any groups of people who get together to do an activity or to talk about things? These could include evening classes, support groups, slimming clubs, keep-fit classes, pub teams and so on.**

1. Yes
2. No **GO TO 14.13**
98. DK **GO TO 14.13**
99. RF **GO TO 14.13**

### **14.12 Please can you look at this card. Which of the categories on this card best describe the groups you have taken part in?**

#### **SHOW CARD SOCIAL\_GROUPS**

1. Hobbies/social clubs
2. Sports/exercise groups, including taking part, coaching or going to watch
3. Local community or neighbourhood groups
4. Groups for children or young people
5. Adult education groups
6. Groups for older people
7. Environmental groups
8. Health, disability and welfare groups
9. Political groups
10. Trade union groups
11. Religious groups, including going to a place of worship or belonging to a religious based group
12. Other group
13. None of these
98. DK
99. RF

### **14.13 Second, in the last 12 months, have you taken part in any (other) group activities as part of a local or community group, club or organisation? These could include residents' associations, Sports groups, parent-teacher associations, school or religious groups and so on.**

1. Yes
2. No **GO TO 14.15**
98. DK **GO TO 14.15**
99. RF **GO TO 14.15**

### **14.14 Please can you look at this card. Which of the categories on this card best describe the groups you have taken part in?**

#### **SHOW CARD SOCIAL\_GROUPS**

1. Hobbies/social clubs
2. Sports/exercise groups, including taking part, coaching or going to watch
3. Local community or neighbourhood groups
4. Groups for children or young people
5. Adult education groups
6. Groups for older people
7. Environmental groups
8. Health, disability and welfare groups

- 9. Political groups
- 10. Trade union groups
- 11. Religious groups, including going to a place of worship or belonging to a religious based group
- 12. Other group
- 13. None of these
- 98. DK
- 99. RF

**14.15 And third, in the last 12 months, have you taken part in any (other) group activities as part of a national group, club or organisation? These could include pressure groups, charities, political groups, environmental groups and so on.**

- 1. Yes
- 2. No **GO TO 14.17**
- 98. DK **GO TO 14.17**
- 99. RF **GO TO 14.17**

**14.16 Please can you look at this card. Which of the categories on this card best describe the groups you have taken part in?**

**SHOW CARD SOCIAL\_GROUPS**

- 1. Hobbies/social clubs
- 2. Sports/exercise groups, including taking part, coaching or going to watch
- 3. Local community or neighbourhood groups
- 4. Groups for children or young people
- 5. Adult education groups
- 6. Groups for older people
- 7. Environmental groups
- 8. Health, disability and welfare groups
- 9. Political groups
- 10. Trade union groups
- 11. Religious groups, including going to a place of worship or belonging to a religious based group
- 12. Other group
- 13. None of these
- 98. DK
- 99. RF

**14.17 Now I'd like to talk about any unpaid help you may have received. In the past month have you received any unpaid help in any of the ways shown on the card. Please do not count help from people who live with you or from an organisation or group.**

**SHOW CARD UNPAID\_HELP**

- 1. Domestic work, home maintenance or gardening
- 2. Provision of transport or running errands
- 3. Help with child care or babysitting
- 4. Teaching, coaching or giving practical advice
- 5. Giving emotional support
- 6. Other
- 7. None of these
- 98. DK
- 99. RF

**14.18 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION SOCIAL PARTICIPATION?**

- 1. NEVER
- 2. A FEW TIMES
- 3. MOST OR ALL TIMES

## **SECTION 15: Contact Names**

**How would you like to be contacted in the future?**

1. Post (home address)
2. Landline telephone
3. Mobile telephone
4. Email
5. Refused

**INTERVIEWER: Please ask the respondent for their address/telephone/email details. Please check required information is noted.**

---

**Can you give me the name, address, home and mobile telephone number, email and relationship of two persons who do not live with you and who would know where you are, in case we need to contact you in the future?**

### **Person 1**

NAME - Text: Up to 60 characters

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ADDRESS - Text: Up to 100 characters

---

TELEPHONE NUMBER - Home - Text: Up to 20 characters

---

TELEPHONE NUMBER - Mobile - Text: Up to 20 characters

---

Email-Text: Up to 30 characters

---

Your relationship to this contact –

<b>MALES</b>	<b>FEMALES</b>
1. Husband	2. Wife
<b>3. Partner/cohabitee</b>	<b>3. Partner/cohabitee</b>
5. Father	4. Mother
7. Father-in-law	6. Mother-in-law
28. Stepfather	29. Stepmother
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative – Neighbour	25. Non-relative – Neighbour
26. Non-relative – Friend	26. Non-relative – Friend
27. Non-relative – other	27. Non-relative - other

**Person 2**

NAME - Text: Up to 60 characters

---

ADDRESS - Text: Up to 100 characters

---

TELEPHONE NUMBER - Home - Text: Up to 20 characters

---

TELEPHONE NUMBER - Mobile - Text: Up to 20 characters

---

Email-Text: Up to 30 characters

---

Your relationship to this contact –

<b>MALES</b>	<b>FEMALES</b>
1. Husband	2. Wife
<b>3. Partner/cohabitee</b>	<b>3. Partner/cohabitee</b>
5. Father	4. Mother
7. Father-in-law	6. Mother-in-law
28. Stepfather	29. Stepmother
8. Son (Including adopted and foster)	9. Daughter (Including adopted and foster)
10. Step son	11. Step daughter
12. Son-in-law	13. Daughter-in-law
14. Brother	15. Sister
16. Brother-in-law	17. Sister-in-law
19. Grand-son	18. Grand-daughter
20. Grandfather	21. Grandmother
23. Uncle	22. Aunt
24. Other relative	24. Other relative
25. Non-relative - Neighbour	25. Non-relative – Neighbour
26. Non-relative - Friend	26. Non-relative – Friend
27. Non-relative - other	27. Non-relative – other

**INTERVIEWER:**

**YOU SELECTED EARLIER IN THE SURVEY THAT YOU WOULD DISCUSS THE FOLLOWING DATA LINKAGE AREAS WITH THE RESPONDENT AT A LATTER STAGE.**

**PLEASE CONFIRM IF CONSENT HAS NOW BEEN OBTAINED AND ENSURE SIGNATURE AND RESPONDENT INITIALS FOR EACH ITEM ARE FILLED OUT ON DATA LINKAGE CONSENT FORM:**

**Linkage to high school examination records**

1. Yes, consent form signed and initialled
2. Refused

**Linkage to DWP records**

1. Yes, consent form signed and initialled

2. Refused

**Linkage to health records (medical and dental)**

1. Yes, consent form signed and initialled
2. Refused

**Linkage to social care records**

1. Yes, consent form signed and initialled
2. Refused

*As part of Facts International's quality control process, my employer will wish to contact some of the people I have interviewed. This is to confirm that I have undertaken the interview in an appropriate manner, and according to market research practice. Could you please provide me with your name, confirm your address and provide me with a contact telephone number. This information will not be passed on, or used for any purpose other than our quality control processes. Your details will be deleted as soon as our quality control process ends.*

Record name and address details and take land line or mobile phone number, including the dialling code.

NAME - Text: Up to 60 characters

---

ADDRESS - Text: Up to 100 characters

---

TELEPHONE NUMBER - Home - Text: Up to 20 characters

---

TELEPHONE NUMBER - Mobile - Text: Up to 20 characters

---

**INTERVIEWER NAME:**

**INTERVIEWER ID:**

**DATE TODAY:**

**TIME OF INTERVIEW:**

**INTERVIEWER:** PLEASE NOW OFFER THE OPTIONS AS BELOW FOR THE SELF-COMPLETION QUESTIONNAIRE TO THE RESPONDENT (TICK METHOD)

- Complete now - please submit this survey and fill out paper self-completion questionnaire
- Complete on paper, wait for respondent to fill out or pick up later - please submit this survey and hand the paper questionnaire and envelope to respondent
- Complete online - please submit this survey and hand card with online link to respondent

**END**