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Diagnostics for optimised dengue surveillance: Investigating user experience and requirements

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Diagnostics for optimised dengue surveillance: Investigating user experience and requirements

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24 ABSTRACT

25 Objectives

26 Effective, real-time surveillance of dengue may provide early-warning of outbreaks and
27 support targeted disease-control intervention but requires widespread accurate diagnosis
28 and timely case-reporting. This study aimed to identify requirements for new diagnostics
29 which will enhance dengue surveillance.

30 Methods

31 Data were collected from 19 users of diagnostic technology who work across the Thai
32 dengue surveillance system. Contextual knowledge, experience and needs were explored in
33 focus groups. Discussions were translated, transcribed, analysed thematically and mapped
34 to Consolidated Framework for Implementation Research domains.

35 Results

36 Participants expressed a need for rapid, accurate, serotype-specific tests which can be
37 operated easily by non-expert users without laboratory equipment. They supported
38 integration of diagnostics with surveillance systems and felt this would increase the quantity
39 and speed of case-reporting as well as provide healthcare professionals with up-to-date
40 information about the number of cases locally, thereby aiding interpretation of test results.
41 Concerns included those relating to data security and the cost of tests.

42 Conclusions

43 Engagement to understand prospective user experience and requirements can improve
44 relevance and uptake of new technology, leading to system efficiencies. The present study
45 highlights specific needs for accurate, serotype-specific, remote-connected diagnostics

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which are integrated with surveillance systems and support dengue case-reporting at the

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point-of-care.

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KEYWORDS

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- Dengue
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- Focus group
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- User requirements
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STRENGTHS AND LIMITATIONS OF THIS STUDY

Strengths:

First study to specifically investigate user requirements for diagnostics which support dengue surveillance.

Included a wide range of diagnostic technology users in Thailand , including those who operate tests and those undertake downstream analysis and usage of data.

Challenges are identified and key desirable features for portable, serotype-specific, remote-connected diagnostic devices are described.

Limitations:

Only included participants working within one national surveillance system and excluded patients and the general public who also play pivotal roles as users.

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68 INTRODUCTION

69 Dengue is a mosquito-borne neglected tropical disease which affects 100-400 million
70 individuals annually and is a significant cause of morbidity and mortality among adults and
71 children. It is caused by four dengue virus serotypes (DENV1-4) which co-circulate in many
72 regions.[1] Dengue causes a diverse clinical syndrome ranging from asymptomatic or mild,
73 self-limiting illness to dengue haemorrhagic fever, dengue shock and death.[2] 'Secondary
74 dengue infection', which occurs when an individual is infected for a second (or subsequent)
75 time by a different serotype to their earlier 'primary infection', is most likely to result in
76 severe disease.[3]

77 A diagnosis can be suspected based on clinical features and routinely available laboratory
78 data but should be confirmed using a diagnostic test.[4] Reverse-transcriptase polymerase
79 chain reaction (RT-PCR) assays are considered the modern reference standard.[5] RT-PCR
80 requires significant laboratory infrastructure and a skilled workforce, resulting in its limited
81 use in rural and remote locations.[6] Rapid diagnostic tests (RDTs) are low-cost and simple
82 to use but have varying sensitivity compared to RT-PCR (40% to >90%) and cannot currently
83 determine the infecting serotype.[7]

84 Outbreaks of dengue are typically seasonal with the number of cases and proportion
85 causing severe disease being highly variable between years. Shifts in the predominant
86 circulating serotype may lead to more severe outbreaks.[8] In 'passive surveillance', cases
87 are identified via the routine assessment of unwell patients at healthcare facilities and are
88 notified to a central surveillance authority. This relies on availability and utilisation of
89 accurate diagnostic tests and effective, timely communication of results alongside clinically-
90 derived metadata. Passive surveillance may be augmented at 'sentinel sites', with samples

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91 undergoing additional serotype-specific testing.[9], [10] Effective implementation of such
92 systems with real-time data transfer may provide early outbreak warning.[9], [10], [11], [12]
93 However, common weaknesses include poor access to diagnostic testing and delayed or
94 incomplete reporting.[9], [13] In Thailand, there is mandatory reporting of clinical or RDT-
95 confirmed cases to regional surveillance authorities by healthcare facilities.

96 Several advances in diagnostic technology represent opportunity to enhanced dengue
97 surveillance.[14] Novel molecular techniques such as reverse-transcriptase loop-mediated
98 isothermal amplification (RT-LAMP) may lead to high-sensitivity portable diagnostic devices
99 for detecting and serotyping infections.[15], [16] Mobile phone and global positioning
100 system (GPS) technologies may be integrated to automate case notification.[12], [17], [18]

101 In the context of dengue surveillance, ‘users’ of technology include those involved in the
102 operation and interpretation of diagnostic devices, and/or the use of data generated to
103 make decisions about management of individual patients and population level surveillance
104 or disease control.[19] The professional occupation of individuals undertaking these
105 activities varies between country and healthcare setting, but may include public health
106 practitioners, surveillance officials, doctors, nurses and laboratory scientists. Patients and
107 the general public also play pivotal roles as users. Previous studies evaluating the
108 implementation of RDTs have identified potential barriers from the perspective of users.
109 These include unreliable supply chains, user training requirements, practical limitations in
110 operating devices, difficulties interpreting and recording results, distrust of results, and a
111 lack of impact on clinical decision making.[20], [21], [22], [23], [24] Beyond infectious
112 disease diagnosis and surveillance contexts, there is frequent non-adoption of health
113 technology, including in rural and remote settings.[19], [25], [26] It is crucial that technology

114 is developed and evaluated in collaboration with intended users. Engagement throughout
115 the design process likely results in optimised solutions and maximised chances of
116 technology adoption.[27] The Consolidated Framework for Implementation Research (CFIR)
117 provides a set of domains which can be used to systematically assess barriers and
118 facilitators to implementing health intervention. These include the intervention itself and
119 how it may be adapted, the setting, the processes, and individuals involved, [28]
120 This study engaged users of diagnostic technology working across the Thai dengue
121 surveillance system. It explored their contextual knowledge, experience and needs, with
122 the aim of determining requirements for new devices and their implementation in systems
123 of dengue surveillance.

124

125 METHODS

126 Setting

127 This qualitative study was conducted during July 2022 at four institutions in Thailand: The
128 Division of Vector Borne Diseases, Department of Disease Control at the Ministry of Public
129 Health is the national authority responsible for surveillance of dengue and strategies for
130 dengue control. The Hospital for Tropical Diseases is a tertiary care hospital specialised in
131 tropical diseases including dengue. Khon Kaen hospital is a public hospital which provides
132 inpatient and outpatient care for rural patients. The Dengue Haemorrhagic Fever Research
133 Unit at Mahidol University, Bangkok is an academic centre with a multidisciplinary dengue
134 research portfolio.

135 Participants

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A purposive sample was taken to ensure inclusion of participants with a range of experience across dengue surveillance in Thailand. This included public health practitioners, surveillance officials, doctors, nurses, laboratory scientists and dengue researchers.

Data collection

Data were collected during four focus group discussions, each including between four and seven participants. These were facilitated by two researchers and were conducted either in English or Thai language, depending on participant preference. Discussion was facilitated using a topic guide, developed in advance based on literature review and expert’s opinion regarding knowledge and innovations in dengue diagnosis and surveillance (Table 1). This was reviewed and revised iteratively during and between sessions, to ensure that emerging themes could be identified, explored further and triangulated within and between groups of participants. Focus groups were audio-recorded and written notes were taken. Recordings were transcribed and Thai was translated to English language.

<Table 1 here>

Data Analysis

A thematic analysis was undertaken.[29] Transcripts from each focus group were annotated and analysed by two researchers who assigned codes independently and then discussed and aggregated them into themes. A deductive approach was used, with themes mapped to CFIR domains.[28], [30] ‘Current practices and challenges’ and ‘requirements for new

diagnostics in surveillance' were overarching themes agreed *a priori*, as they were central to the aim of the study.

Ethical considerations

Potential participants received verbal and written information about the proposed study purpose and its procedures. This study received ethical approval from Mahidol University Faculty of Tropical Medicine Research Ethics Committee (MUTM-2022-031-01)

RESULTS

Identified themes mapped to the CFIR (Figure 1) demonstrate barriers across all parts of the system including the poor fit between current technologies and adopting context. Features likely to address these barriers (Figure 2) are also identified, providing viable design and implementation approaches. These are further described and supported by selected quotations from participants below.

Current practices and challenges

Diagnosis of dengue: Participants described how individuals with dengue may seek healthcare at different types of healthcare facility, including primary health centres, district hospitals, regional hospitals, referral hospitals, pharmacies or private clinics, with each type having different clinical workforce and diagnostic test availability. There is a lack of diagnostic testing in many rural and remote settings.

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177 *“It depends on the level of [healthcare facility], if located in a very remote area, they cannot*
178 *do a blood test.”*

179 - Participant 6, Laboratory Scientist. Focus group 2.

180

181 Senior doctors described frequently diagnosing dengue based on clinical features and many
182 said they often did not use a diagnostic test.

183

184 *“I think the senior doctors like me are very used to following the clinical, but I think the new*
185 *generation of doctors are more likely to use the [RDT].”*

186 - Participant 13, Doctor (Paediatrics). Focus group 3.

187

188 Cited reasons for not testing included a high degree of confidence in clinical diagnoses,
189 potentially inaccurate tests, and resource wasting. Some reported only using tests in
190 atypical cases or outside dengue season.

191 When tests are used, RDTs are operated at laboratories or ‘mini laboratories’ (non-clinical
192 areas attached to smaller healthcare facilities), by a laboratory scientist, or sometimes at
193 the point-of-care by a nurse. RT-PCR is rarely used because samples (or patients
194 themselves) must be transported to specialist laboratories and results may be delayed.

195 **Case reporting and information transfer:** Participants described a system of passive disease
196 surveillance requiring multiple stages of information transfer. Typically, diagnosed cases of
197 dengue are communicated to an individual with responsibility for disease reporting at a

198 health facility. Information is then transferred sequentially to local, regional and national
199 levels of the surveillance system (figure 3).[31]

200

201 <Figure 3 here>

202

203 This information transfer could be incomplete or delayed, potentially by up to 4 weeks, due
204 to laborious data input procedures, frequent duplication of tasks and lack of time- and
205 resource- allocation for these activities.

206

207 *"Oh I'm really sad ... to tell you, not only do we have an underdiagnosis situation, but we*
208 *have an underreporting situation also."*

209 - Participant 17, Senior Surveillance Official. Focus group 4.

210

211 *"One of the reasons they don't report is they have to sit down and key in the result."*

212 - Participant 18, Surveillance Official. Focus group 4.

213

214 Some participants also described a parallel sentinel site surveillance system, with samples
215 undergoing serotype-specific testing at a central location. However, only low numbers of
216 cases are included, these are not recruited systematically, and batch-testing results in
217 availability of serotype data being delayed.

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218 Use of surveillance data: When participants were asked about the benefits of case-
219 reporting, responses varied according to professional occupation. Doctors, nurses and
220 laboratory scientists did not identify benefits from this activity and were unaware of
221 downstream processes . They rarely received epidemiological information or warning about
222 outbreaks as a result participation in surveillance.

223
224 *“No one tells us, we just know when a large number of patients is coming!”*

225 - Participant 13, Doctor (Paediatrics). Focus group 3.

226
227 Public health practitioners and surveillance officials explained how national and regional
228 data is collated into reports but agreed that information could be disseminated more rapidly
229 and used more efficiently locally.

230
231 Requirements for new diagnostics in surveillance

232 Use setting and operator skillset: Participants stated that new devices for the diagnosis of
233 dengue should be usable in a wide range of settings, including at the point-of-care (inpatient
234 and outpatient) and in laboratories and ‘mini laboratories’. There was a preference for
235 analysing a small volume (up to 4 drops, ~140uL) of capillary blood, obtainable by finger-
236 prick and transferred directly into the device.

237

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238 *"If we use it in outpatients where there are many patients, obtaining blood from the*
239 *fingertip would be suitable"*

240 - Participant 14, Nurse (Inpatient). Focus group 3.

241

242 There was a strong desire for minimal sample processing prior to analysis (i.e.
243 centrifugation, pipetting, mixing or addition of reagents). This was frequently explained by
244 reference to currently available RDTs, which are simple to use.

245

246 *"Nurses are not using pipette. If that's needed, it needs to be in the lab."*

247 - Participant 7, Nurse Assistant (Outpatients). Focus group 2.

248

249 *"We have to try to mimic the [RDTs]"*

250 - Participant 3, Dengue Researcher. Focus group 1.

251

252 **Diagnostic targets:** Many participants stated that new diagnostic devices should have the
253 ability to serotype infections. Public health practitioners, surveillance officials and several
254 dengue researchers had particularly strong desires for this, noting that it has not been
255 achieved by currently available RDTs.

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257 *“If we can get the serotype in real-time of course it will make our control measures more*
258 *effective.”*

259 - Participant 19, Surveillance Official. Focus group 4.

260
261 Doctors and nurses could also understand this potential surveillance benefit but stated that
262 serotypes are of little consequence for individual patient management.

263
264 **Assay performance characteristics and implications for clinical and public health**

265 **management of dengue:** Most participants cited ‘accuracy’ as an important characteristic.

266 They recognised that existing dengue tests were sometimes insensitive which could affect
267 patient management as well as surveillance. Insensitive tests which give falsely negative
268 results may lead missed diagnoses of dengue, with further testing and treatments for other
269 causes (for example bacterial infections) being initiated or continued unnecessarily.

270
271 *“If the doctors see that the test is negative, [they] might diagnose something else and treat*
272 *something else, like bacterial infection... [this] might harm the patient.”*

273 - Participant 10, Doctor (Internal Medicine). Focus group 4.

274
275 They suggested that new devices should have at least the same sensitivity as currently
276 available RDTs.

277 Participants also recognised that non-specific tests could lead to alternative diagnoses being
278 missed and discontinuation of important treatments (for example antibiotics).

279

280 *"If it has false positive it may lead to mistreatment of other diseases"*

281 - Participant 16, Doctor (Internal Medicine). Focus group 3.

282

283 *"This means it's not dengue but something else. Yes definitely, this delays the treatment.*

284 *Yes it's going to be a problem."*

285 - Participant 10, Doctor (Internal Medicine). Focus group 4.

286

287 They caveated this by suggesting that users would become familiar with the performance of
288 any new test, and would interpret results accordingly. They also described how clinical and
289 epidemiological context are considered, when interpreting dengue test results.

290

291 *"We use it along with [routine laboratory data]. If [the test] is negative, but the case is likely
292 to be dengue, we still have [routine laboratory data] to follow-up the patient"*

293 - Participant 16, Doctor (Internal Medicine). Focus group 3.

294

295 *"If the local prevalence of the infection is high, then the test-negative will not ensure that the
296 patient has no dengue infection. But if the patient is in a without dengue area, we will have*

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297 *high confidence that this patient does not have dengue infection. It will depend on the*
298 *prevalence at the time and in the local area.”*

299 - Participant 16, Doctor (Internal Medicine). Focus group 3.

300
301 Many participants also cited ‘fast result’ as an important characteristic. This was particularly
302 important for nurses and laboratory scientists who are frequent operators of RDTs. They
303 suggested target sample-to-result time should be below one hour (and ideally below 15-20
304 minutes).

305 The ‘ability to quantify virus’ was not considered an important characteristic, either for
306 clinical or surveillance purposes. However, some participants acknowledged potential utility
307 in clinical research, for example in trials of antiviral mediations.

308
309 **Connectivity and metadata:** Participants recommended that diagnostic devices should
310 have a simple way of displaying results to users with low chance of misinterpretation. They
311 also stated that results should be recorded permanently on a patient’s record. This could be
312 achieved by integrating devices with electronic patient records and/or laboratory
313 information systems, or by allowing results to be printed.

314 There was agreement among all participants that integrating diagnostic devices with
315 surveillance systems could be helpful, and that receiving serotype data would support
316 surveillance efforts. Many suggested that it would reduce requirements for informal
317 communication, paper records, data input and duplication of work at several levels of the
318 surveillance system, hence improving case reporting. Public health practitioners and

surveillance officials detailed which metadata should be reported routinely alongside the test results (Table 2). They also felt that optional reporting of pertinent clinical details could be useful (for example details of particularly severe or atypical cases which may warrant further investigation).

<Table 2 here>

As well as performing automated case notification ('upwards data transfer'), participants suggested that a new diagnostic device could also receive and display epidemiological data to the user ('downwards data transfer'). They expressed their desires for up-to-date information about the numbers and severity of dengue cases in their area and agreed that devices which provide early warning of dengue outbreaks would be useful.

"If we know the information about the outbreak of dengue cases in the surrounding area, we will be more aware of the possibility of more severe cases coming to the hospital"

- Participant 13, Doctor (Paediatrics). Focus group 3.

Some explained how this knowledge could be used to assist in the interpretation of the dengue test itself.

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339 *“When patients present with fever during the outbreak season the clinician usually ask*
340 *where they come from. If we know that they come from an outbreak area, it increases the*
341 *possibility that the case may be dengue”*

342 - Participant 13, Doctor (Paediatrics). Focus group 3.

343

344 However, some participants had concerns relating to data security, particularly if devices
345 could receive, store or display potentially sensitive information about other cases in the
346 region (for example their location).

347

348 *“Someone can think about stigmatisation. OK so this family has dengue and someone can*
349 *think that they are spreading dengue to the village, or something like that.”*

350 - Participant 10, Doctor (Internal Medicine). Focus group 2.

351

352 **Cost:** Participants emphasised the importance of cost when considering the potential
353 introduction of new diagnostic devices in Thailand. Usually, diagnostic testing is paid for by
354 government insurance coverage, private insurance, or personal funds. Many participants
355 considered a conceptual difference between testing which is undertaken for individual
356 patient benefit (i.e. for diagnostic purposes) and that which is undertaken for potential
357 collective population benefit (i.e. for surveillance), and felt that using personal funds to pay
358 for the latter would be unfair.

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DISCUSSION

Participants in this study identified the need and potential value of new tests for dengue which are accurate, rapid, low cost and can be operated easily by non-expert users outside laboratory settings, including in remote and rural areas. They supported integration of diagnostic devices with surveillance systems to increase quantity and speed of case-notification. These requirements align with The World Health Organization (WHO) Special Program for Research and Training in Tropical Diseases 'ASSURED' criteria for diagnostics, and subsequent publications supporting real-time connectivity ('REASSURED' characteristics). [32], [33], [34] Tests which can serotype may be important for surveillance but are less likely to benefit individual patients. As well as 'upwards data transfer' (such that cases are notified by devices to the surveillance authority), it was felt that 'downwards data transfer' (from the surveillance system to each diagnostic device) would also be useful. This could provide healthcare professionals with up-to-date information about local dengue cases, assisting them in the interpretation of individual test results and potentially warning them of outbreaks. Cautions relating to this overall approach included data security and the potential cost when compared to currently available diagnostic tests.

Previous studies have explored healthcare workers' and community members' perceptions of new diagnostic devices for tropical infections, particularly those intended to be used at the point-of-care. Diggle et al investigated malaria RDTs in Northern Kenya and found significant knowledge gaps, misconceptions and evidence of low uptake. Reasons included perceptions that testing was unnecessary, distrust of results, fear that devices might also test for other, potentially stigmatised conditions, and cost. However, RDTs were noted for their ease of use and portability.[21] Rasti et al investigated Southwestern Ugandan

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383 healthcare workers who described point-of-care tests improving diagnosis and clinical
384 decision making in under-resourced areas. However, they also reported experiencing
385 inaccurate results and a need to interpret and corroborate results with other clinical
386 information.[23] Boadu et al identified influencers of malaria RDT implementation among
387 primary healthcare providers in central Ghana. These included healthcare delivery
388 constraints, provider perceptions and social dynamics of care delivery.[20] A scoping review
389 of the use of mobile phones in the prevention and control of arboviral infections identified
390 six studies where mobile phone technology formed part of a diagnostic workflow, and 25
391 studies where mobile telephones were used in various surveillance activities.[35] Cited
392 benefits were a ‘reduction in error of transcribed data’, ‘rapid data transfer’, and ‘good
393 completeness in terms of more dengue case reporting’, which are highly relatable to the
394 present study’s findings.[35]

395 This study is the first to specifically investigate user requirements for diagnostic devices that
396 would optimise dengue surveillance. It collected data from a wide range of diagnostic
397 technology users, including those who make decisions to test, those with hands-on
398 experience of operating tests, and those who are involved in downstream analysis and
399 usage of data. Broad inclusion appears to have been important because user requirements
400 sometimes varied between occupational groups. Innovation in technology should account
401 for this and may need to balance priorities of different users.

402 Limitations of this study include its restriction to one country, which could mean that
403 findings are geographically specific and are not fully transferrable to other settings.
404 However, many of the practices and challenges described appear similar to those
405 experienced in other Southeast Asian nations[9] and more widely.[13] Additionally, it did

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not include patients or members of the general public, who are important users of diagnostic technology. In Thailand, there has been rapid increase in the use of mobile phone technology, including for storage and sharing of personal health records.[36], [37] Results from the present study highlight further need to engage this group, particularly around the importance of data security.

Dengue is a major public health concern across tropical regions. Accurate, serotype-specific, remote-connected diagnostic devices which can be used in a diverse range of settings would enhance surveillance and could support real-time outbreak risk-assessment and warning. These should be developed in collaboration with a range of prospective technology users.

415

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of the authors and not necessarily those of the NHS, the National Institute for Health Research, the Department of Health and Social Care, or the UK Health Security Agency. AH is a National Institute for Health Research (NIHR) Senior Investigator and she is Chair of the David Price Evans Global Health and Infectious Diseases Research Group at the University of Liverpool.

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COMPETING INTERESTS

All authors declare that there are no competing interests

AUTHOR CONTRIBUTIONS

PArkell, SK, AS, DM, RA and SL designed the study. PArkell, SK and SL collected data. PArkell and SK analysed data and drafted the manuscript. JR, PG, PM, PAvirutnan and AH were awarded funding to collaborate and undertake a programme of dengue diagnostics development which includes this qualitative work. All authors critically appraised the manuscript and agreed to its submission for publication. PA is responsible for the overall content. PA is the corresponding author and attests that all listed authors meet authorship criteria and that no others meeting the criteria have been omitted.

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582 **Table 1: Focus group topic guide**

<p>A. Contextual understanding and needs assessment.</p> <ul style="list-style-type: none">- How is dengue surveillance done, at your workplace (and more broadly)?- Where do patients present to with symptoms of dengue and how do they get diagnosed?- If tests are not always done, why do you think this is?- Where / how should cases of dengue get reported, to surveillance?- If positive results are not always reported, why do you think this is?- How are surveillance data used? <p>B. Requirements for new diagnostic devices: The assay.</p> <ul style="list-style-type: none">- Where does diagnostic testing usually occur, and what laboratory equipment is available there (if any)?- Who typically operates diagnostic devices, and what sample preparation / analysis skills do they have (if any)?- What do you think would be the preferred sample type and sample volume, that would go into any new diagnostic device?- What do you think would be the preferred (and maximum) time from sample to result (i.e. test duration), of any new diagnostic device?- What do you think the preferred (and minimum) sensitivity and specificity, of any new diagnostic device?- Is knowing the dengue serotype important?- Is knowing the quantity of dengue (level of ‘viraemia’) in a patient’s sample important? <p>C. Requirements for new diagnostic devices: Remote connectivity and reporting.</p> <ul style="list-style-type: none">- How are results from diagnostic tests generally reported, and where are they stored?- If a new diagnostic device could be remote-connected, where should results be reported to?- Which information about cases would be most useful to report alongside test results, to enhance dengue surveillance?- Would it be useful if a new diagnostic device could receive and display real-time information about local dengue incidence to the user (as well as transmitting data for case-reporting)?
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Table 2: Metadata which could be reported automatically from diagnostic devices to the surveillance authority ('upwards data transfer') and from surveillance to the diagnostic device ('downwards data transfer'), to enhance dengue surveillance.

Upwards data transfer (device to surveillance system)

A. Test-related data

- Date of test (date)
- Geo-location of test (lat, long)
- Dengue test result (positive/negative)
- Serotype result (DENV1/DENV2/DENV3/DENV4)

B. Identifiers

- Name (free text)
- National ID (number)
- Home address (free text)
- Patient's (or parent/guardian's) telephone number (number)

C. Clinical details

- Duration of symptoms in days (number)
- Severity of case at time of testing if dengue suspected clinically (non-severe/dengue with warning signs/severe dengue/patient died)
- Alternative clinical diagnos(es), if applicable (free text)
- Additional information for communication to surveillance authority. For example, details of particularly severe or atypical cases, or those where multiple family members are unwell, which may warrant further investigation (free text)

Downwards data transfer (surveillance system to device)

- All test-related data (see A, above) from other devices.*

*** This information could be output to the clinical user. For example, the number and proportion of recent positive tests in the surrounding area could be displayed, to aid interpretation of the current result. Graphs or maps showing temporal or geographical trends could also be displayed.**

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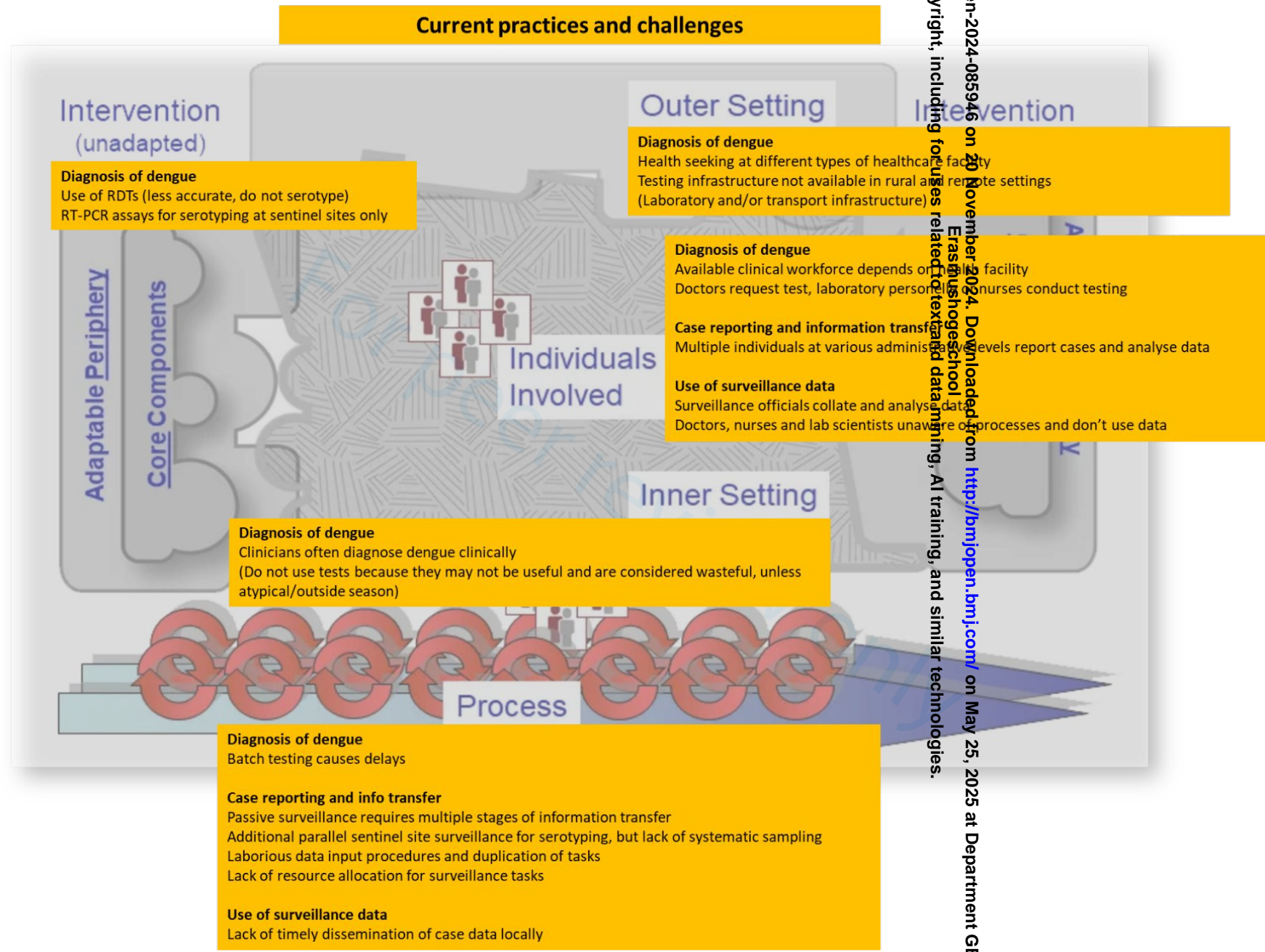


Figure 1: Identified themes within ‘current practices and challenges relating to dengue diagnosis and surveillance’ mapped to the Consolidated Framework for Implementation Research (CFIR) domains

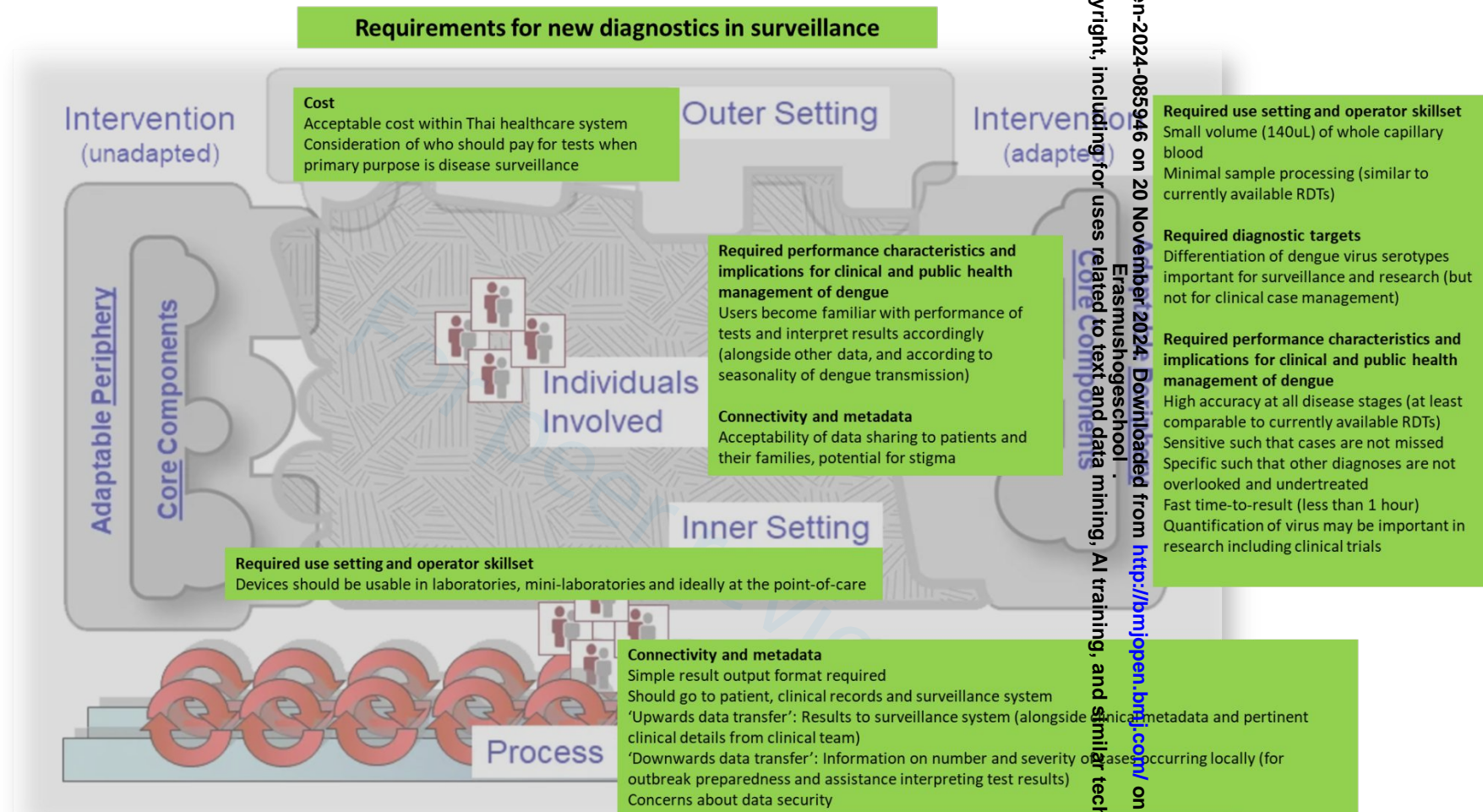


Figure 2: Identified themes within 'requirements for new diagnostics' mapped to the Consolidated Framework for Implementation Research (CFIR) domains

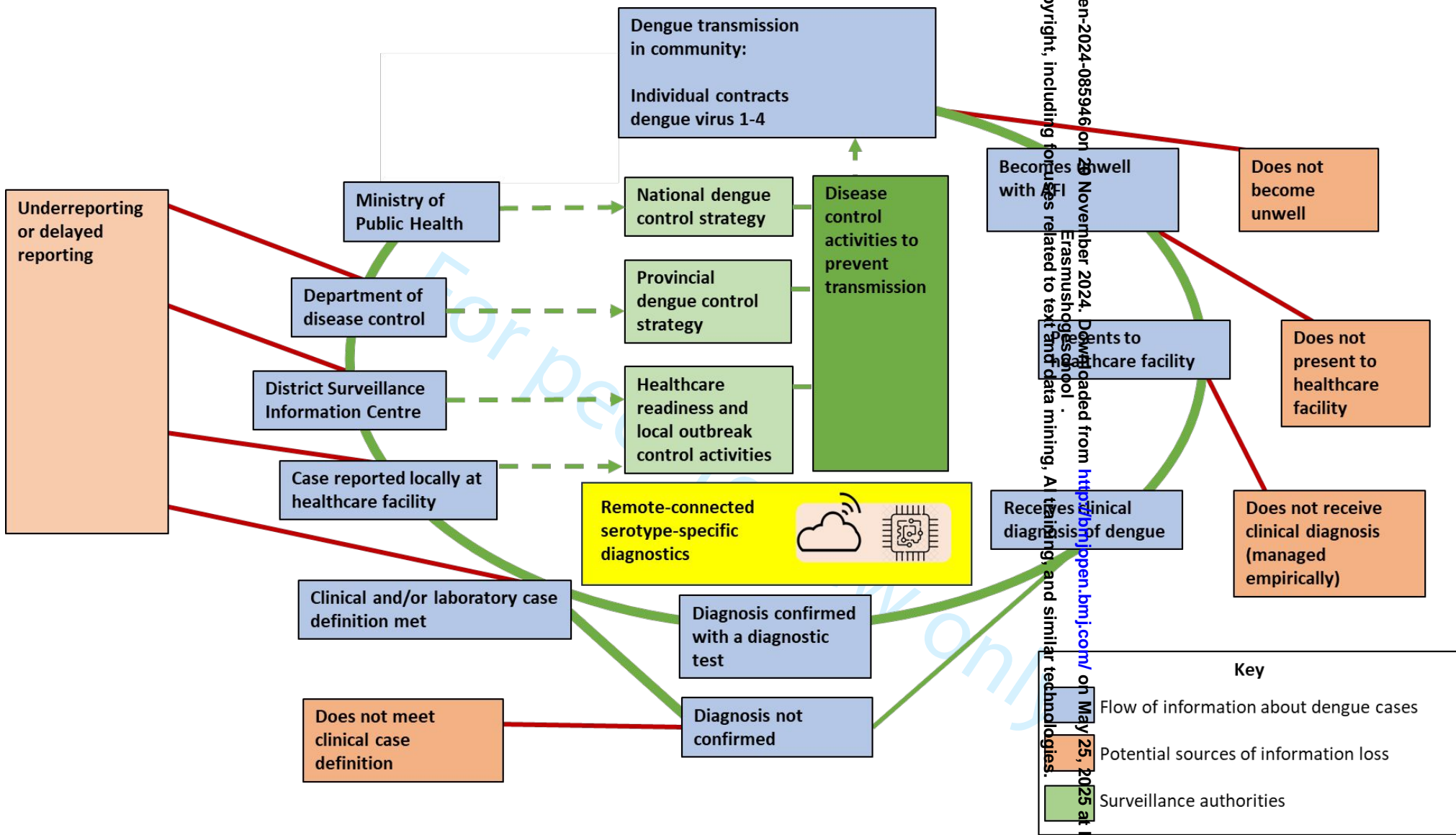


Figure 3: Schematic diagram showing current, multi-level transfer of information in a passive dengue surveillance system

BMJ Open

Diagnostics for optimised dengue surveillance: A qualitative focus group study to investigate user experience and requirements in Thailand

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1 **Diagnostics for optimised dengue surveillance: A qualitative focus group study to**
2 **investigate user experience and requirements in Thailand**
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23

24 ABSTRACT

25 **Objectives:** Effective, real-time surveillance of dengue may provide early-warning of
26 outbreaks and support targeted disease-control intervention but requires widespread
27 accurate diagnosis and timely case-reporting. Research directing innovation in diagnostics
28 for dengue surveillance is lacking. This study aimed to describe experience and
29 requirements of relevant prospective users.

30 **Design:** A qualitative, focus group study was conducted.

31 **Participants:** Data were collected from 19 users of diagnostic technology who work across
32 the Thai dengue surveillance system.

33 **Data collection and analysis:** Contextual knowledge, experience and needs were explored in
34 focus groups. Discussions were translated, transcribed, analysed thematically and mapped
35 to Consolidated Framework for Implementation Research domains.

36 **Results:** Participants expressed a need for rapid, accurate, serotype-specific tests which can
37 be operated easily by non-expert users without laboratory equipment. They supported
38 integration of diagnostics with surveillance systems and felt this would increase the quantity
39 and speed of case-reporting as well as provide healthcare professionals with up-to-date
40 information about the number of cases locally, thereby aiding interpretation of test results.

41 Concerns included those relating to data security and the cost of tests.

42 **Conclusions:** Engagement to understand prospective user experience and requirements can
43 improve relevance and uptake of new technology, leading to system efficiencies. The
44 present study highlights specific needs for accurate, serotype-specific, remote-connected

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diagnostics which are integrated with surveillance systems and support dengue case-

reporting at the point-of-care.

KEYWORDS

Dengue [MeSH]

Diagnostic test [MeSH]

Focus group [MeSH]

Infectious disease transmission [MeSH]

Surveillance

User requirements

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STRENGTHS AND LIMITATIONS OF THIS STUDY

Specific investigation into user requirements for diagnostics which support dengue surveillance.

Included diagnostic technology users in Thailand with a wide range of professional experience, including those who operate tests and those undertake downstream analysis and usage of data.

Thematic analysis with mapping to Consolidated Framework for Implementation Research domains.

Only included participants working within one national surveillance system and excluded patients and the general public who also play pivotal roles as users.

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67 INTRODUCTION

68 Dengue is a mosquito-borne neglected tropical disease which affects 100-400 million
69 individuals annually and is a significant cause of morbidity and mortality among adults and
70 children. It is caused by four dengue virus serotypes (DENV1-4) which co-circulate in many
71 regions.[1] Dengue causes a diverse clinical syndrome ranging from asymptomatic or mild,
72 self-limiting illness to dengue haemorrhagic fever, dengue shock and death.[2], [3]
73 'Secondary dengue infection', which occurs when an individual is infected for a second (or
74 subsequent) time by a different serotype to their earlier 'primary infection', is most likely to
75 result in severe disease.[4]

76 A diagnosis can be suspected based on clinical features and routinely available laboratory
77 data but should be confirmed using a diagnostic test.[3] Reverse-transcriptase polymerase
78 chain reaction (RT-PCR) assays detect dengue ribonucleic acid. They have high sensitivity
79 and specificity and are considered the modern reference standard.[5] However, RT-PCR
80 requires significant laboratory infrastructure and a skilled workforce, resulting in its limited
81 use in rural and remote locations.[6] Serological techniques (including enzyme-linked
82 immunosorbent assays, ELISAs) can be used to detect host immunoglobulins (IgM and IgG)
83 and virus proteins (non-structural protein 1, NS1). Similar to RT-PCR, laboratory-based
84 serological testing has been challenging to deploy. Therefore, rapid diagnostic tests (RDTs)
85 are more commonly used in rural and remote locations. These are low-cost and simple to
86 use but have varying sensitivity compared to RT-PCR (40% to >90%) and ELISA, which
87 depends on time since onset of symptoms. Additionally, current RDTs cannot determine the
88 infecting serotype.[7]

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89 Outbreaks of dengue are typically seasonal with the number of cases and proportion
90 causing severe disease being highly variable between years. Shifts in the predominant
91 circulating serotype may lead to more severe outbreaks.[8] In ‘passive surveillance’, cases
92 are identified via the routine assessment of unwell patients at healthcare facilities and are
93 notified to a central surveillance authority. This relies on availability and utilisation of
94 accurate diagnostic tests and effective, timely communication of results alongside clinically-
95 derived metadata. Passive surveillance may be augmented at ‘sentinel sites’, with samples
96 undergoing additional serotype-specific testing.[9], [10] Effective implementation of such
97 systems with real-time data transfer may provide early outbreak warning.[9], [10], [11], [12]
98 However, common weaknesses include poor access to diagnostic testing and delayed or
99 incomplete reporting.[9], [13] In Thailand, there is mandatory reporting of clinical or RDT-
100 confirmed cases to regional surveillance authorities by healthcare facilities.

101 Several advances in diagnostic technology represent opportunity to enhanced dengue
102 surveillance.[14] Novel molecular techniques such as reverse-transcriptase loop-mediated
103 isothermal amplification (RT-LAMP) may lead to high-sensitivity portable diagnostic devices
104 for detecting and serotyping infections.[15], [16] Mobile phone and global positioning
105 system (GPS) technologies may be integrated to automate case notification.[12], [17], [18]

106 In the context of dengue surveillance, ‘users’ of technology include those involved in the
107 operation and interpretation of diagnostic devices, and/or the use of data generated to
108 make decisions about management of individual patients and population level surveillance
109 or disease control.[19] The professional occupation of individuals undertaking these
110 activities varies between country and healthcare setting, but may include public health
111 practitioners, surveillance officials, doctors, nurses and laboratory scientists. Patients and

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the general public also play pivotal roles as users. Research into user requirements for diagnostics to enhance dengue surveillance is lacking. Previous studies evaluating the implementation of existing RDTs for other pathogens have identified some potential barriers from the perspective of users. These include unreliable supply chains, user training requirements, practical limitations in operating devices, difficulties interpreting and recording results, distrust of results, and a lack of impact on clinical decision making.[20], [21], [22], [23], [24] Beyond infectious disease diagnosis and surveillance contexts, there is frequent non-adoption of health technology, including in rural and remote settings.[19], [25], [26] It is crucial that technology is developed and evaluated in collaboration with intended users. Engagement throughout the design process likely results in optimised solutions and maximised chances of technology adoption.[27] The Consolidated Framework for Implementation Research (CFIR) provides a set of domains which can be used to systematically assess barriers and facilitators to implementing health intervention. These include the intervention itself and how it may be adapted, the setting, the processes, and individuals involved. [28]

This study engaged users of diagnostic technology working across the Thai dengue surveillance system. It explored their contextual knowledge, experience and needs, with the aim of determining requirements for new devices and their implementation in systems of dengue surveillance.

METHODS

Setting

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This qualitative study was conducted during July 2022 at four institutions in Thailand: The Division of Vector Borne Diseases, Department of Disease Control (CDC) at the Ministry of Public Health is the national authority responsible for surveillance of dengue and strategies for dengue control. The Hospital for Tropical Diseases (HTD) is a tertiary care hospital specialised in tropical diseases including dengue. Khon Kaen Hospital (KKH) is a public hospital which provides inpatient and outpatient care for rural patients. The Dengue Haemorrhagic Fever Research Unit at Mahidol University (DHFRU), Bangkok is an academic centre with a multidisciplinary dengue research portfolio.

Participants

A purposive sample was taken to ensure inclusion of participants with a range of experience across dengue surveillance in Thailand. This included public health practitioners, surveillance officials, doctors, nurses, laboratory scientists and dengue researchers. One focus group containing at least two of these professional groups was constructed at each of the above institutions. Participants were identified via their professional relationships with research team members, and were approached during their usual working day.

Data collection

Data were collected during four focus group discussions, each including between four and seven participants. These were facilitated by two researchers and were conducted either in English or Thai language, depending on participant preference. Discussion was facilitated using a topic guide, developed in advance based on literature review and expert’s opinion regarding knowledge and innovations in dengue diagnosis and surveillance (Table 1). This was reviewed and revised iteratively during and between sessions, to ensure that emerging themes could be identified, explored further and triangulated within and between groups of

participants. Focus groups were audio-recorded and written notes were taken. Recordings were transcribed and Thai was translated to English language.

<Table 1 here>

Data Analysis

A thematic analysis was undertaken.[29] Transcripts from each focus group were annotated and analysed by two researchers who assigned codes independently and then discussed and aggregated them into themes. A deductive approach was used, with themes mapped to CFIR domains.[28], [30] 'Current practices and challenges' and 'requirements for new diagnostics in surveillance' were overarching themes agreed *a priori*, as they were central to the aim of the study.

Ethical considerations

Potential participants received verbal and written information about the proposed study purpose and its procedures. All participants provided written informed consent. This study received ethical approval from Mahidol University Faculty of Tropical Medicine Research Ethics Committee (MUTM-2022-031-01)

Patient and Public Involvement statement

None.

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177 **RESULTS**

178 Nineteen individuals participated, 12 of whom were female. These worked at HTD (6),
179 DHRFU (5), KKH (4) and CDC (4). They included nurses (5), doctors (4), dengue researchers
180 (4), laboratory scientists (2), public health practitioners (2) and surveillance officials (2).

181 Identified themes mapped to the CFIR (Figure 1) demonstrate barriers across all parts of the
182 system including the poor fit between current technologies and adopting context. Features
183 likely to address these barriers (Figure 2) are also identified, providing viable design and
184 implementation approaches. These are further described and supported by selected
185 quotations from participants below.

186 **Current practices and challenges**

187 **Diagnosis of dengue:** Participants described how individuals with dengue may seek
188 healthcare at different types of healthcare facility, including primary health centres, district
189 hospitals, regional hospitals, referral hospitals, pharmacies or private clinics, with each type
190 having different clinical workforce and diagnostic test availability. There is a lack of
191 diagnostic testing in many rural and remote settings.

192

193 *“It depends on the level of [healthcare facility], if located in a very remote area, they cannot*
194 *do a blood test.”*

- 195 - Participant 6, Laboratory Scientist. Focus group 2.

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197 Senior doctors described frequently diagnosing dengue based on clinical features and many
198 said they often did not use a diagnostic test.

199

200 *"I think the senior doctors like me are very used to following the clinical, but I think the new*
201 *generation of doctors are more likely to use the [RDT]."*

202 - Participant 13, Doctor (Paediatrics). Focus group 3.

203

204 Cited reasons for not testing included a high degree of confidence in clinical diagnoses,
205 potentially inaccurate tests, and resource wasting. Some reported only using tests in
206 atypical cases or outside dengue season.

207 When tests are used, RDTs are operated at laboratories or 'mini laboratories' (non-clinical
208 areas attached to smaller healthcare facilities), by a laboratory scientist, or sometimes at
209 the point-of-care by a nurse. RT-PCR is rarely used because samples (or patients
210 themselves) must be transported to specialist laboratories and results may be delayed.

211 **Case reporting and information transfer:** Participants described a system of passive disease
212 surveillance requiring multiple stages of information transfer. Typically, diagnosed cases of
213 dengue are communicated to an individual with responsibility for disease reporting at a
214 health facility. Information is then transferred sequentially to local, regional and national
215 levels of the surveillance system (figure 3).[31] This can be written on paper forms which are
216 transferred manually between individuals and departments.

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218 <Figure 3 here>

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220 This information transfer could be incomplete or delayed, potentially by up to 4 weeks, due
221 to laborious data input procedures, frequent duplication of tasks and lack of time- and
222 resource- allocation for these activities.

223

224 *“Oh I’m really sad ... to tell you, not only do we have an underdiagnosis situation, but we*
225 *have an underreporting situation also.”*

226 - Participant 17, Senior Surveillance Official. Focus group 4.

227

228 *“One of the reasons they don’t report is they have to sit down and key in the result.”*

229 - Participant 18, Surveillance Official. Focus group 4.

230

231 Some participants also described a parallel sentinel site surveillance system, with samples
232 undergoing serotype-specific testing at a central location. However, only low numbers of
233 cases are included, these are not recruited systematically, and batch-testing results in
234 availability of serotype data being delayed.

235 **Use of surveillance data:** When participants were asked about the benefits of case-
236 reporting, responses varied according to professional occupation. Doctors, nurses and
237 laboratory scientists did not identify benefits from this activity and were unaware of

238 downstream processes . They rarely received epidemiological information or warning about
239 outbreaks as a result participation in surveillance.

240

241 *"No one tells us, we just know when a large number of patients is coming!"*

242 - Participant 13, Doctor (Paediatrics). Focus group 3.

243

244 Public health practitioners and surveillance officials explained how national and regional
245 data is collated into reports but agreed that information could be disseminated more rapidly
246 and used more efficiently locally.

247

248 **Requirements for new diagnostics in surveillance**

249 **Use setting and operator skillset:** Participants stated that new devices for the diagnosis of
250 dengue should be usable in a wide range of settings, including at the point-of-care (inpatient
251 and outpatient) and in laboratories and 'mini laboratories'. There was a preference for
252 analysing a small volume (up to 4 drops, ~140uL) of capillary blood, obtainable by finger-
253 prick and transferred directly into the device.

254

255 *"If we use it in outpatients where there are many patients, obtaining blood from the
256 fingertip would be suitable"*

257 - Participant 14, Nurse (Inpatient). Focus group 3.

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259 There was a strong desire for minimal sample processing prior to analysis (i.e.
260 centrifugation, pipetting, mixing or addition of reagents). This was frequently explained by
261 reference to currently available RDTs, which are simple to use.

262
263 *“Nurses are not using pipette. If that’s needed, it needs to be in the lab.”*

- 264 - Participant 7, Nurse Assistant (Outpatients). Focus group 2.

265
266 *“We have to try to mimic the [RDTs]”*

- 267 - Participant 3, Dengue Researcher. Focus group 1.

268
269 **Diagnostic targets:** Many participants stated that new diagnostic devices should have the
270 ability to serotype infections. Public health practitioners, surveillance officials and several
271 dengue researchers had particularly strong desires for this, noting that it has not been
272 achieved by currently available RDTs.

273
274 *“If we can get the serotype in real-time of course it will make our control measures more
275 effective.”*

- 276 - Participant 19, Surveillance Official. Focus group 4.

277
278 Doctors and nurses could also understand this potential surveillance benefit but stated that
279 serotypes are of little consequence for individual patient management.

280

281 Assay performance characteristics and implications for clinical and public health

282 **management of dengue:** Most participants cited 'accuracy' as an important characteristic.

283 They recognised that existing dengue tests sometimes had low sensitivity, which could

284 affect patient management as well as surveillance. Low sensitivity tests which give falsely

285 negative results may lead missed diagnoses of dengue, with further testing and treatments

286 for other causes (for example bacterial infections) being initiated or continued

287 unnecessarily.

288

289 *"If the doctors see that the test is negative, [they] might diagnose something else and treat*

290 *something else, like bacterial infection... [this] might harm the patient."*

291 - Participant 10, Doctor (Internal Medicine). Focus group 4.

292

293 They suggested that new devices should have at least the same sensitivity as currently

294 available RDTs.

295 Participants also recognised that non-specific tests could lead to alternative diagnoses being

296 missed and discontinuation of important treatments (for example antibiotics).

297

298 *"If it has false positive it may lead to mistreatment of other diseases"*

299 - Participant 16, Doctor (Internal Medicine). Focus group 3.

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301 *“This means it’s not dengue but something else. Yes definitely, this delays the treatment.*

302 *Yes it’s going to be a problem.”*

303 - Participant 10, Doctor (Internal Medicine). Focus group 4.

304

305 They caveated this by suggesting that users would become familiar with the performance of
306 any new test, and would interpret results accordingly. They also described how clinical and
307 epidemiological context are considered, when interpreting dengue test results.

308

309 *“We use it along with [routine laboratory data]. If [the test] is negative, but the case is likely*
310 *to be dengue, we still have [routine laboratory data] to follow-up the patient”*

311 - Participant 16, Doctor (Internal Medicine). Focus group 3.

312

313 *“If the local prevalence of the infection is high, then the test-negative will not ensure that the*
314 *patient has no dengue infection. But if the patient is in a without dengue area, we will have*
315 *high confidence that this patient does not have dengue infection. It will depend on the*
316 *prevalence at the time and in the local area.”*

317 - Participant 16, Doctor (Internal Medicine). Focus group 3.

318

319 Many participants also cited ‘fast result’ as an important characteristic. This was particularly
320 important for nurses and laboratory scientists who are frequent operators of RDTs. They

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321 suggested target sample-to-result time should be below one hour (and ideally below 15-20
322 minutes).

323 The 'ability to quantify virus' was not considered an important characteristic, either for
324 clinical or surveillance purposes. However, some participants acknowledged potential utility
325 in clinical research, for example in trials of antiviral mediations.

326

327 **Connectivity and metadata:** Participants recommended that diagnostic devices should
328 have a simple way of displaying results to users with low chance of misinterpretation. They
329 also stated that results should be recorded permanently on a patient's record. This could be
330 achieved by integrating devices with electronic patient records and/or laboratory
331 information systems, or by allowing results to be printed.

332 There was agreement among all participants that integrating diagnostic devices with
333 surveillance systems could be helpful, and that receiving serotype data would support
334 surveillance efforts. Many suggested that it would reduce requirements for informal
335 communication, paper records, data input and duplication of work at several levels of the
336 surveillance system, hence improving case reporting. Public health practitioners and
337 surveillance officials detailed which metadata should be reported routinely alongside the
338 test results (Table 2). They also felt that optional reporting of pertinent clinical details could
339 be useful (for example details of particularly severe or atypical cases which may warrant
340 further investigation).

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342 <Table 2 here>

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As well as performing automated case notification ('upwards data transfer'), participants suggested that a new diagnostic device could also receive and display epidemiological data to the user ('downwards data transfer'). They expressed their desires for up-to-date information about the numbers and severity of dengue cases in their area and agreed that devices which provide early warning of dengue outbreaks would be useful.

"If we know the information about the outbreak of dengue cases in the surrounding area, we will be more aware of the possibility of more severe cases coming to the hospital"

- Participant 13, Doctor (Paediatrics). Focus group 3.

Some explained how this knowledge could be used to assist in the interpretation of the dengue test itself.

"When patients present with fever during the outbreak season the clinician usually ask where they come from. If we know that they come from an outbreak area, it increases the possibility that the case may be dengue"

- Participant 13, Doctor (Paediatrics). Focus group 3.

However, some participants had concerns relating to data security, particularly if devices could receive, store or display potentially sensitive information about other cases in the region (for example their location).

“Someone can think about stigmatisation. OK so this family has dengue and someone can think that they are spreading dengue to the village, or something like that.”

- Participant 10, Doctor (Internal Medicine). Focus group 2.

Cost: Participants emphasised the importance of cost when considering the potential introduction of new diagnostic devices in Thailand. Usually, diagnostic testing is paid for by government insurance coverage, private insurance, or personal funds. Many participants considered a conceptual difference between testing which is undertaken for individual patient benefit (i.e. for diagnostic purposes) and that which is undertaken for potential collective population benefit (i.e. for surveillance), and felt that using personal funds to pay for the latter would be unfair.

DISCUSSION

Participants in this study identified the need and potential value of new tests for dengue which are accurate, rapid, low cost and can be operated easily by non-expert users outside laboratory settings, including in remote and rural areas. They supported integration of diagnostic devices with surveillance systems to increase quantity and speed of case-notification. These requirements align with The World Health Organization (WHO) Special

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3 384 Program for Research and Training in Tropical Diseases ‘ASSURED’ criteria for diagnostics,
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6 385 and subsequent publications supporting real-time connectivity (‘REASSURED’
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8 386 characteristics). [32], [33], [34] Tests which can serotype may be important for surveillance
9
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11 387 but are less likely to benefit individual patients. As well as ‘upwards data transfer’ (such
12
13 388 that cases are notified by devices to the surveillance authority), it was felt that ‘downwards
14
15 389 data transfer’ (from the surveillance system to each diagnostic device) would also be useful.
16
17
18 390 This could provide healthcare professionals with up-to-date information about local dengue
19
20 391 cases, assisting them in the interpretation of individual test results and potentially warning
21
22 392 them of outbreaks. Cautions relating to this overall approach included data security and the
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25 393 potential cost when compared to currently available diagnostic tests.
26
27
28 394 Previous studies have explored healthcare workers’ and community members’ perceptions
29
30 395 of new diagnostic devices for tropical infections, particularly those intended to be used at
31
32 396 the point-of-care. Diggle et al investigated malaria RDTs in Northern Kenya and found
33
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35 397 significant knowledge gaps, misconceptions and evidence of low uptake. Reasons included
36
37 398 perceptions that testing was unnecessary, distrust of results, fear that devices might also
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40 399 test for other, potentially stigmatised conditions, and cost. However, RDTs were noted for
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42 400 their ease of use and portability.[21] Rasti et al investigated Southwestern Ugandan
43
44 401 healthcare workers who described point-of-care tests improving diagnosis and clinical
45
46 402 decision making in under-resourced areas. However, they also reported experiencing
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48 403 inaccurate results and a need to interpret and corroborate results with other clinical
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50 404 information.[23] Boadu et al identified influencers of malaria RDT implementation among
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53 405 primary healthcare providers in central Ghana. These included healthcare delivery
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55 406 constraints, provider perceptions and social dynamics of care delivery.[20] A scoping review
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58 407 of the use of mobile phones in the prevention and control of arboviral infections identified
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408 six studies where mobile phone technology formed part of a diagnostic workflow, and 25
409 studies where mobile telephones were used in various surveillance activities.[35] Cited
410 benefits were a 'reduction in error of transcribed data', 'rapid data transfer', and 'good
411 completeness in terms of more dengue case reporting', which are highly relatable to the
412 present study's findings.[35] Another recent article has reviewed various digital health
413 interventions which have been used in dengue surveillance.[36]

414 This study is the first to specifically investigate user requirements for diagnostic devices that
415 would optimise dengue surveillance. It collected data from a wide range of diagnostic
416 technology users, including those who make decisions to test, those with hands-on
417 experience of operating tests, and those who are involved in downstream analysis and
418 usage of data. Broad inclusion appears to have been important because user requirements
419 sometimes varied between occupational groups. Innovation in technology should account
420 for this and may need to balance priorities of different users.

421 Limitations of this study include its restriction to 19 participants in one country, which could
422 mean that findings are geographically specific and are not fully representative nor
423 transferrable to other settings. However, many of the practices and challenges described
424 appear similar to those experienced in other Southeast Asian nations[9] and more
425 widely.[13] Additionally, it did not include patients or members of the general public, who
426 are important users of diagnostic technology. In Thailand, there has been rapid increase in
427 the use of mobile phone technology, including for storage and sharing of personal health
428 records.[37], [38] Results from the present study highlight further need to engage this
429 group, particularly around the importance of data security. Furthermore, this study
430 focussed on dengue, but there is likely to be significant overlap in the experiences and

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431 requirements of individuals who undertake surveillance of other arboviruses and other
432 infectious disease more generally. Surveillance requirements for devices which may
433 simultaneously detect multiple relevant pathogens should also be investigated, as
434 diagnostic technology advances.

435 Dengue is a major public health concern across tropical regions. Accurate, serotype-specific,
436 remote-connected diagnostic devices which can be used in a diverse range of settings would
437 enhance surveillance and could support real-time outbreak risk-assessment and warning.
438 These should be developed in collaboration with a range of prospective technology users.

439
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449
450 COMPETING INTERESTS

451 All authors declare that there are no competing interests.

452

453 AUTHOR CONTRIBUTIONS

454 PArkell, SK, AS, DM, RA and SL designed the study. PArkell, SK and SL collected data. PArkell
455 and SK analysed data and drafted the manuscript. JR, PG, PM, PAvirutnan and AH were
456 awarded funding to collaborate and undertake a programme of dengue diagnostics
457 development which includes this qualitative work. All authors critically appraised the
458 manuscript and agreed to its submission for publication. PA is responsible for the overall
459 content. PA is the corresponding author and attests that all listed authors meet authorship
460 criteria and that no others meeting the criteria have been omitted. PA is the guarantor.

461

462 DATA AVAILABILITY

463 Data are available upon reasonable request to PA, subject to an appropriate data sharing
464 agreement being implemented.

465

466 FIGURE CAPTIONS**467 Figure 1**

468 Identified themes within 'current practices and challenges relating to dengue diagnosis and
469 surveillance' mapped to the Consolidated Framework for Implementation Research (CFIR)
470 domains. Inner figure reproduced with permission from the original open access
471 publication, available at: <https://cfirguide.org/cfirdiagram/>.

472 Figure 2

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Identified themes within ‘requirements for new diagnostics’ mapped to the Consolidated Framework for Implementation Research (CFIR) domains. Inner figure reproduced with permission from the original open access publication, available at: <https://cfirguide.org/cfirdiagram/>.

Figure 3

Schematic diagram showing current, multi-level transfer of information in a passive dengue surveillance system. Information is predominantly transferred ‘upwards’, with limited ‘downwards data transfer’ to communities and users.

ETHICS APPROVAL

Potential participants received verbal and written information about the proposed study purpose and its procedures. All participants provided written informed consent. This study received ethical approval from Mahidol University Faculty of Tropical Medicine Research Ethics Committee (MUTM-2022-031-01)

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627 **Table 1: Focus group topic guide**

<p>A. Contextual understanding and needs assessment.</p> <ul style="list-style-type: none">- How is dengue surveillance done, at your workplace (and more broadly)?- Where do patients present to with symptoms of dengue and how do they get diagnosed?- If tests are not always done, why do you think this is?- Where / how should cases of dengue get reported, to surveillance?- If positive results are not always reported, why do you think this is?- How are surveillance data used? <p>B. Requirements for new diagnostic devices: The assay.</p> <ul style="list-style-type: none">- Where does diagnostic testing usually occur, and what laboratory equipment is available there (if any)?- Who typically operates diagnostic devices, and what sample preparation / analysis skills do they have (if any)?- What do you think would be the preferred sample type and sample volume, that would go into any new diagnostic device?- What do you think would be the preferred (and maximum) time from sample to result (i.e. test duration), of any new diagnostic device?- What do you think the preferred (and minimum) sensitivity and specificity, of any new diagnostic device?- Is knowing the dengue serotype important?- Is knowing the quantity of dengue (level of ‘viraemia’) in a patient’s sample important? <p>C. Requirements for new diagnostic devices: Remote connectivity and reporting.</p> <ul style="list-style-type: none">- How are results from diagnostic tests generally reported, and where are they stored?- If a new diagnostic device could be remote-connected, where should results be reported to?- Which information about cases would be most useful to report alongside test results, to enhance dengue surveillance?- Would it be useful if a new diagnostic device could receive and display real-time information about local dengue incidence to the user (as well as transmitting data for case-reporting)?
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Table 2: Metadata which could be reported automatically from diagnostic devices to the surveillance authority ('upwards data transfer') and from surveillance to the diagnostic device ('downwards data transfer'), to enhance dengue surveillance.

Upwards data transfer (device to surveillance system)

A. Test-related data

- Date of test (date)
- Geo-location of test (lat, long)
- Dengue test result (positive/negative)
- Serotype result (DENV1/DENV2/DENV3/DENV4)

B. Identifiers

- Name (free text)
- National ID (number)
- Home address (free text)
- Patient's (or parent/guardian's) telephone number (number)

C. Clinical details

- Duration of symptoms in days (number)
- Severity of case at time of testing if dengue suspected clinically (non-severe/dengue with warning signs/severe dengue/patient died)
- Alternative clinical diagnos(es), if applicable (free text)
- Additional information for communication to surveillance authority. For example, details of particularly severe or atypical cases, or those where multiple family members are unwell, which may warrant further investigation (free text)

Downwards data transfer (surveillance system to device)

- All test-related data (see A, above) from other devices.*

*** This information could be output to the clinical user. For example, the number and proportion of recent positive tests in the surrounding area could be displayed, to aid interpretation of the current result. Graphs or maps showing temporal or geographical trends could also be displayed.**

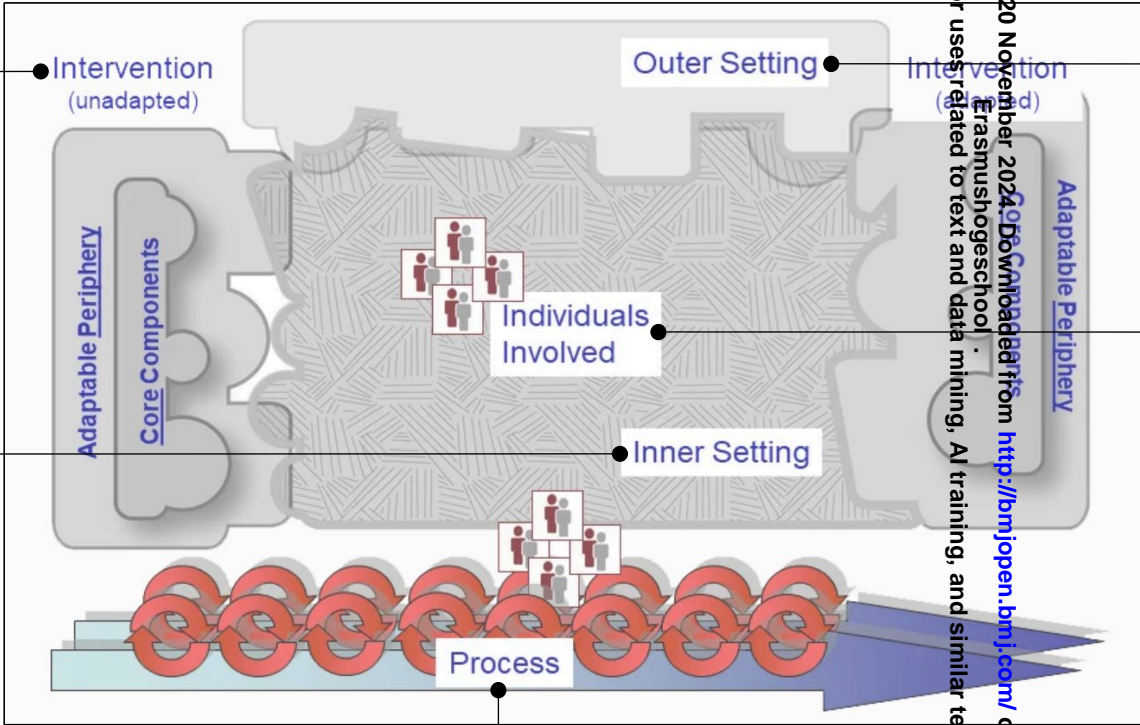
Diagnosis of dengue
Use of RDTs (less accurate, do not serotype)
RT-PCR assays for serotyping at sentinel sites only

Diagnosis of dengue
Clinicians often diagnose dengue clinically
(Do not use tests because they may not be useful and are considered wasteful, unless atypical/outside season)

Diagnosis of dengue
Batch testing causes delays

Case reporting and info transfer
Passive surveillance requires multiple stages of information transfer
Additional parallel sentinel site surveillance for serotyping, but lack of systematic sampling
Laborious data input procedures and duplication of tasks
Lack of resource allocation for surveillance tasks

Use of surveillance data
Lack of timely dissemination of case data locally



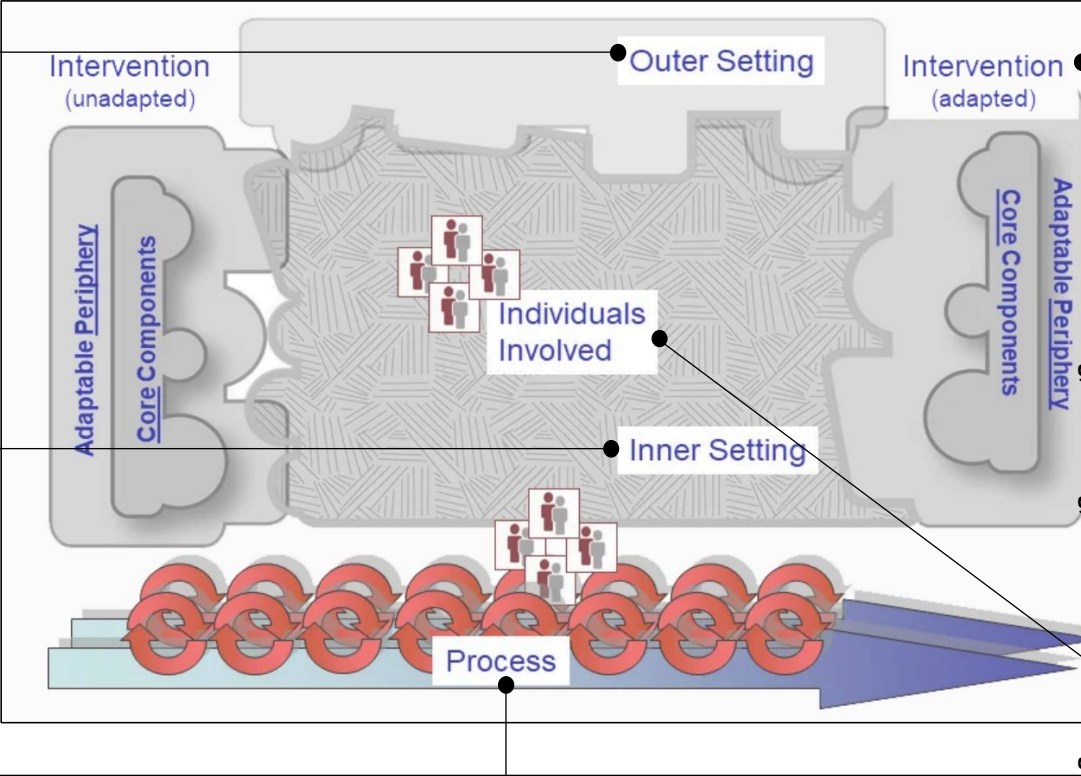
Diagnosis of dengue
Health seeking at different types of healthcare facility
Testing infrastructure not available in rural and remote settings
(Laboratory and/or transport infrastructure)

Diagnosis of dengue
Available clinical workforce depends on health facility
Doctors request test, laboratory personnel or nurses conduct testing

Case reporting and information transfer
Multiple individuals at various administrative levels report cases and analyse data

Use of surveillance data
Surveillance officials collate and analyse data
Doctors, nurses and lab scientists unaware of processes and don't use data

Requirements for new diagnostics in surveillance



Cost
Acceptable cost within Thai healthcare system
Consideration of who should pay for tests when primary purpose is disease surveillance

Required use setting and operator skillset
Devices should be usable in laboratories, mini-laboratories and ideally at the point-of-care

Connectivity and metadata
Simple result output format required
Should go to patient, clinical records and surveillance system
Upwards data transfer: Results to surveillance system (alongside clinical metadata and pertinent details from clinical team)
Downwards data transfer: Information on number and severity of cases occurring locally (for outbreak preparedness and assistance interpreting test results)
Concerns about data security

Required use setting and operator skillset
Small volume (140uL) of whole capillary blood
Minimal sample processing (similar to currently available RDTs)

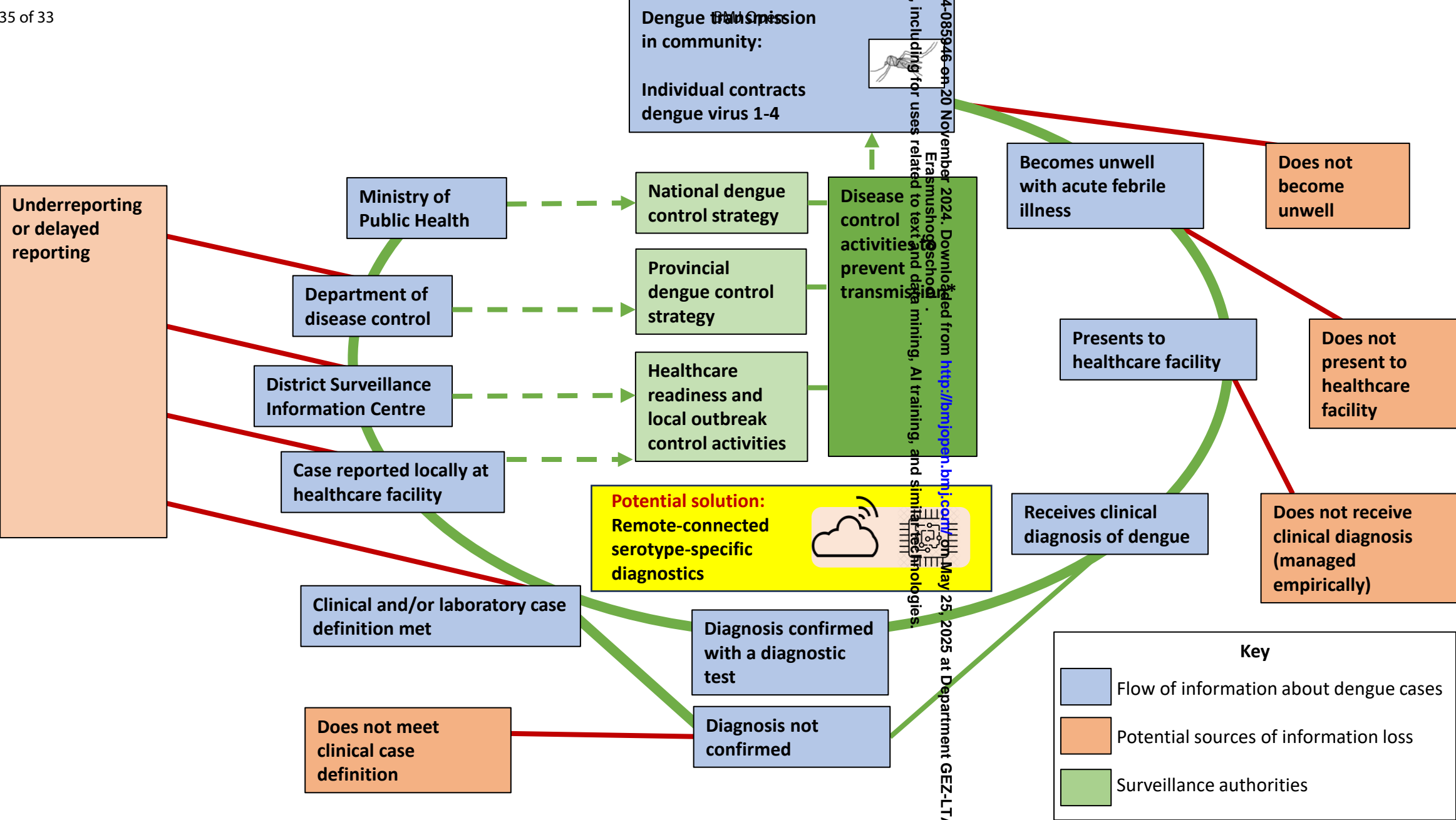
Required diagnostic targets
Differentiation of dengue virus serotypes important for surveillance and research (but not for clinical case management)

Required performance characteristics and implications for clinical and public health management of dengue
High accuracy at all disease stages (at least comparable to currently available RDTs)
Sensitive such that cases are not missed
Specific so other diagnoses are not overlooked and undertreated
Fast time-to-result (less than 1 hour)
Quantification of virus may be important in research

Required performance characteristics and implications for clinical and public health management of dengue
Users become familiar with performance of tests and interpret results accordingly (alongside other data, and according to seasonality of dengue transmission)

Connectivity and metadata
Acceptability of data sharing to patients and their families, potential for stigma

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* Current disease control activities comprise environmental management and insecticide use. Future activities may also include deployment of vaccines and Wolbachia-infected mosquitos.

BMJ Open

Diagnostics for optimised dengue surveillance: A qualitative focus group study to investigate user experience and requirements in Thailand

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Primary Subject Heading:	Infectious diseases
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Keywords:	Diagnostic microbiology < INFECTIOUS DISEASES, Epidemiology < INFECTIOUS DISEASES, Molecular diagnostics < INFECTIOUS DISEASES,

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	Public health < INFECTIOUS DISEASES, Tropical medicine < INFECTIOUS DISEASES

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1 **Diagnostics for optimised dengue surveillance: A qualitative focus group study to**
2 **investigate user experience and requirements in Thailand**
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24 ABSTRACT

25 **Objectives:** Effective, real-time surveillance of dengue may provide early-warning of
26 outbreaks and support targeted disease-control intervention but requires widespread
27 accurate diagnosis and timely case-reporting. Research directing innovation in diagnostics
28 for dengue surveillance is lacking. This study aimed to describe experience and
29 requirements of relevant prospective users.

30 **Design:** A qualitative, focus group study was conducted.

31 **Participants:** Data were collected from 19 users of diagnostic technology who work across
32 the Thai dengue surveillance system.

33 **Data collection and analysis:** Contextual knowledge, experience and needs were explored in
34 focus groups. Discussions were translated, transcribed, analysed thematically and mapped
35 to Consolidated Framework for Implementation Research domains.

36 **Results:** Participants expressed a need for rapid, accurate, serotype-specific tests which can
37 be operated easily by non-expert users without laboratory equipment. They supported
38 integration of diagnostics with surveillance systems and felt this would increase the quantity
39 and speed of case-reporting as well as provide healthcare professionals with up-to-date
40 information about the number of cases locally, thereby aiding interpretation of test results.

41 Concerns included those relating to data security and the cost of tests.

42 **Conclusions:** Engagement to understand prospective user experience and requirements can
43 improve relevance and uptake of new technology, leading to system efficiencies. The
44 present study highlights specific needs for accurate, serotype-specific, remote-connected

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diagnostics which are integrated with surveillance systems and support dengue case-reporting at the point-of-care.

KEYWORDS

- Dengue [MeSH]
- Diagnostic test [MeSH]
- Focus group [MeSH]
- Infectious disease transmission [MeSH]
- Surveillance
- User requirements

STRENGTHS AND LIMITATIONS OF THIS STUDY

- Specific investigation into user requirements for diagnostics which support dengue surveillance.
- Included technology users in Thailand with wide ranging professional experience including operation of tests and downstream analysis/usage of data.
- Thematic analysis with mapping to Consolidated Framework for Implementation Research domains.
- Only included participants working within one national surveillance system and excluded patients and the general public who also play pivotal roles as users.

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INTRODUCTION

Dengue is a mosquito-borne neglected tropical disease which affects 100-400 million individuals annually and is a significant cause of morbidity and mortality among adults and children. It is caused by four dengue virus serotypes (DENV1-4) which co-circulate in many regions.[1] Dengue causes a diverse clinical syndrome ranging from asymptomatic or mild, self-limiting illness to dengue haemorrhagic fever, dengue shock and death.[2], [3] 'Secondary dengue infection', which occurs when an individual is infected for a second (or subsequent) time by a different serotype to their earlier 'primary infection', is most likely to result in severe disease.[4]

A diagnosis can be suspected based on clinical features and routinely available laboratory data but should be confirmed using a diagnostic test.[3] Reverse-transcriptase polymerase chain reaction (RT-PCR) assays detect dengue ribonucleic acid. They have high sensitivity and specificity, are considered the modern reference standard diagnostic test, and may be used to serotype infections.[5] However, RT-PCR requires significant laboratory infrastructure and a skilled workforce, resulting in its limited use in rural and remote locations.[6] Serological techniques (including enzyme-linked immunosorbent assays, ELISAs) can be used to detect host immunoglobulins (IgM and IgG) and virus proteins (non-structural protein 1, NS1). Similar to RT-PCR, laboratory-based serological testing has been challenging to deploy. Therefore, rapid diagnostic tests (RDTs), which also detect IgM, IgG and/or NS1, are more commonly used in rural and remote locations. These are low-cost and simple to use but have varying sensitivity compared to RT-PCR (40% to >90%) and ELISA, which depends on time since onset of symptoms. Current RDTs cannot determine the infecting serotype.[7]

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89 Outbreaks of dengue are typically seasonal with the number of cases and proportion
90 causing severe disease being highly variable between years. Shifts in the predominant
91 circulating serotype may lead to more severe outbreaks.[8] In ‘passive surveillance’, cases
92 are identified via the routine assessment of unwell patients at healthcare facilities and are
93 notified to a central surveillance authority. This relies on availability and utilisation of
94 accurate diagnostic tests and effective, timely communication of results alongside clinically-
95 derived metadata. Passive surveillance may be augmented at ‘sentinel sites’, with samples
96 undergoing additional serotype-specific testing.[9], [10] Effective implementation of such
97 systems with real-time data transfer may provide early outbreak warning.[9], [10], [11], [12]
98 However, common weaknesses include poor access to diagnostic testing and delayed or
99 incomplete reporting.[9], [13] In Thailand, there is mandatory reporting of clinical or RDT-
100 confirmed cases to regional surveillance authorities by healthcare facilities.

101 Several advances in diagnostic technology represent opportunity to enhanced dengue
102 surveillance.[14] Novel molecular techniques such as reverse-transcriptase loop-mediated
103 isothermal amplification (RT-LAMP) may lead to high-sensitivity portable diagnostic devices
104 for detecting and serotyping infections.[15], [16] Mobile phone and global positioning
105 system (GPS) technologies may be integrated to automate case notification.[12], [17], [18]

106 In the context of dengue surveillance, ‘users’ of technology include those involved in the
107 operation and interpretation of diagnostic devices, and/or the use of data generated to
108 make decisions about management of individual patients and population level surveillance
109 or disease control.[19] The professional occupation of individuals undertaking these
110 activities varies between country and healthcare setting, but may include public health
111 practitioners, surveillance officials, doctors, nurses and laboratory scientists. Patients and

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the general public also play pivotal roles as users. Research into user requirements for diagnostics to enhance dengue surveillance is lacking. Previous studies evaluating the implementation of existing RDTs for other pathogens have identified some potential barriers from the perspective of users. These include unreliable supply chains, user training requirements, practical limitations in operating devices, difficulties interpreting and recording results, distrust of results, and a lack of impact on clinical decision making.[20], [21], [22], [23], [24] Beyond infectious disease diagnosis and surveillance contexts, there is frequent non-adoption of health technology, including in rural and remote settings.[19], [25], [26] It is crucial that technology is developed and evaluated in collaboration with intended users. Engagement throughout the design process likely results in optimised solutions and maximised chances of technology adoption.[27] The Consolidated Framework for Implementation Research (CFIR) provides a set of domains which can be used to systematically assess barriers and facilitators to implementing health intervention. These include the intervention itself and how it may be adapted, the setting, the processes, and individuals involved. [28]

This study engaged users of diagnostic technology working across the Thai dengue surveillance system. It explored their contextual knowledge, experience and needs, with the aim of determining requirements for new devices and their implementation in systems of dengue surveillance.

METHODS

Setting

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This qualitative study was conducted during July 2022 at four institutions in Thailand: The Division of Vector Borne Diseases, Department of Disease Control (CDC) at the Ministry of Public Health is the national authority responsible for surveillance of dengue and strategies for dengue control. The Hospital for Tropical Diseases (HTD) is a tertiary care hospital specialised in tropical diseases including dengue. Khon Kaen Hospital (KKH) is a public hospital which provides inpatient and outpatient care for rural patients. The Dengue Haemorrhagic Fever Research Unit at Mahidol University (DHFRU), Bangkok is an academic centre with a multidisciplinary dengue research portfolio.

Participants

A purposive sample was taken to ensure inclusion of participants with a range of experience across dengue surveillance in Thailand. This included public health practitioners, surveillance officials, doctors, nurses, laboratory scientists and dengue researchers. One focus group containing at least two of these professional groups was constructed at each of the above institutions. Participants were identified via their professional relationships with research team members, and were approached during their usual working day.

Data collection

Data were collected during four focus group discussions, each including between four and seven participants. These were facilitated by two researchers and were conducted either in English or Thai language, depending on participant preference. Discussion was facilitated using a topic guide, developed in advance based on literature review and expert’s opinion regarding knowledge and innovations in dengue diagnosis and surveillance (Table 1). This was reviewed and revised iteratively during and between sessions, to ensure that emerging themes could be identified, explored further and triangulated within and between groups of

participants. Focus groups were audio-recorded and written notes were taken. Recordings were transcribed and Thai was translated to English language.

<Table 1 here>

Data Analysis

A thematic analysis was undertaken.[29] Transcripts from each focus group were annotated and analysed by two researchers who assigned codes independently and then discussed and aggregated them into themes. A deductive approach was used, with themes mapped to CFIR domains.[28], [30] 'Current practices and challenges' and 'requirements for new diagnostics in surveillance' were overarching themes agreed *a priori*, as they were central to the aim of the study.

Ethical considerations

Potential participants received verbal and written information about the proposed study purpose and its procedures. All participants provided written informed consent. This study received ethical approval from Mahidol University Faculty of Tropical Medicine Research Ethics Committee (MUTM-2022-031-01)

Patient and Public Involvement statement

This current phase of research and development did not include patients or public representatives.

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177 **RESULTS**

178 Nineteen individuals participated, 12 of whom were female. These worked at HTD (6),
179 DHRFU (5), KKH (4) and CDC (4). They included nurses (5), doctors (4), dengue researchers
180 (4), laboratory scientists (2), public health practitioners (2) and surveillance officials (2).

181 Identified themes mapped to the CFIR (Figure 1) demonstrate barriers across all parts of the
182 system including the poor fit between current technologies and adopting context. Features
183 likely to address these barriers (Figure 2) are also identified, providing viable design and
184 implementation approaches. These are further described and supported by selected
185 quotations from participants below.

186 **Current practices and challenges**

187 **Diagnosis of dengue:** Participants described how individuals with dengue may seek
188 healthcare at different types of healthcare facility, including primary health centres, district
189 hospitals, regional hospitals, referral hospitals, pharmacies or private clinics, with each type
190 having different clinical workforce and diagnostic test availability. There is a lack of
191 diagnostic testing in many rural and remote settings.

192
193 *“It depends on the level of [healthcare facility], if located in a very remote area, they cannot*
194 *do a blood test.”*

195 - Participant 6, Laboratory Scientist. Focus group 2.

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197 Senior doctors described frequently diagnosing dengue based on clinical features and many
198 said they often did not use a diagnostic test.

199

200 *"I think the senior doctors like me are very used to following the clinical, but I think the new*
201 *generation of doctors are more likely to use the [RDT]."*

202 - Participant 13, Doctor (Paediatrics). Focus group 3.

203

204 Cited reasons for not testing included a high degree of confidence in clinical diagnoses,
205 potentially inaccurate tests, and resource wasting. Some reported only using tests in
206 atypical cases or outside dengue season.

207 When tests are used, RDTs are operated at laboratories or 'mini laboratories' (non-clinical
208 areas attached to smaller healthcare facilities), by a laboratory scientist, or sometimes at
209 the point-of-care by a nurse. RT-PCR is rarely used because samples (or patients
210 themselves) must be transported to specialist laboratories and results may be delayed.

211 **Case reporting and information transfer:** Participants described a system of passive disease
212 surveillance requiring multiple stages of information transfer. Typically, diagnosed cases of
213 dengue are communicated to an individual with responsibility for disease reporting at a
214 health facility. Information is then transferred sequentially to local, regional and national
215 levels of the surveillance system (figure 3).[31] This can be written on paper forms which are
216 transferred manually between individuals and departments.

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218 <Figure 3 here>

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220 This information transfer could be incomplete or delayed, potentially by up to 4 weeks, due
221 to laborious data input procedures, frequent duplication of tasks and lack of time- and
222 resource- allocation for these activities.

223

224 *“Oh I’m really sad ... to tell you, not only do we have an underdiagnosis situation, but we*
225 *have an underreporting situation also.”*

226 - Participant 17, Senior Surveillance Official. Focus group 4.

227

228 *“One of the reasons they don’t report is they have to sit down and key in the result.”*

229 - Participant 18, Surveillance Official. Focus group 4.

230

231 Some participants also described a parallel sentinel site surveillance system, with samples
232 undergoing serotype-specific testing at a central location. However, only low numbers of
233 cases are included, these are not recruited systematically, and batch-testing results in
234 availability of serotype data being delayed.

235 **Use of surveillance data:** When participants were asked about the benefits of case-
236 reporting, responses varied according to professional occupation. Doctors, nurses and
237 laboratory scientists did not identify benefits from this activity and were unaware of

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238 downstream processes . They rarely received epidemiological information or warning about
239 outbreaks as a result participation in surveillance.

240

241 *"No one tells us, we just know when a large number of patients is coming!"*

242 - Participant 13, Doctor (Paediatrics). Focus group 3.

243

244 Public health practitioners and surveillance officials explained how national and regional
245 data is collated into reports but agreed that information could be disseminated more rapidly
246 and used more efficiently locally.

247

248 **Requirements for new diagnostics in surveillance**

249 **Use setting and operator skillset:** Participants stated that new devices for the diagnosis of
250 dengue should be usable in a wide range of settings, including at the point-of-care (inpatient
251 and outpatient) and in laboratories and 'mini laboratories'. There was a preference for
252 analysing a small volume (up to 4 drops, ~140uL) of capillary blood, obtainable by finger-
253 prick and transferred directly into the device.

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255 *"If we use it in outpatients where there are many patients, obtaining blood from the
256 fingertip would be suitable"*

257 - Participant 14, Nurse (Inpatient). Focus group 3.

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259 There was a strong desire for minimal sample processing prior to analysis (i.e.
260 centrifugation, pipetting, mixing or addition of reagents). This was frequently explained by
261 reference to currently available RDTs, which are simple to use.

262
263 *“Nurses are not using pipette. If that’s needed, it needs to be in the lab.”*

- 264 - Participant 7, Nurse Assistant (Outpatients). Focus group 2.

265
266 *“We have to try to mimic the [RDTs]”*

- 267 - Participant 3, Dengue Researcher. Focus group 1.

268
269 **Diagnostic targets:** Many participants stated that new diagnostic devices should have the
270 ability to serotype infections. Public health practitioners, surveillance officials and several
271 dengue researchers had particularly strong desires for this, noting that it has not been
272 achieved by currently available RDTs.

273
274 *“If we can get the serotype in real-time of course it will make our control measures more
275 effective.”*

- 276 - Participant 19, Surveillance Official. Focus group 4.

277
278 Doctors and nurses could also understand this potential surveillance benefit but stated that
279 serotypes are of little consequence for individual patient management.

280

281 Assay performance characteristics and implications for clinical and public health

282 **management of dengue:** Most participants cited 'accuracy' as an important characteristic.

283 They recognised that existing dengue tests sometimes had low sensitivity, which could

284 affect patient management as well as surveillance. Low sensitivity tests which give falsely

285 negative results may lead missed diagnoses of dengue, with further testing and treatments

286 for other causes (for example bacterial infections) being initiated or continued

287 unnecessarily.

288

289 *"If the doctors see that the test is negative, [they] might diagnose something else and treat*

290 *something else, like bacterial infection... [this] might harm the patient."*

291 - Participant 10, Doctor (Internal Medicine). Focus group 4.

292

293 They suggested that new devices should have at least the same sensitivity as currently

294 available RDTs.

295 Participants also recognised that non-specific tests could lead to alternative diagnoses being

296 missed and discontinuation of important treatments (for example antibiotics).

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298 *"If it has false positive it may lead to mistreatment of other diseases"*

299 - Participant 16, Doctor (Internal Medicine). Focus group 3.

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301 *“This means it’s not dengue but something else. Yes definitely, this delays the treatment.*

302 *Yes it’s going to be a problem.”*

303 - Participant 10, Doctor (Internal Medicine). Focus group 4.

304

305 They caveated this by suggesting that users would become familiar with the performance of
306 any new test, and would interpret results accordingly. They also described how clinical and
307 epidemiological context are considered, when interpreting dengue test results.

308

309 *“We use it along with [routine laboratory data]. If [the test] is negative, but the case is likely*
310 *to be dengue, we still have [routine laboratory data] to follow-up the patient”*

311 - Participant 16, Doctor (Internal Medicine). Focus group 3.

312

313 *“If the local prevalence of the infection is high, then the test-negative will not ensure that the*
314 *patient has no dengue infection. But if the patient is in a without dengue area, we will have*
315 *high confidence that this patient does not have dengue infection. It will depend on the*
316 *prevalence at the time and in the local area.”*

317 - Participant 16, Doctor (Internal Medicine). Focus group 3.

318

319 Many participants also cited ‘fast result’ as an important characteristic. This was particularly
320 important for nurses and laboratory scientists who are frequent operators of RDTs. They

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321 suggested target sample-to-result time should be below one hour (and ideally below 15-20
322 minutes).

323 The 'ability to quantify virus' was not considered an important characteristic, either for
324 clinical or surveillance purposes. However, some participants acknowledged potential utility
325 in clinical research, for example in trials of antiviral mediations.

326

327 **Connectivity and metadata:** Participants recommended that diagnostic devices should
328 have a simple way of displaying results to users with low chance of misinterpretation. They
329 also stated that results should be recorded permanently on a patient's record. This could be
330 achieved by integrating devices with electronic patient records and/or laboratory
331 information systems, or by allowing results to be printed.

332 There was agreement among all participants that integrating diagnostic devices with
333 surveillance systems could be helpful, and that receiving serotype data would support
334 surveillance efforts. Many suggested that it would reduce requirements for informal
335 communication, paper records, data input and duplication of work at several levels of the
336 surveillance system, hence improving case reporting. Public health practitioners and
337 surveillance officials detailed which metadata should be reported routinely alongside the
338 test results (Table 2). They also felt that optional reporting of pertinent clinical details could
339 be useful (for example details of particularly severe or atypical cases which may warrant
340 further investigation).

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342 <Table 2 here>

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As well as performing automated case notification ('upwards data transfer'), participants suggested that a new diagnostic device could also receive and display epidemiological data to the user ('downwards data transfer'). They expressed their desires for up-to-date information about the numbers and severity of dengue cases in their area and agreed that devices which provide early warning of dengue outbreaks would be useful.

"If we know the information about the outbreak of dengue cases in the surrounding area, we will be more aware of the possibility of more severe cases coming to the hospital"

- Participant 13, Doctor (Paediatrics). Focus group 3.

Some explained how this knowledge could be used to assist in the interpretation of the dengue test itself.

"When patients present with fever during the outbreak season the clinician usually ask where they come from. If we know that they come from an outbreak area, it increases the possibility that the case may be dengue"

- Participant 13, Doctor (Paediatrics). Focus group 3.

However, some participants had concerns relating to data security, particularly if devices could receive, store or display potentially sensitive information about other cases in the region (for example their location).

"Someone can think about stigmatisation. OK so this family has dengue and someone can think that they are spreading dengue to the village, or something like that."

- Participant 10, Doctor (Internal Medicine). Focus group 2.

Cost: Participants emphasised the importance of cost when considering the potential introduction of new diagnostic devices in Thailand. Usually, diagnostic testing is paid for by government insurance coverage, private insurance, or personal funds. Many participants considered a conceptual difference between testing which is undertaken for individual patient benefit (i.e. for diagnostic purposes) and that which is undertaken for potential collective population benefit (i.e. for surveillance), and felt that using personal funds to pay for the latter would be unfair.

DISCUSSION

Participants in this study identified the need and potential value of new tests for dengue which are accurate, rapid, low cost and can be operated easily by non-expert users outside laboratory settings, including in remote and rural areas. They supported integration of diagnostic devices with surveillance systems to increase quantity and speed of case-notification. These requirements align with The World Health Organization (WHO) Special

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3 384 Program for Research and Training in Tropical Diseases ‘ASSURED’ criteria for diagnostics,
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6 385 and subsequent publications supporting real-time connectivity (‘REASSURED’
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8 386 characteristics). [32], [33], [34] Tests which can serotype may be important for surveillance
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11 387 but are less likely to benefit individual patients. ‘Upwards data transfer’ (such that cases are
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13 388 easily or automatically notified by users via devices to the surveillance authority), as well as
14
15 389 ‘downwards data transfer’ (such that local case data and outbreak information is returned
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18 390 to users) were considered useful potential functions. The latter would assist in
19
20 391 interpretation of individual test results and could give early warning of outbreaks. It is likely
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23 392 that individual devices would individually connect with a cloud where data is stored and
24
25 393 analysed, and that this would be hosted by the local surveillance authority. Cautions
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28 394 relating to this overall approach included data security and the potential cost when
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30 395 compared to currently available diagnostic tests. Additionally, remote-connected devices
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33 396 which transmit and receive data may become complicated to use, potentially affecting
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35 397 uptake. Participants in this survey had a strong preference for diagnostics which are simple
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38 398 to use. Therefore, prospective technology users should be engaged and involved in design,
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40 399 and care must be taken to maintain simplicity and usability of devices for their primary
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42 400 purpose of dengue diagnosis.

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45 401 Previous studies have explored healthcare workers’ and community members’ perceptions
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48 402 of new diagnostic devices for tropical infections, particularly those intended to be used at
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50 403 the point-of-care. Diggle et al investigated malaria RDTs in Northern Kenya and found
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53 404 significant knowledge gaps, misconceptions and evidence of low uptake. Reasons included
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55 405 perceptions that testing was unnecessary, distrust of results, fear that devices might also
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58 406 test for other, potentially stigmatised conditions, and cost. However, RDTs were noted for
59
60 407 their ease of use and portability.[21] Rasti et al investigated Southwestern Ugandan

healthcare workers who described point-of-care tests improving diagnosis and clinical decision making in under-resourced areas. However, they also reported experiencing inaccurate results and a need to interpret and corroborate results with other clinical information.[23] Boadu et al identified influencers of malaria RDT implementation among primary healthcare providers in central Ghana. These included healthcare delivery constraints, provider perceptions and social dynamics of care delivery.[20] A scoping review of the use of mobile phones in the prevention and control of arboviral infections identified six studies where mobile phone technology formed part of a diagnostic workflow, and 25 studies where mobile telephones were used in various surveillance activities.[35] Cited benefits were a 'reduction in error of transcribed data', 'rapid data transfer', and 'good completeness in terms of more dengue case reporting', which are highly relatable to the present study's findings.[35] Another recent article has reviewed various digital health interventions which have been used in dengue surveillance.[36]

This study is the first to specifically investigate user requirements for diagnostic devices that would optimise dengue surveillance. It collected data from a wide range of diagnostic technology users, including those who make decisions to test, those with hands-on experience of operating tests, and those who are involved in downstream analysis and usage of data. Broad inclusion appears to have been important because user requirements sometimes varied between occupational groups. Innovation in technology should account for this and may need to balance priorities of different users.

Limitations of this study include its restriction to 19 participants in one country, which could mean that findings are geographically specific and are not fully representative nor transferrable to other settings. However, many of the practices and challenges described

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431 appear similar to those experienced in other Southeast Asian nations[9] and more
432 widely.[13] Additionally, it did not include patients or members of the general public, who
433 are important users of diagnostic technology. In Thailand, there has been rapid increase in
434 the use of mobile phone technology, including for storage and sharing of personal health
435 records.[37], [38] Results from the present study highlight further need to engage this
436 group, particularly around the importance of data security. Furthermore, this study
437 focussed on dengue, but there is likely to be significant overlap in the experiences and
438 requirements of individuals who undertake surveillance of other arboviruses and other
439 infectious disease more generally. Surveillance requirements for devices which may
440 simultaneously detect multiple relevant pathogens should also be investigated, as
441 diagnostic technology advances.

442 Dengue is a major public health concern across tropical regions. Accurate, serotype-specific,
443 remote-connected diagnostic devices which can be used in a diverse range of settings would
444 enhance surveillance and could support real-time outbreak risk-assessment and warning.
445 These should be developed in collaboration with a range of prospective technology users.

446
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450
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16 457 **COMPETING INTERESTS**

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20 458 All authors declare that there are no competing interests.
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26 460 **AUTHOR CONTRIBUTIONS**

28
29 461 PArkell, SK, AS, DM, RA and SL designed the study. PArkell, SK and SL collected data. PArkell
30
31 462 and SK analysed data and drafted the manuscript. JR, PG, PM, PAvirutnan and AH were
32
33 463 awarded funding to collaborate and undertake a programme of dengue diagnostics
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35 464 development which includes this qualitative work. All authors critically appraised the
36
37 465 manuscript and agreed to its submission for publication. PA is responsible for the overall
38
39 466 content. PA is the corresponding author and attests that all listed authors meet authorship
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41 467 criteria and that no others meeting the criteria have been omitted. PA is the guarantor.
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50 469 **DATA AVAILABILITY**

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53 470 Data are available upon reasonable request to PA, subject to an appropriate data sharing
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55 471 agreement being implemented.
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FIGURE CAPTIONS

Figure 1

Identified themes within ‘current practices and challenges relating to dengue diagnosis and surveillance’ mapped to the Consolidated Framework for Implementation Research (CFIR) domains. Inner figure reproduced with permission from the original open access publication, available at: <https://cfirguide.org/cfirdiagram/>.

Figure 2

Identified themes within ‘requirements for new diagnostics’ mapped to the Consolidated Framework for Implementation Research (CFIR) domains. Inner figure reproduced with permission from the original open access publication, available at: <https://cfirguide.org/cfirdiagram/>.

Figure 3

Schematic diagram showing current, multi-level transfer of information in a passive dengue surveillance system. Information is predominantly transferred ‘upwards’, with limited ‘downwards data transfer’ to communities and users.

ETHICS APPROVAL

Potential participants received verbal and written information about the proposed study purpose and its procedures. All participants provided written informed consent. This study received ethical approval from Mahidol University Faculty of Tropical Medicine Research Ethics Committee (MUTM-2022-031-01)

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634 **Table 1: Focus group topic guide****A. Contextual understanding and needs assessment.**

- How is dengue surveillance done, at your workplace (and more broadly)?
- Where do patients present to with symptoms of dengue and how do they get diagnosed?
- If tests are not always done, why do you think this is?
- Where / how should cases of dengue get reported, to surveillance?
- If positive results are not always reported, why do you think this is?
- How are surveillance data used?

B. Requirements for new diagnostic devices: The assay.

- Where does diagnostic testing usually occur, and what laboratory equipment is available there (if any)?
- Who typically operates diagnostic devices, and what sample preparation / analysis skills do they have (if any)?
- What do you think would be the preferred sample type and sample volume, that would go into any new diagnostic device?
- What do you think would be the preferred (and maximum) time from sample to result (i.e. test duration), of any new diagnostic device?
- What do you think the preferred (and minimum) sensitivity and specificity, of any new diagnostic device?
- Is knowing the dengue serotype important?
- Is knowing the quantity of dengue (level of 'viraemia') in a patient's sample important?

C. Requirements for new diagnostic devices: Remote connectivity and reporting.

- How are results from diagnostic tests generally reported, and where are they stored?
- If a new diagnostic device could be remote-connected, where should results be reported to?
- Which information about cases would be most useful to report alongside test results, to enhance dengue surveillance?
- Would it be useful if a new diagnostic device could receive and display real-time information about local dengue incidence to the user (as well as transmitting data for case-reporting)?

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637 **Table 2: Basic metadata requirements for automated case-reporting within the Thai surveillance**
638 **system.**

Upwards data transfer (device to surveillance system)	
A. Test-related data	
-	Date of test (date)
-	Geo-location of test (lat, long)
-	Dengue test result (positive/negative)
-	Serotype result (DENV1/DENV2/DENV3/DENV4)
B. Identifiers	
-	Name (free text)
-	National ID (number)
-	Home address (free text)
-	Patient's (or parent/guardian's) telephone number (number)
C. Clinical details	
-	Duration of symptoms in days (number)
-	Severity of case at time of testing if dengue suspected clinically (non-severe/dengue with warning signs/severe dengue/patient died)
-	Alternative clinical diagnos(es), if applicable (free text)
-	Additional information for communication to surveillance authority. For example, details of particularly severe or atypical cases, or those where multiple family members are unwell, which may warrant further investigation (free text)
Downwards data transfer (surveillance system to device)	
-	All test-related data (see A, above) from other devices.*

639 * These data could be output to the clinical user as individual cases (for example displayed on a
640 map), or after aggregation and/or analysis in the form of an epidemiological report.

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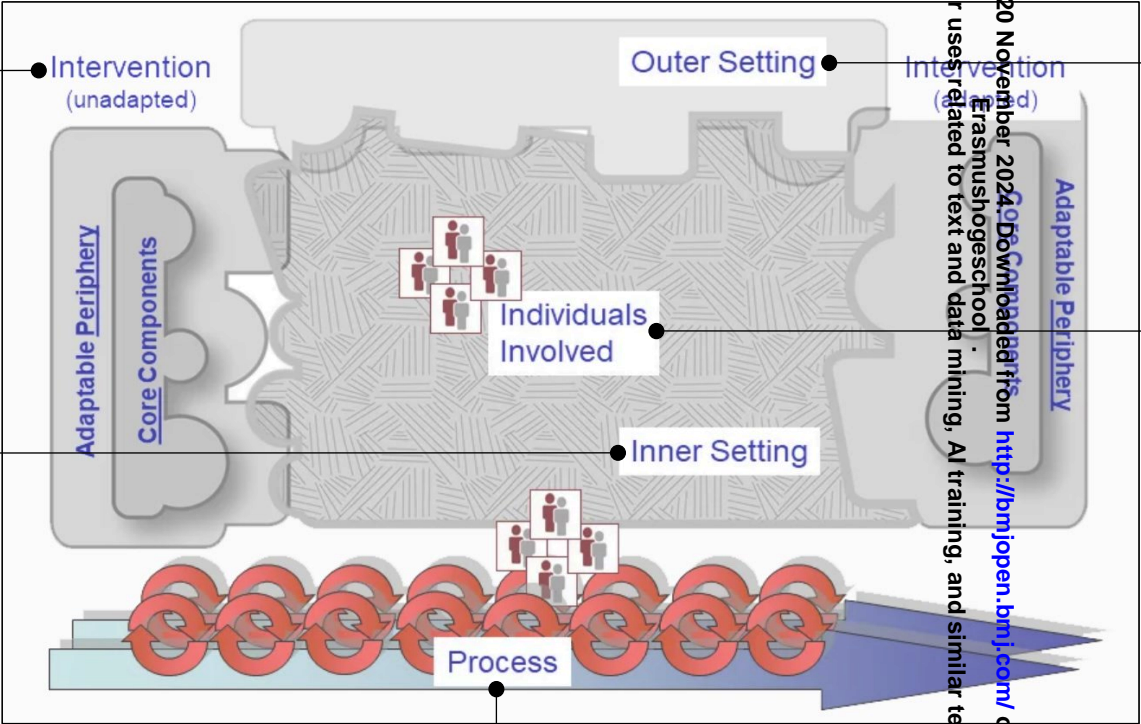
Diagnosis of dengue
Use of RDTs (less accurate, do not serotype)
RT-PCR assays for serotyping at sentinel sites only

Diagnosis of dengue
Clinicians often diagnose dengue clinically
(Do not use tests because they may not be useful and are considered wasteful, unless atypical/outside season)

Diagnosis of dengue
Batch testing causes delays

Case reporting and info transfer
Passive surveillance requires multiple stages of information transfer
Additional parallel sentinel site surveillance for serotyping, but lack of systematic sampling
Laborious data input procedures and duplication of tasks
Lack of resource allocation for surveillance tasks

Use of surveillance data
Lack of timely dissemination of case data locally



Diagnosis of dengue
Health seeking at different types of healthcare facility
Testing infrastructure not available in rural and remote settings
(Laboratory and/or transport infrastructure)

Diagnosis of dengue
Available clinical workforce depends on health facility
Doctors request test, laboratory personnel or nurses conduct testing

Case reporting and information transfer
Multiple individuals at various administrative levels report cases and analyse data

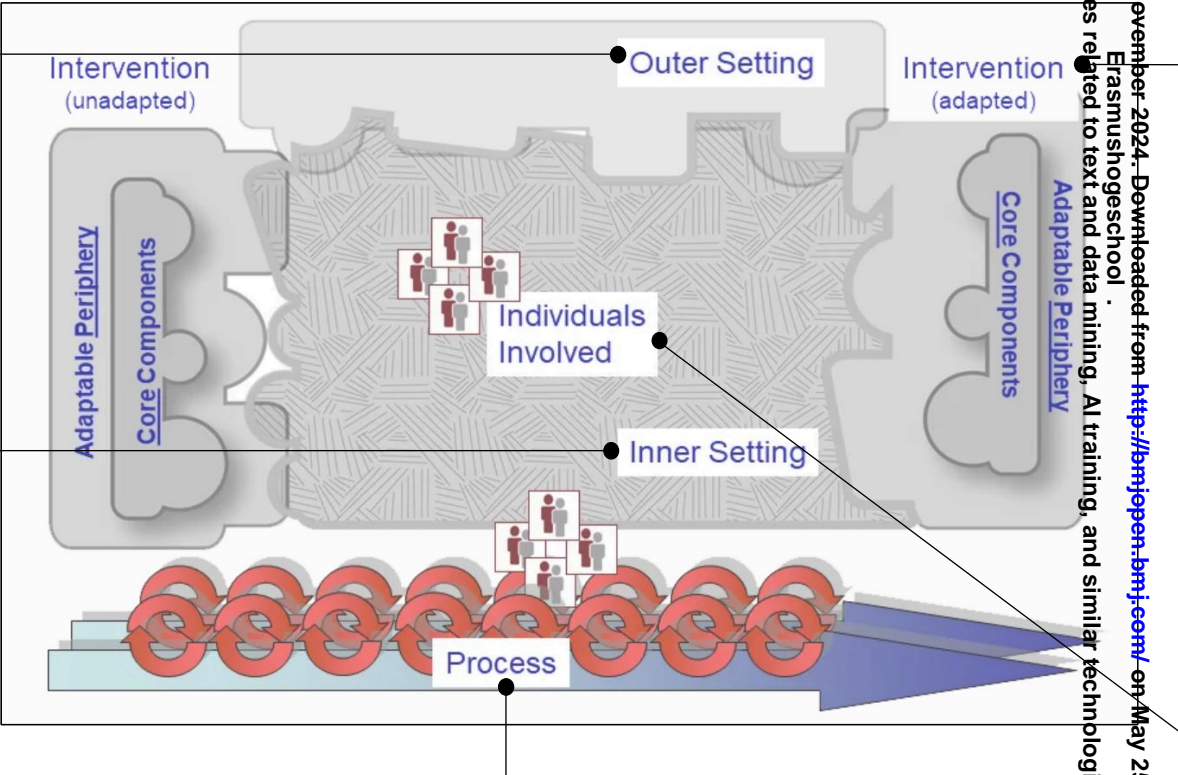
Use of surveillance data
Surveillance officials collate and analyse data
Doctors, nurses and lab scientists unaware of processes and don't use data

Requirements for new diagnostics in surveillance

Cost
Acceptable cost within Thai healthcare system
Consideration of who should pay for tests when primary purpose is disease surveillance

Required use setting and operator skillset
Devices should be usable in laboratories, mini-laboratories and ideally at the point-of-care

Connectivity and metadata
Simple result output format required
Should go to patient, clinical records and surveillance system
Upwards data transfer: Results to surveillance system (alongside clinical metadata and pertinent details from clinical team)
Downwards data transfer: Information on number and severity of cases occurring locally (for outbreak preparedness and assistance interpreting test results)
Concerns about data security



Required use setting and operator skillset
Small volume (140uL) of whole capillary blood
Minimal sample processing (similar to currently available RDTs)

Required diagnostic targets
Differentiation of dengue virus serotypes important for surveillance and research (but not for clinical case management)

Required performance characteristics and implications for clinical and public health management of dengue
High accuracy at all disease stages (at least comparable to currently available RDTs)
Sensitive such that cases are not missed
Specific so other diagnoses are not overlooked and undertreated
Fast time-to-result (less than 1 hour)
Quantification of virus may be important in research

Required performance characteristics and implications for clinical and public health management of dengue
Users become familiar with performance of tests and interpret results accordingly (alongside other data, and according to seasonality of dengue transmission)

Connectivity and metadata
Acceptability of data sharing to patients and their families, potential for stigma

